

# Machine Learning for Imaging Cherenkov Detectors

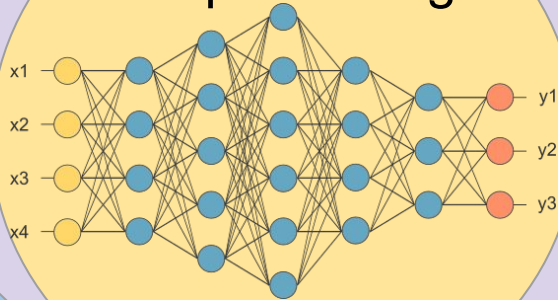
Cristiano Fanelli



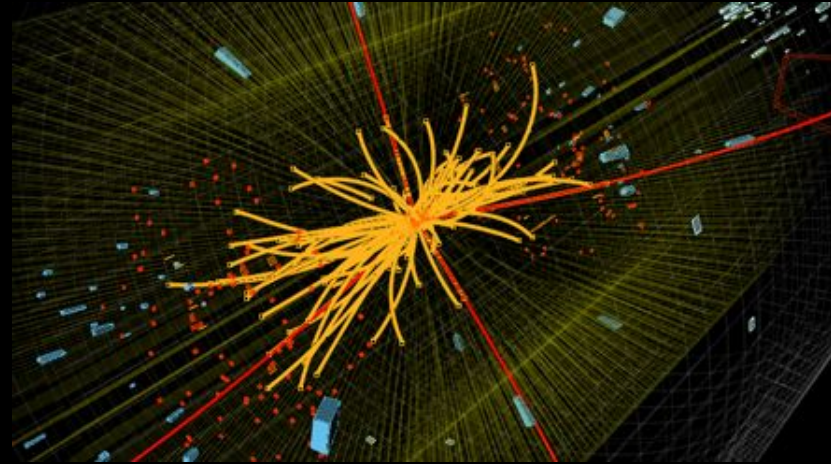
Artificial Intelligence

Machine Learning

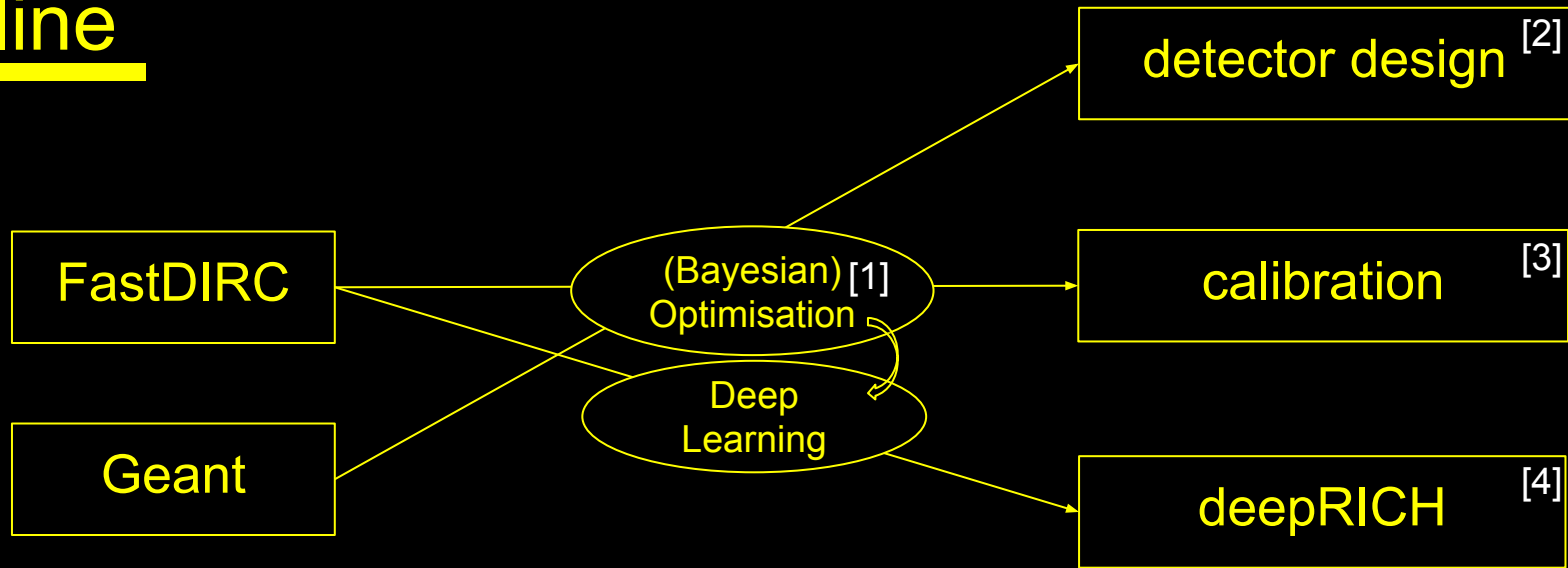
Deep Learning





- DL is a subset of ML which makes the computation of multi-layer NN feasible. When applied to massive datasets and giving massive computer power it outperforms all other models most of the time.
- ML is becoming ubiquitous in nuclear and particle physics.
- DL just started having an impact in nuclear/particle physics



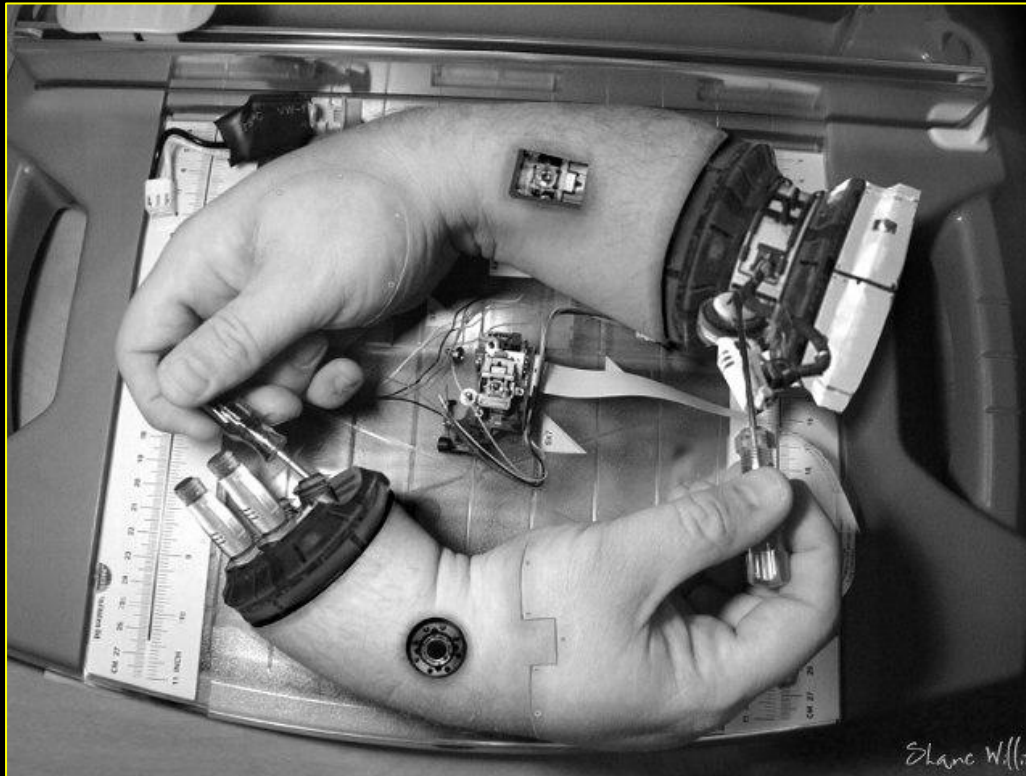
# Outline



1. Short intro on BO
2. EIC dRICH detector design 
3. GlueX DIRC optical box calibration using FastDIRC
4. Exploring deep learning for DIRC 

Conclusions

# Optimization



# Simplest Approaches

- We are not really great at interpreting high-dimensional data

- **Manual Search**  
Good luck!
- **Grid Search**  
Easy but scales poorly -> curse of dimensionality
- **Random Search**  
Faster, but won't guarantee optimal search

- What if we can self-learn the optimal values?

- **Bayesian Optimization**  
Takes advantage of the information the model learns during the optimization process.

## Likelihood

How probable is the evidence given that our hypothesis is true?

## Prior

How probable was our hypothesis before observing the evidence?

$$P(H | e) = \frac{P(e | H) P(H)}{P(e)}$$

## Posterior

How probable is our hypothesis given the observed evidence?  
(Not directly computable)

## Marginal

How probable is the new evidence under all possible hypotheses?  
 $P(e) = \sum P(e | H_i) P(H_i)$

# BO Applications

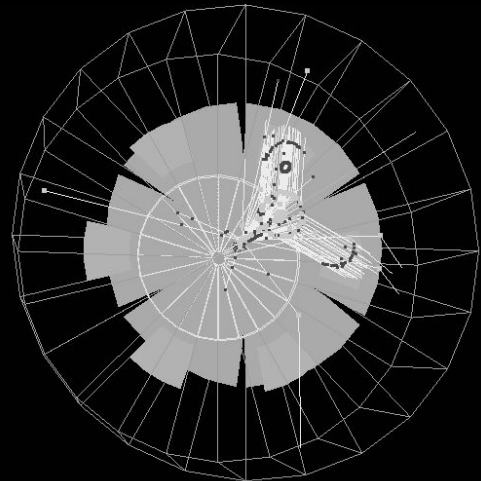
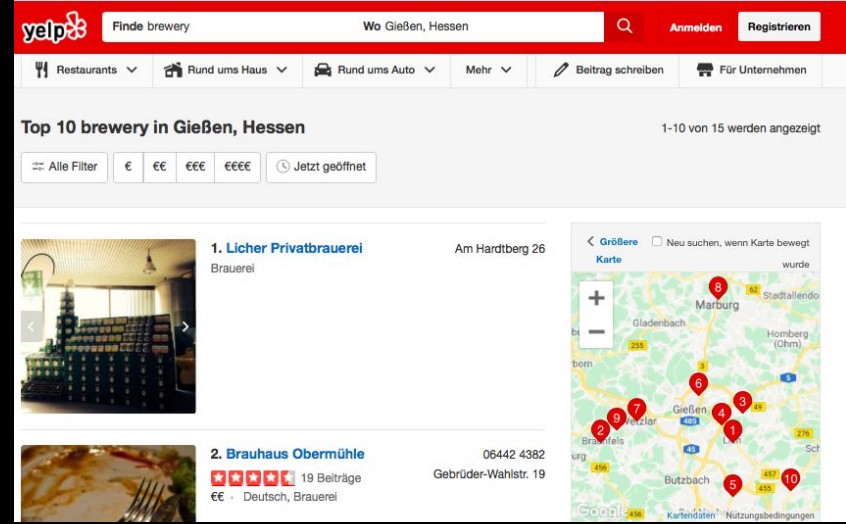
This approach finds a lot of applications:

- E.g. Hyperparameters

In particle physics:

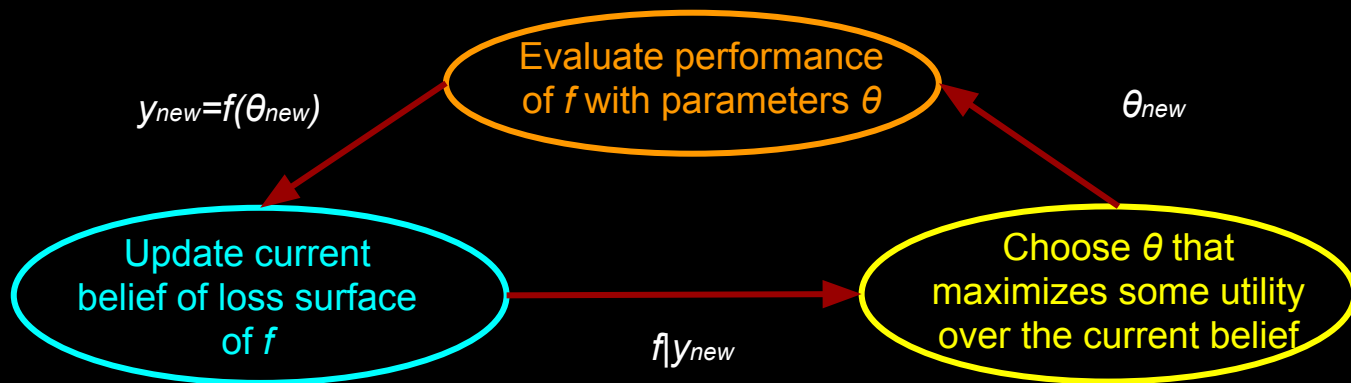
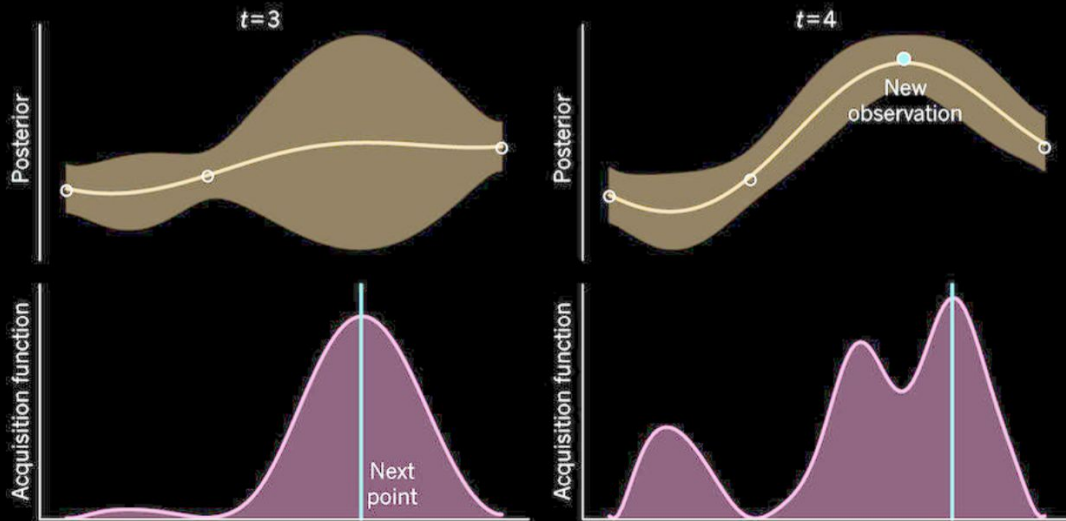
- Tuning Simulations [1610.08328]
- Novel directions (this talks):
  - Optimal Design (hardware, ... ) (EIC dRICH)
  - Calibration (cf. GlueX DIRC)

Can work with noisy, non-differentiable black-box functions



# How it works

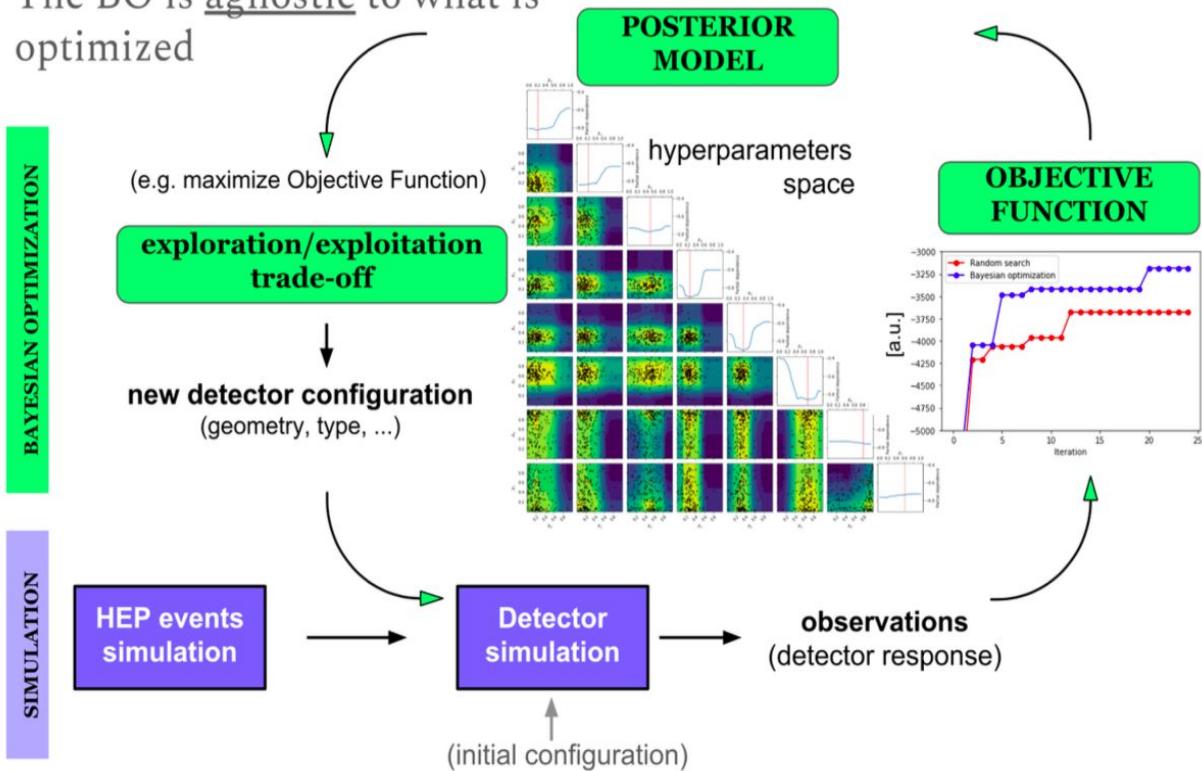
- BO is a strategy for **global optimization**.
- After gathering evaluations BO builds a posterior distribution used to construct an **acquisition function**.
- This cheap function determines what is **next query point**.



# Detector Optimization

- Optimization of detector design is quite complex problem that can be accomplished with BO
- Multi-purpose detector requires large-scale simulations of the main processes to make decision
- Goal: satisfy detector requirements and minimize cost R&D

The BO is agnostic to what is optimized

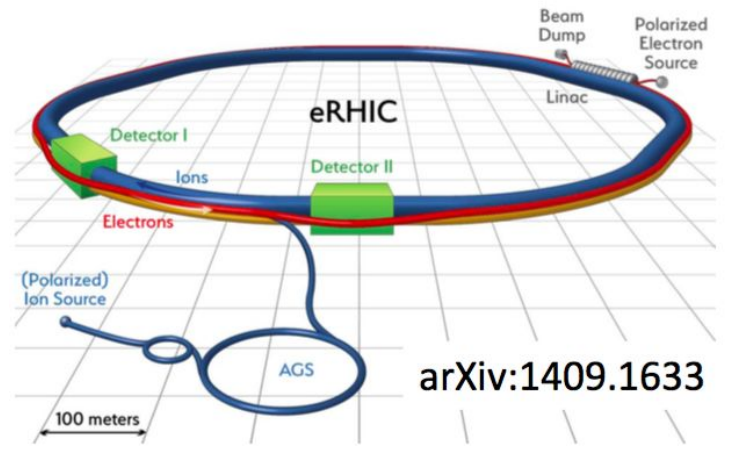
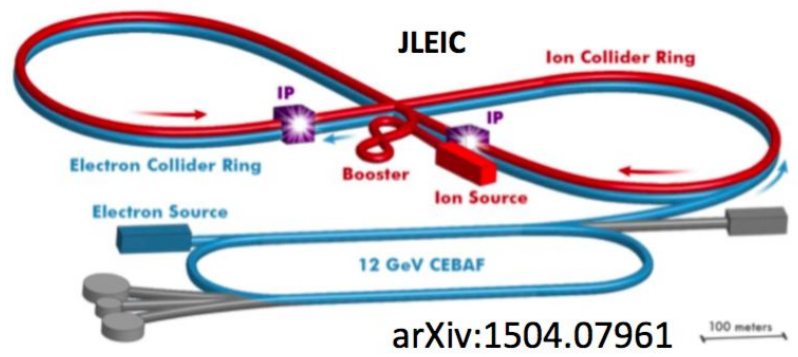




# Electron Ion Collider

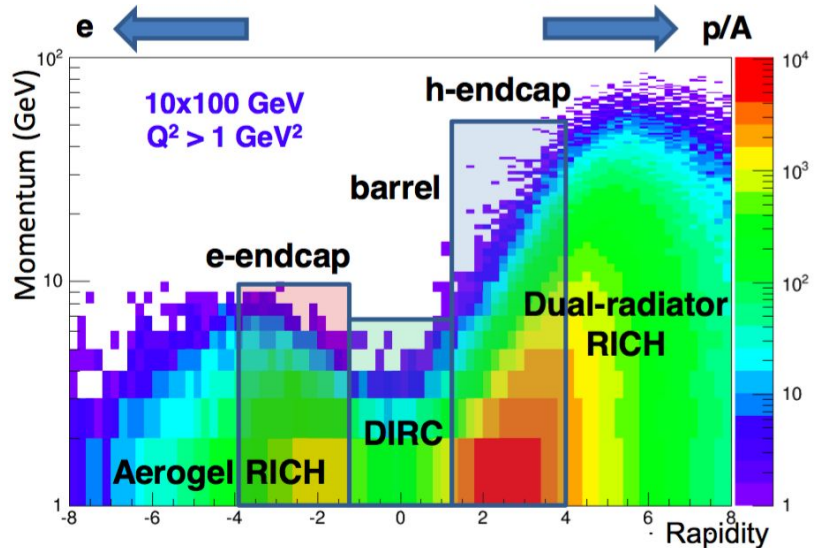
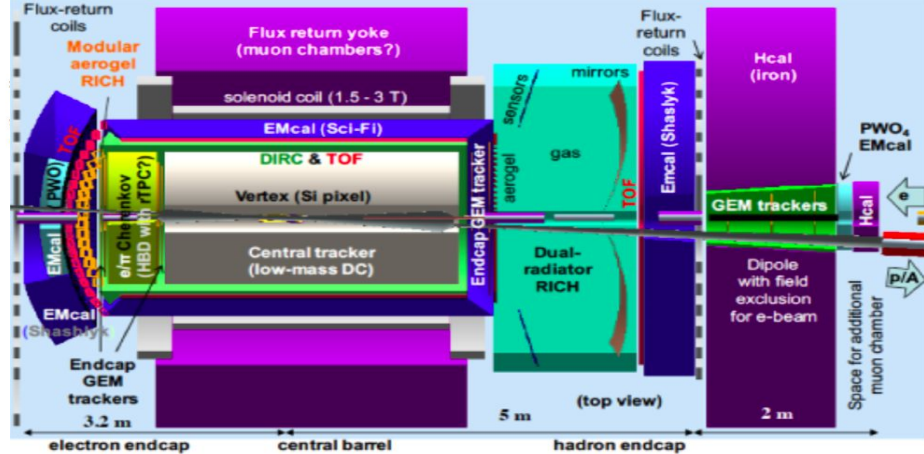
A machine for delving deeper than ever before into the building blocks of matter

Building the future EIC is the top long-term priority for medium/high-energy nuclear physics in the U.S. It already consists of a large international collaboration.



# PID

- **h-endcap** A dual-radiator RICH is needed to cover **continuously** momenta up to 50 GeV/c
- **e-endcap**: A small lens focused aerogel RICH for momenta up to 10 GeV/c
- **Barrel**: A DIRC provide a compact and cost effective way to cover momenta up to 6 GeV/c
- **TOF** (and or dE/dx in the TPC) can cover the low momenta region



# dRICH

Full momentum,  
continuous coverage.

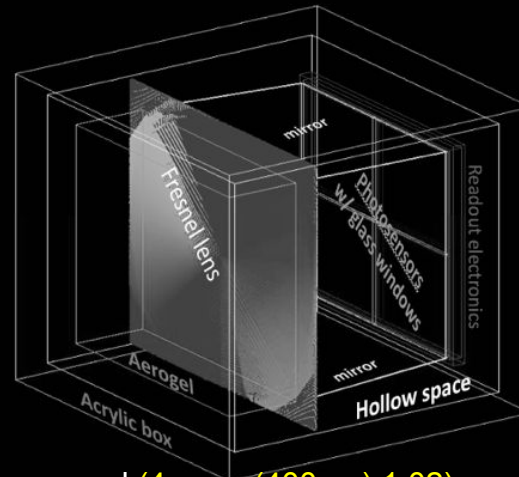
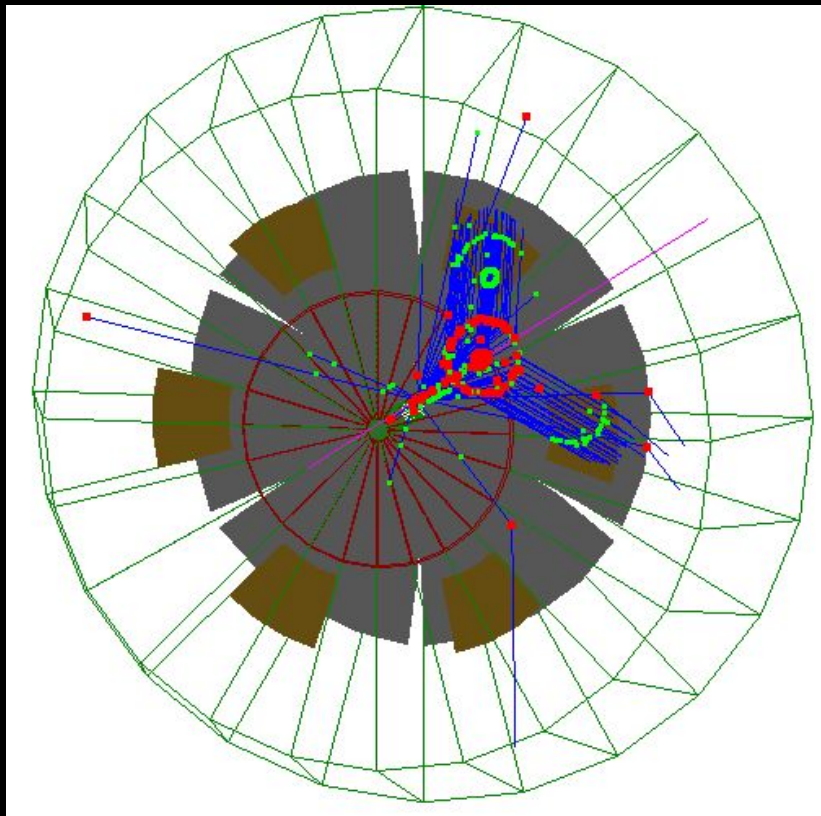
Cost effective

Simple geometry/optics.

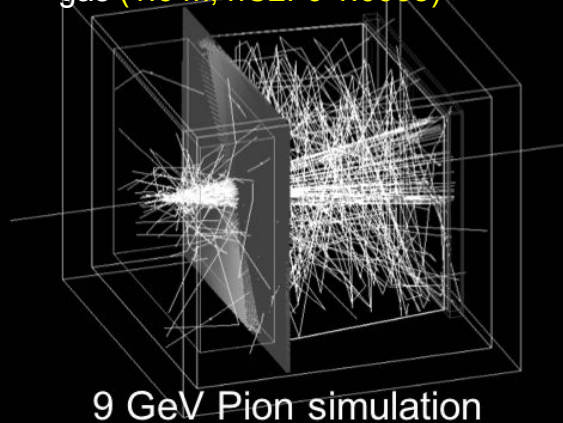
6 Identical open sectors  
(petals)

Optical sensor  
elements: 4500  
 $\text{cm}^2/\text{sector}$ , 3 mm  
pixel

Large Focusing Mirror



aerogel (4 cm,  $n(400\text{nm})$  1.02)  
+ 3 mm acrylic filter  
+ gas (1.6 m,  $n_{\text{C2F6}}$  1.0008)

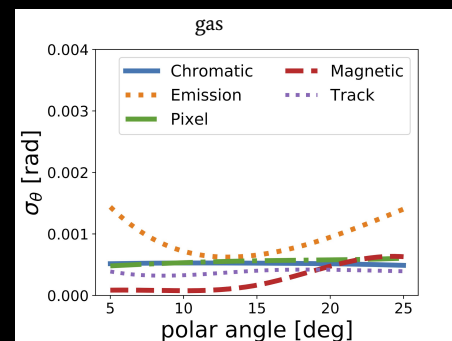
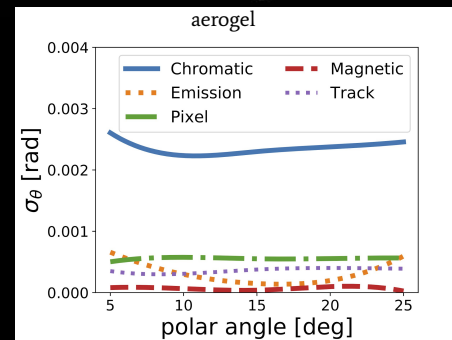


See A. Del Dotto,  
[EICUG2017](#),  
and E. Cisbani's talk

# dRICH Optimization

$$N\sigma = \frac{||\langle\theta_K\rangle - \langle\theta_\pi\rangle||\sqrt{N_\gamma}}{\sigma_\theta^{1p.e.}}$$

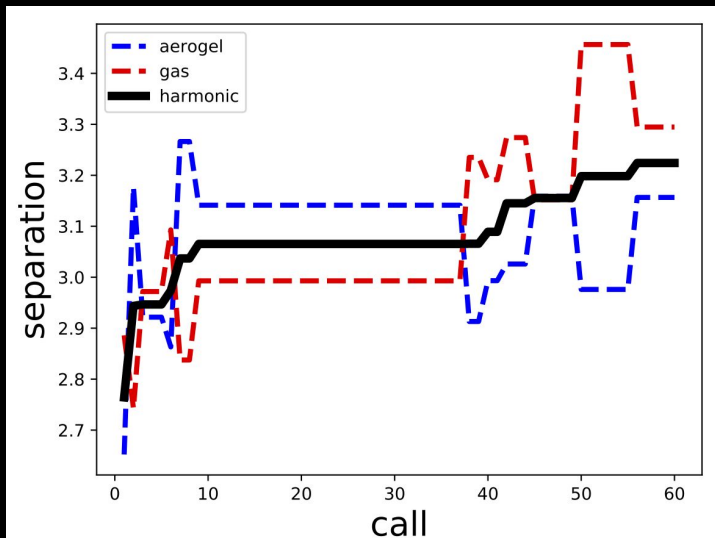
parameter	description	range [units]
R	mirror radius	[290.0,300.0] [cm]
pos r	radial position of mirror center	[125.,140.] [cm]
pos l	longitudinal position of mirror center	[-305.,-295.] [cm]
tiles y	shift along y of tiles center	[-5,5] [cm]
tiles z	shift along z of tiles center	[-105,-95] [cm]
tiles x	shift along x of tiles center	[-5,5] [cm]
$n_{aer.}$	refraction index of aerogel	[1.015,1.03]
$t_{aer.}$	aerogel thickness	[3.0,6.0] cm



Ranges mainly due to mechanical constraints and optics requirements.

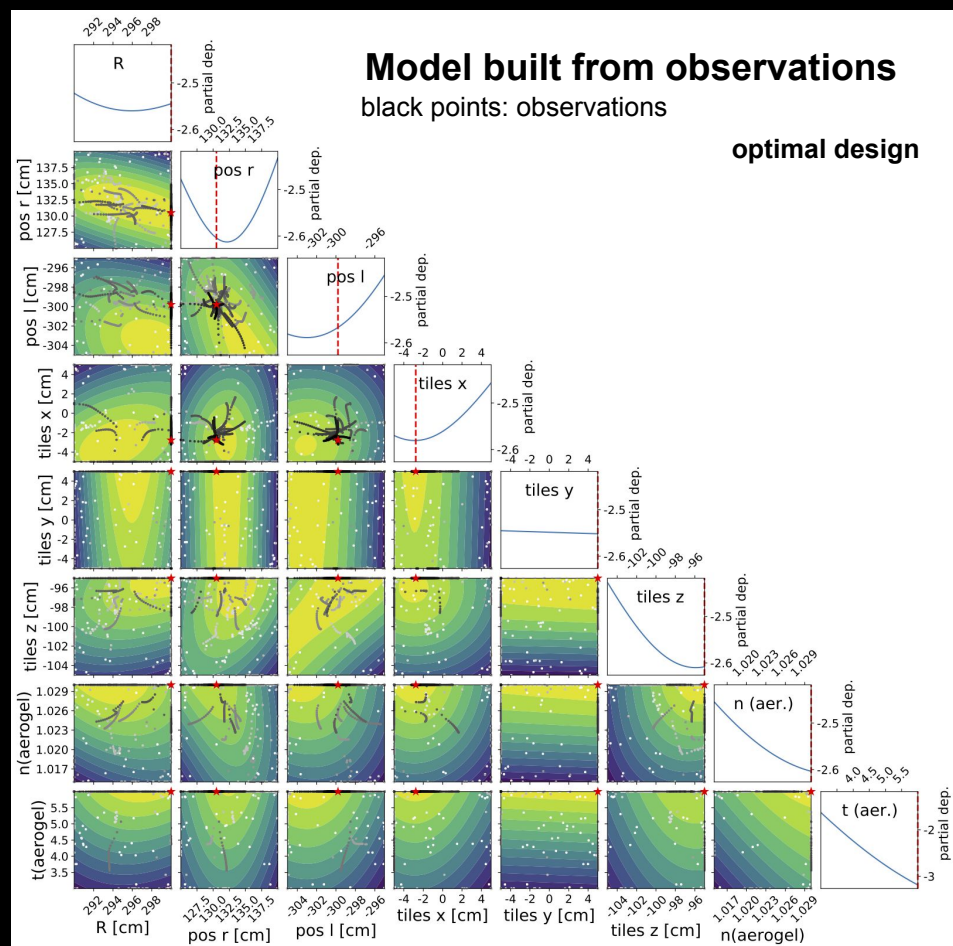
These requirements can change in the next future based on inputs from prototyping.

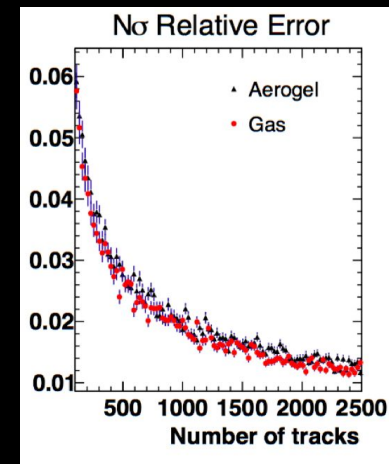
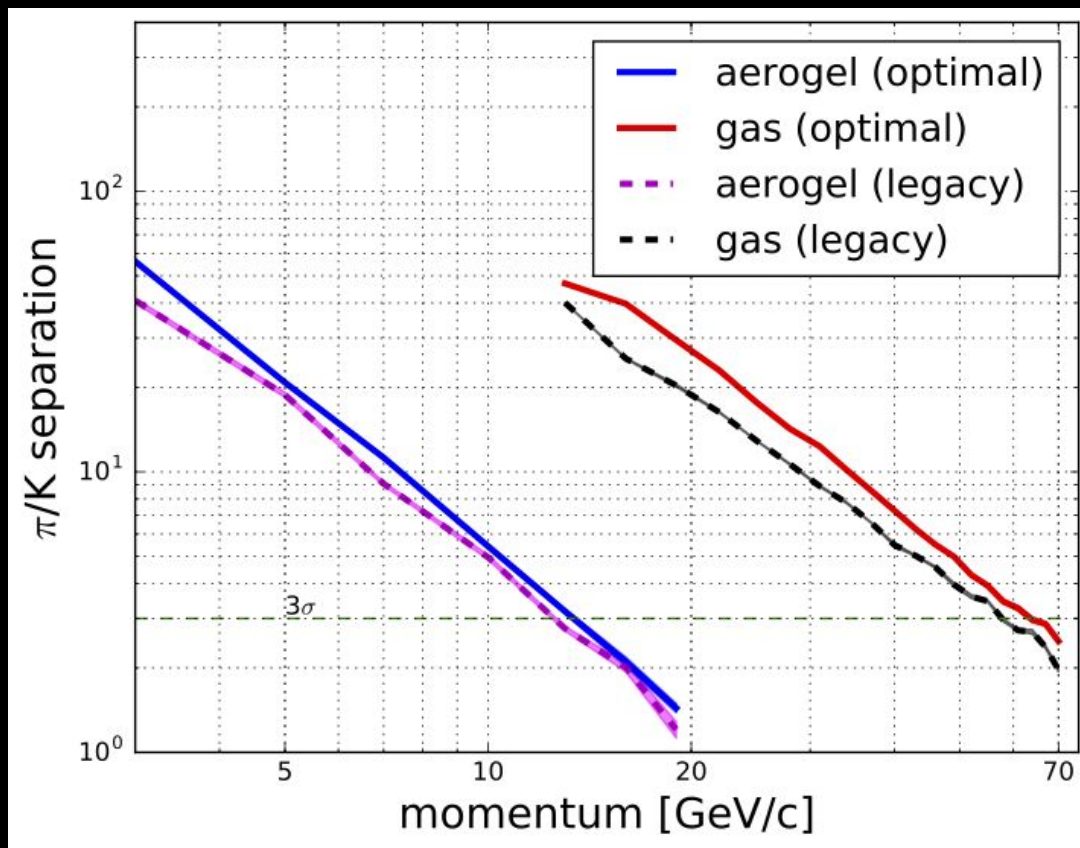
# Results



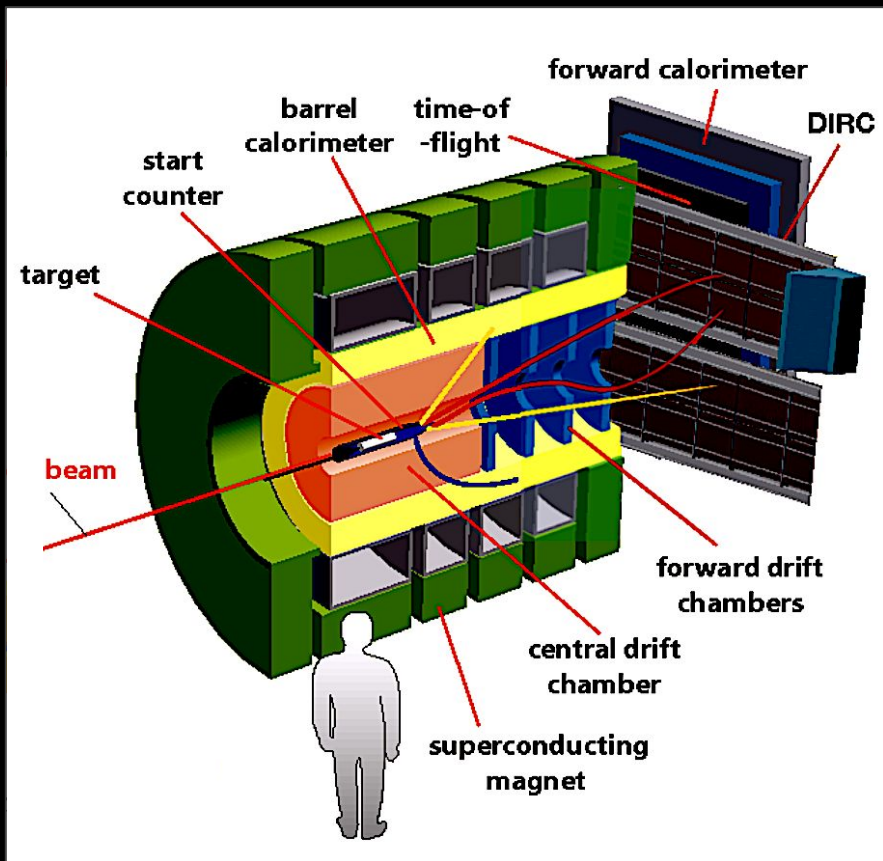
	FoM (h)	$(N\sigma)$ @ 14 GeV/c	$(N\sigma)$ @ 60 GeV/c
BO	3.23	3.16	3.30
legacy	2.9	3.0	2.8

improved “speed” of convergence - tested different regression methods - implemented stopping criteria - determined tolerances



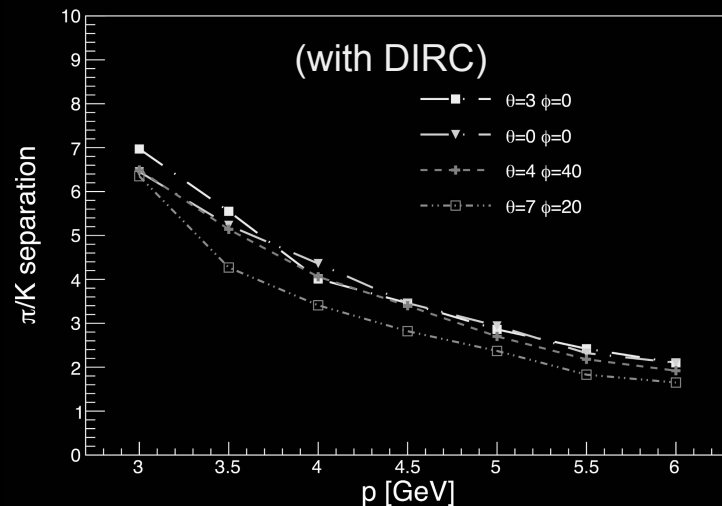


# GlueX DIRC calibration

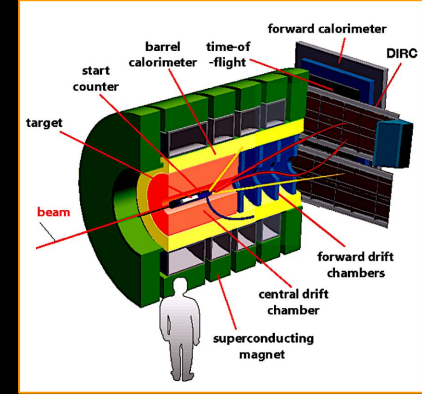
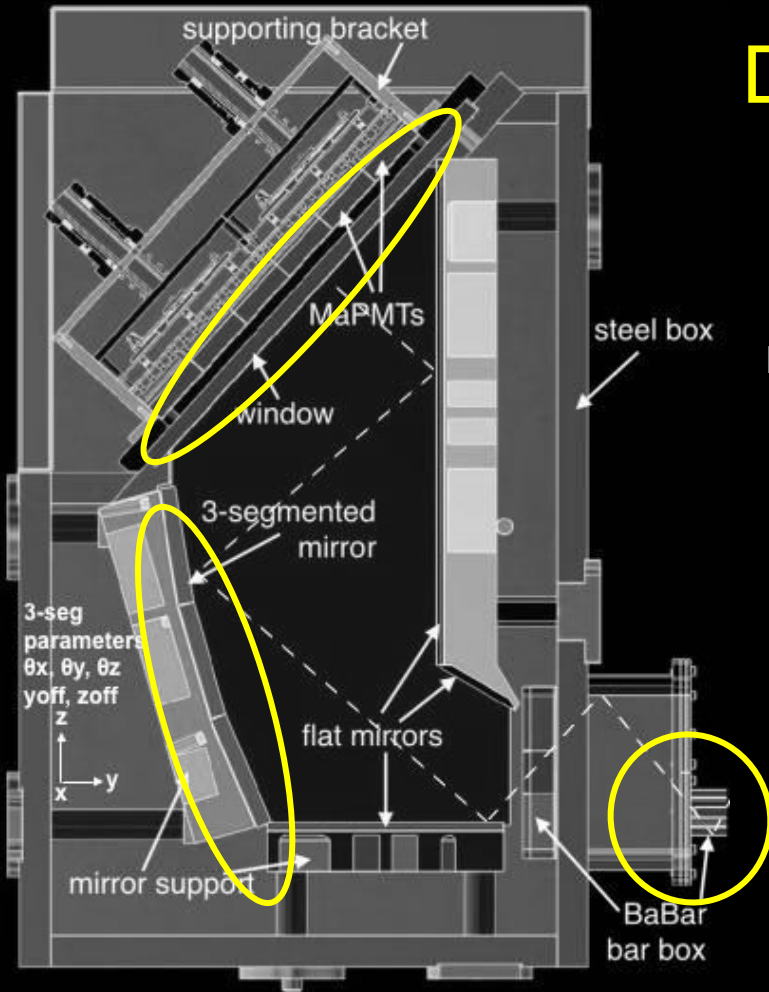


see J. Stevens' talk

DIRC will improve GlueX PID capabilities  
(current  $\pi/K$  separation limited to 2 GeV/c)



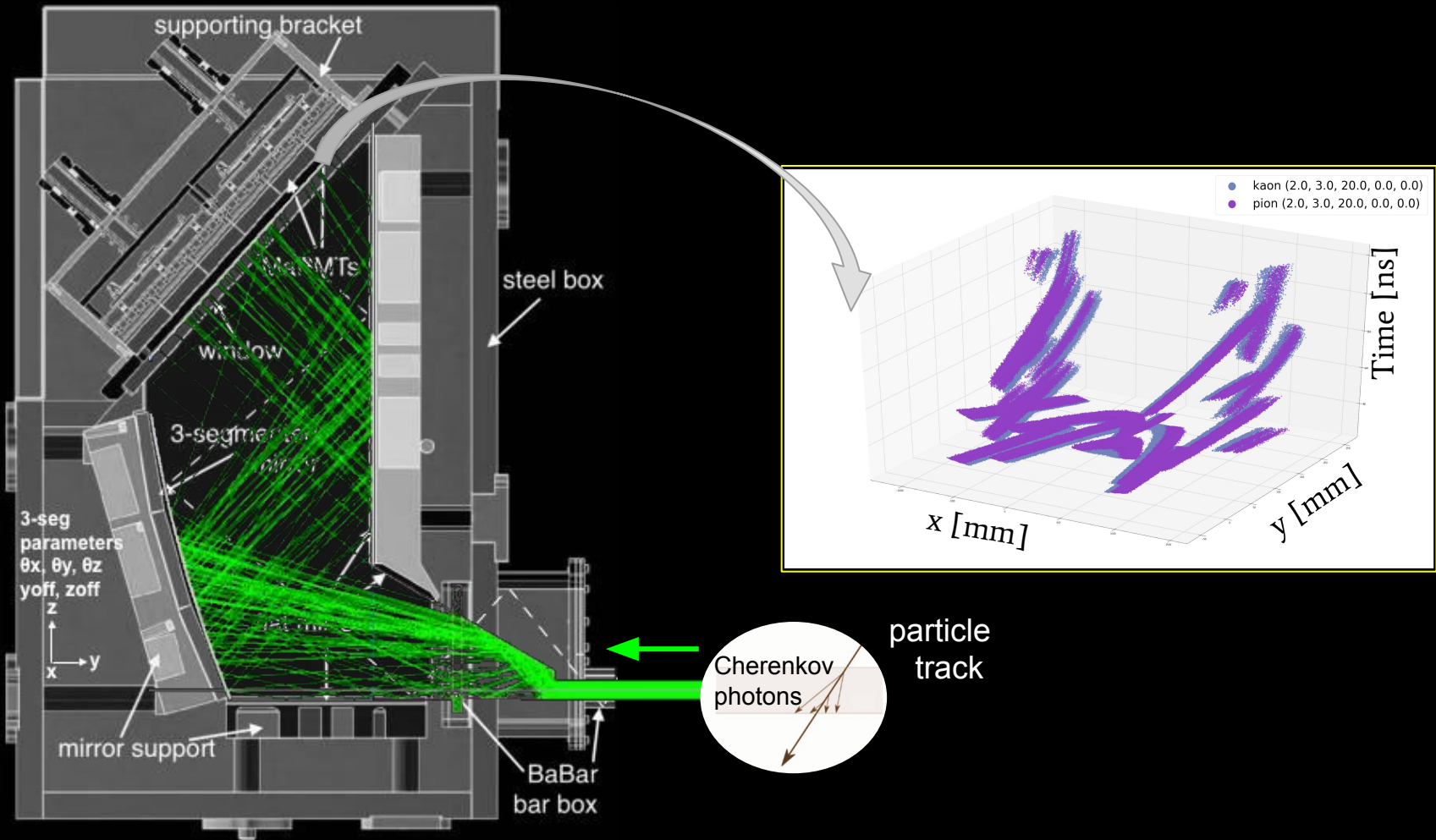
# Detector Alignment



## DIRC @ GlueX/JLab

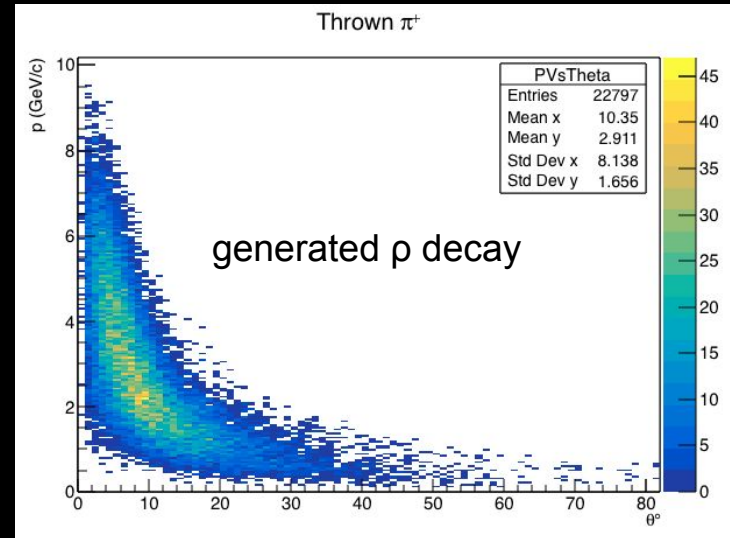
- Optical box made by several components and filled by water.
- During data-taking this becomes a **noisy black-box problem** with many **non-differentiable** terms.
  - **relative alignment** of the **tracking system** with the location and angle of the bars
  - **mirrors shifts** cause parts of the image change
  - other offsets
- These aspects make seemingly impossible to analytically understand the change in PMT pattern
- Requires dedicated system for calibration.





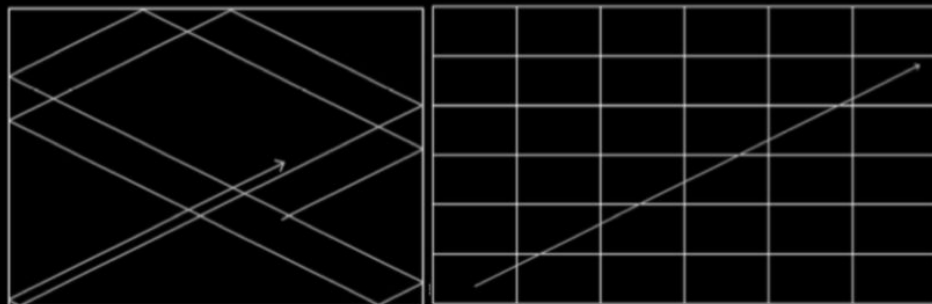
# Pure sample of particles for alignment

- The idea is to use pure sample of pions produced by abundant channels like  $\rho$  decays
- At low momentum they are well identified by current GlueX PID capabilities.
- Use these pions as candles for alignment.
- Test alignment with one bar first and for a subrange of kinematics (momentum, angles, and position in the bar) - *proof of principle*
- Generalize technique (to kaons, other bars, etc. )



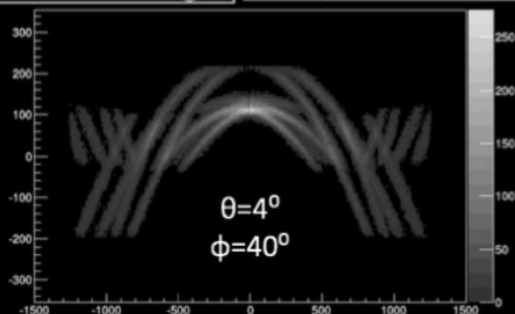
# FastDIRC

J. Hardin and M. Williams, JINST 11.10 (2016)



Fast tracing, mapping straight lines through a tiled plane

1. Generation
2. Traces through bars
3. Traces through expansion volume



**KDE-based**

$$P(x) \approx \sum_i^n K(x - s_i)$$

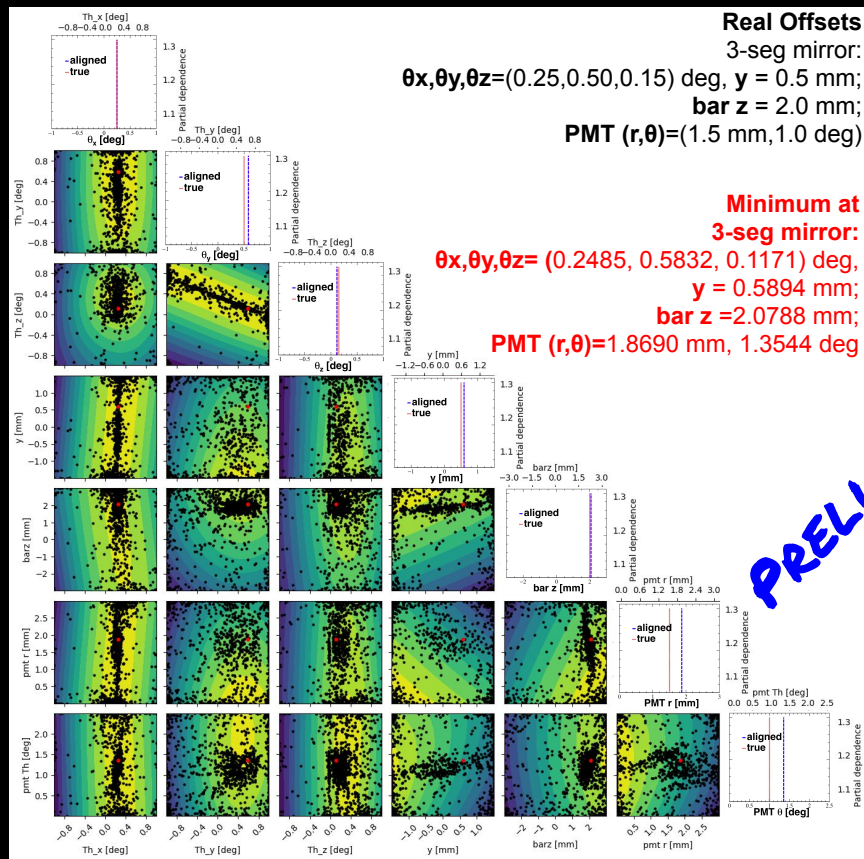
open source

<https://github.com/jmhardin/FasDIRC>

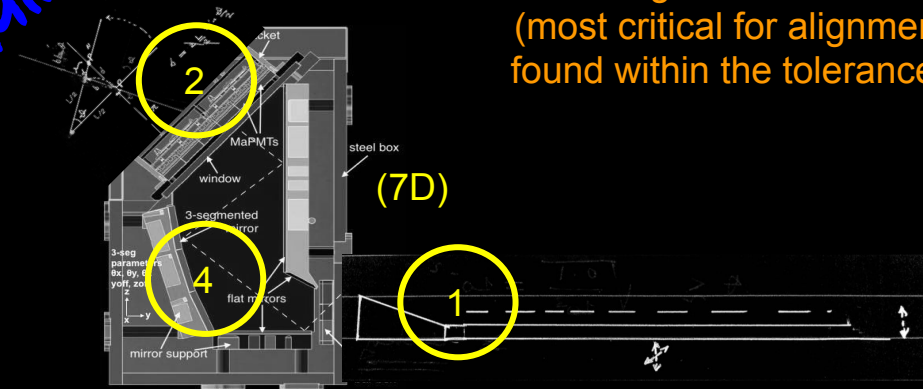
better resolution in regions with high overlap

# Toy model with main offsets

see C. Fanelli, EIC ML seminar



PRELIMINARY



Particles used = 15000  
 Points explored = 1200

FoM = LogL normalized to a default alignment

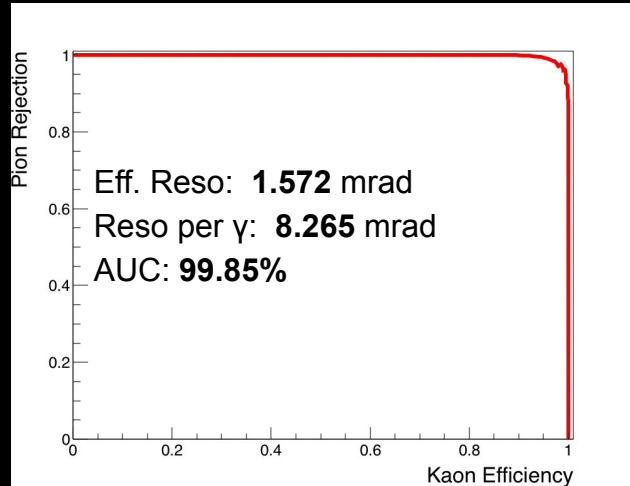
3-seg mirror offsets  
 (most critical for alignment)  
 found within the tolerances.

# Toy model with main offsets

see C. Fanelli, [EIC ML seminar](#)

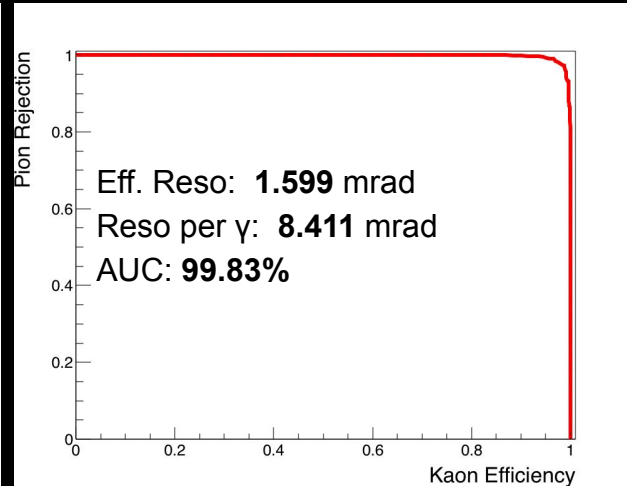
correct

3-seg mirror:  
 $\theta_x, \theta_y, \theta_z = (0.25, 0.50, 0.15)$  deg,  
 $y = 0.5$  mm;  
 $\bar{z} = 2.0$  mm;  
 $\text{PMT}(r, \theta) = (1.5 \text{ mm}, 1.0 \text{ deg})$



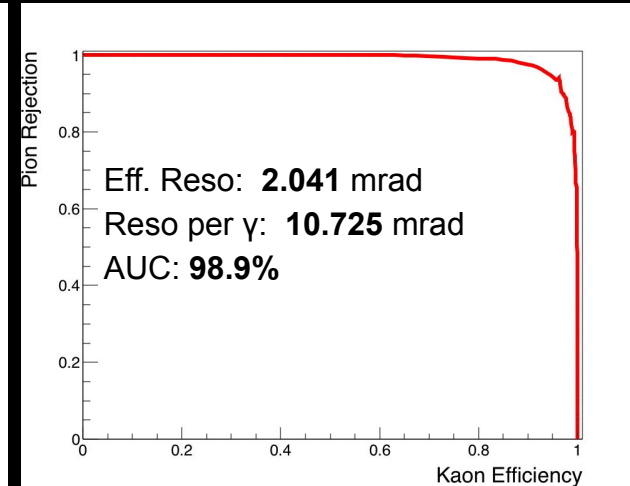
calibrated

3-seg mirror:  
 $\theta_x, \theta_y, \theta_z = (0.2485, 0.5832, 0.1171)$  deg,  
 $y = 0.5894$  mm;  
 $\bar{z} = 2.0788$  mm;  
 $\text{PMT}(r, \theta) = (1.8690 \text{ mm}, 1.3544 \text{ deg})$



non-corrected

3-seg mirror:  
 $\theta_x, \theta_y, \theta_z = (0., 0., 0.)$  deg,  
 $y = 0.$  mm;  
 $\bar{z} = 0.$  mm;  
 $\text{PMT}(r, \theta) = (0. \text{ mm}, 0. \text{ deg})$



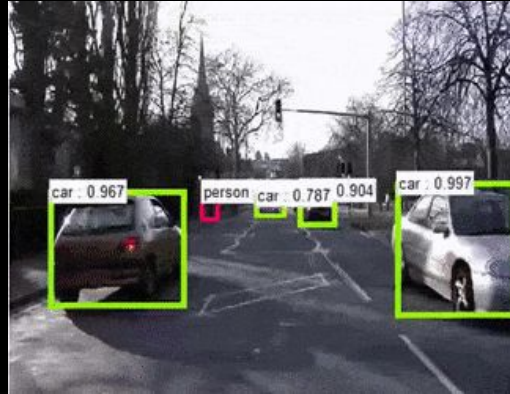
Kinematics:  $(E, \theta, \varphi)$ : (4 GeV, 4 deg, 40 deg)

# Deep Learning

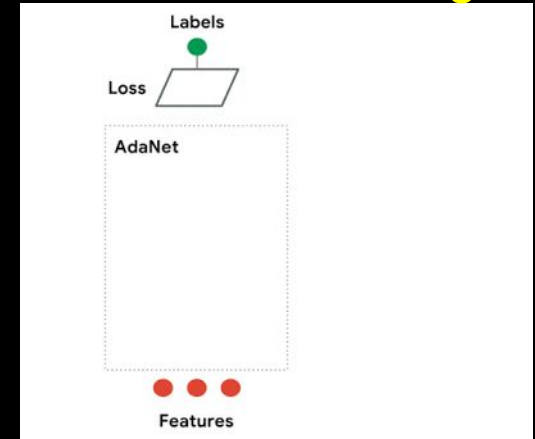
## Autopilot [2]

## Meta-learning [3]

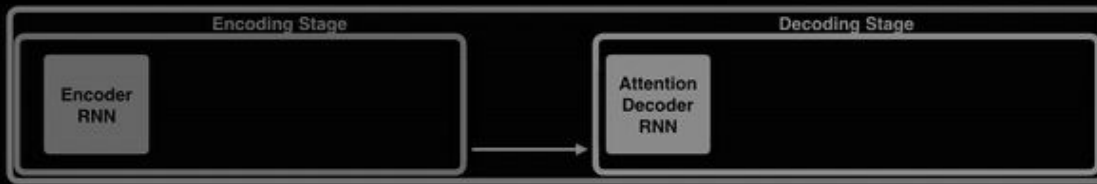
we stand at the height of some of the greatest accomplishments that happened in DL



Ref [1] [2] [3] [4]

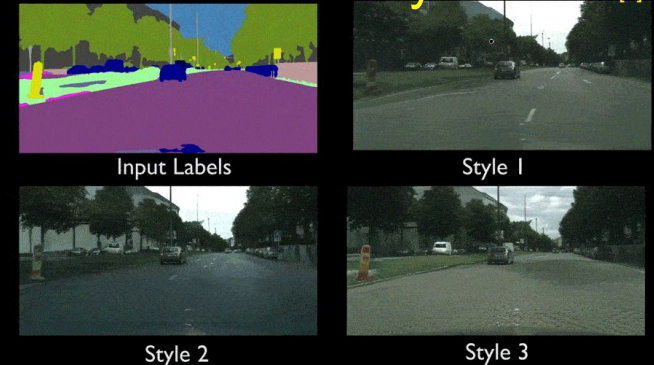


## Natural Language Processing [1]



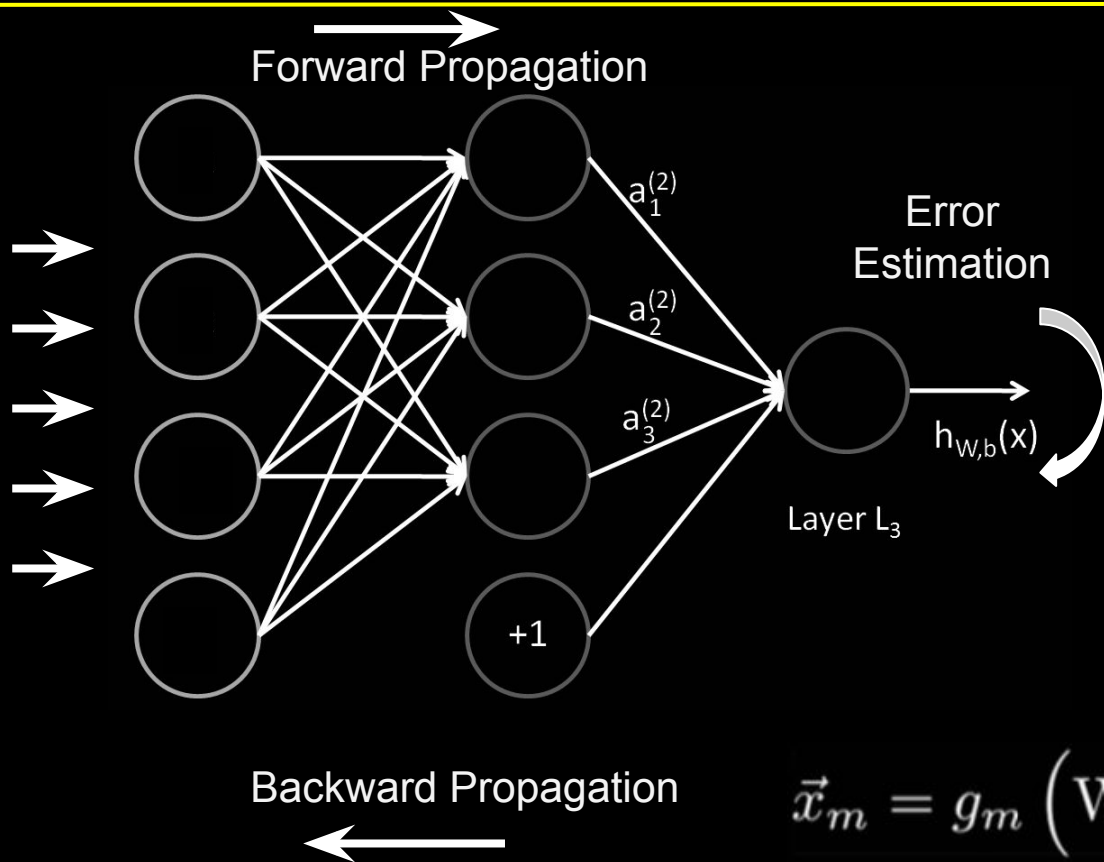
Je suis étudiant

## Video to video synthesis [4]



...but this is also the beginning of this incredible data-driven technology, in particular in our field

# NN: How does it work?

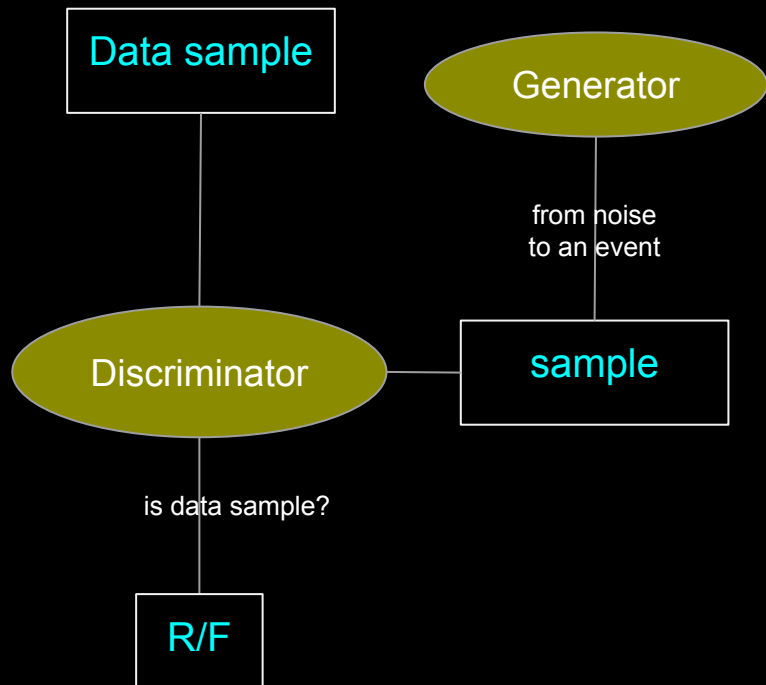


- The real magic about NN is the result of an optimization technique: back-propagation (how a NN works to improve its output over time)
- DL (more hidden) nets are good in learning non-linear functions (heavy processing tasks)
- Based on old school NN revitalized by augmented capabilities (e.g. GPU) and a plethora of new architectures (RNN, CNN, autoencoders, GAN, etc.)

$$\vec{x}_m = g_m \left( W_{m,m-1} \vec{x}_{m-1} + \vec{b}_m \right)$$

# Generative Adversarial Network

arXiv:1406.2661



## Fast Simulations

- Detailed simulation of detector response is provided by amazing tools like Geant, which is slow and often prohibitive for generating large enough samples.
  - Cutting-edge application of deep learning uses GAN for fast simulation.
  - 2-NN game, one model maps noise to images, the other classifies the images if real or fake.
  - The goal is to confuse the discriminator.
- 
- CALOGAN: Paganini, de Oliveira, Nachman 1705.02355
  - jet images production: 1701.05927

CALOGAN can generate the reconstructed CALO image using random noise, skipping the GEANT and RECO steps



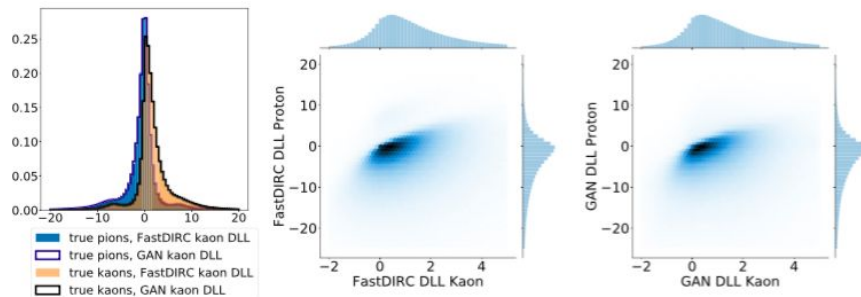


Fig. 1. Left: An example of 1D projection to kaon delta log-likelihood observables for FastDIRC and GAN simulation for samples consisting true pions (blue) and true kaons (brown). Centre and Right: An example of 2D projection to kaon and proton delta log-likelihood observables for FastDIRC (left) and GAN (right) simulation. The sample made of true pion. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

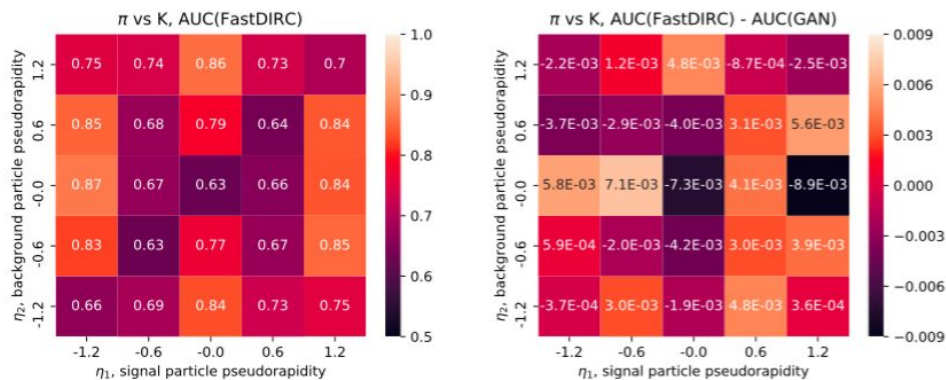


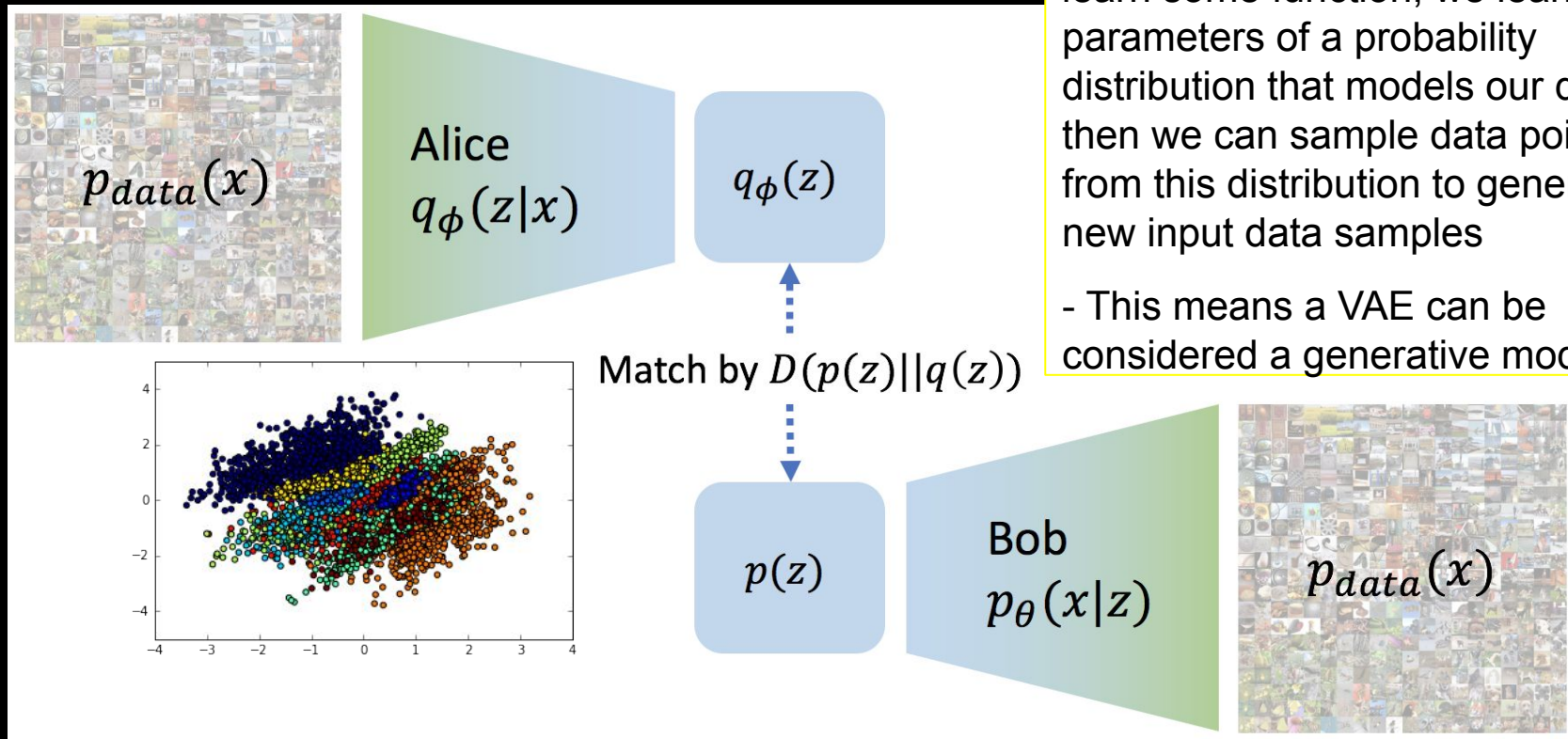
Fig. 2. Separation power between kaons and pions measured in area under receiver operating characteristic curve score (AUC score). Left is the FastDIRC simulation, right is the difference between GAN and FastDIRC AUC scores. The statistical uncertainty is around 0.005.

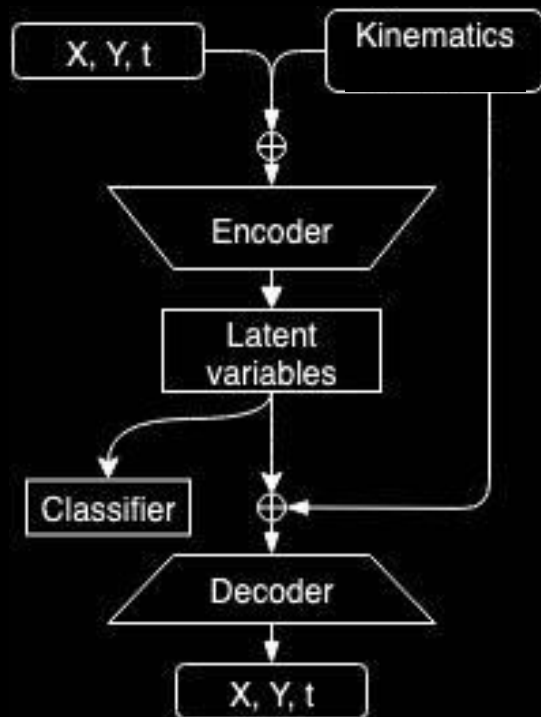
# Variational autoencoder

- It learns a latent variable model of its input data

- Instead of letting the network to learn some function, we learn the parameters of a probability distribution that models our data, then we can sample data points from this distribution to generate new input data samples

- This means a VAE can be considered a generative model

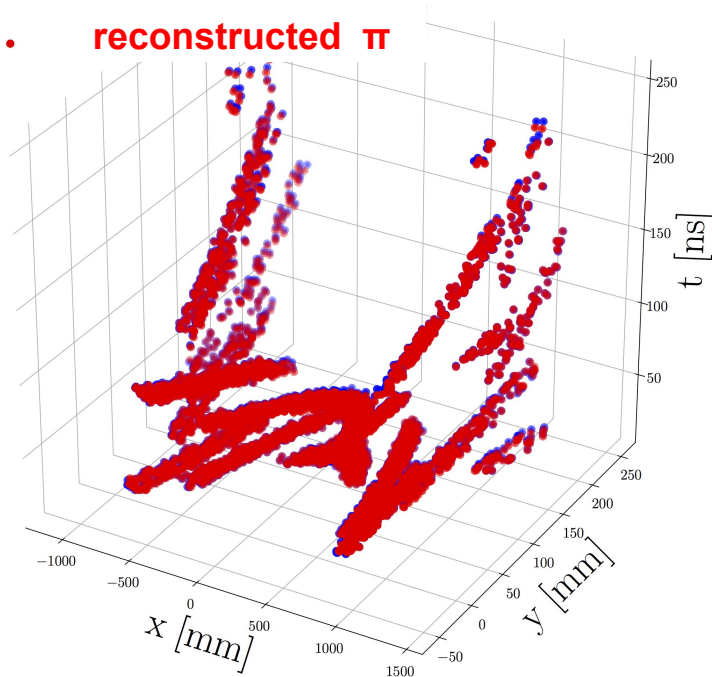




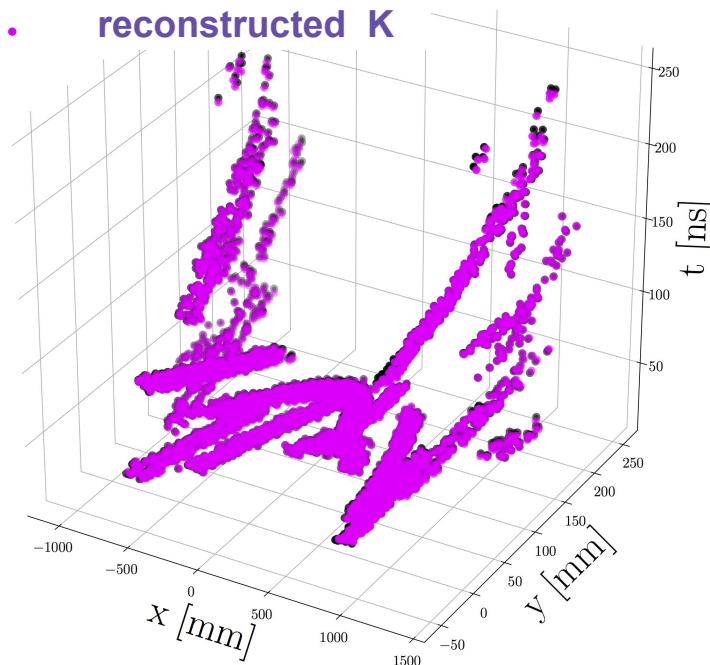
The model is trained minimizing a total loss function, consisting of:

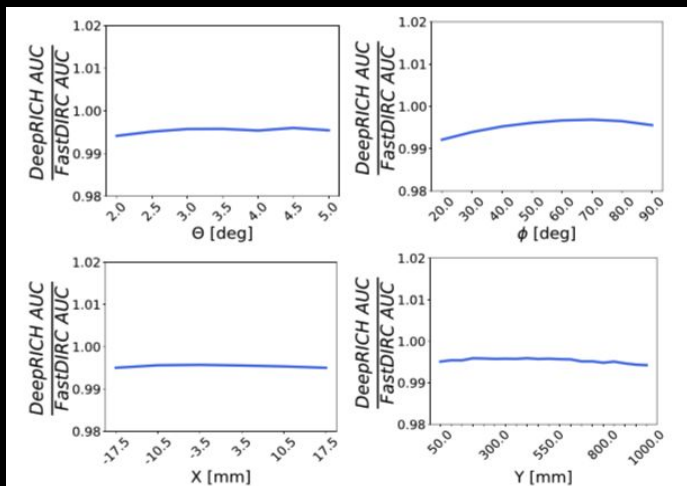
- average reconstruction loss
- cross-entropy for classification accuracy
- MMD between the distributions  $p(z)$  and  $q(z)$

- **injected  $\pi$**
- **reconstructed  $\pi$**



- **injected K**
- **reconstructed K**

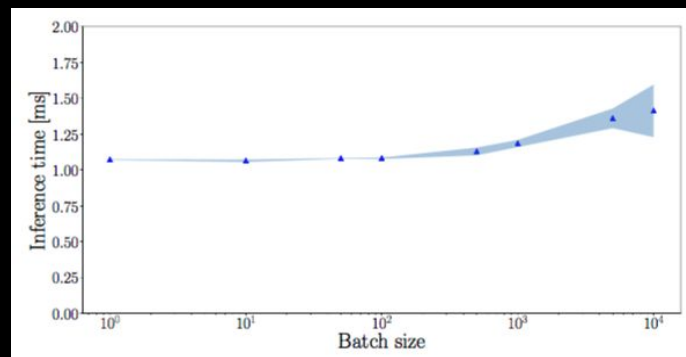




- We proved that deepRICH can reach the PID performance of established algorithms. This depends only on the available resources for training.

Kinematics	DeepRICH			FastDIRC		
	AUC	$\epsilon_S$	$\epsilon_B$	AUC	$\epsilon_S$	$\epsilon_B$
4 GeV/c	99.74	98.18	98.16	99.88	98.98	98.85
4.5 GeV/c	98.78	95.21	95.21	99.22	96.33	96.32
5 GeV/c	96.64	91.13	91.23	97.41	92.40	92.47

- Remarkable reconstruction time  $\sim 1$  ms for a batch of  $10^4$  particles



More details in ArXiv [1911.11717](https://arxiv.org/abs/1911.11717)

# Summary

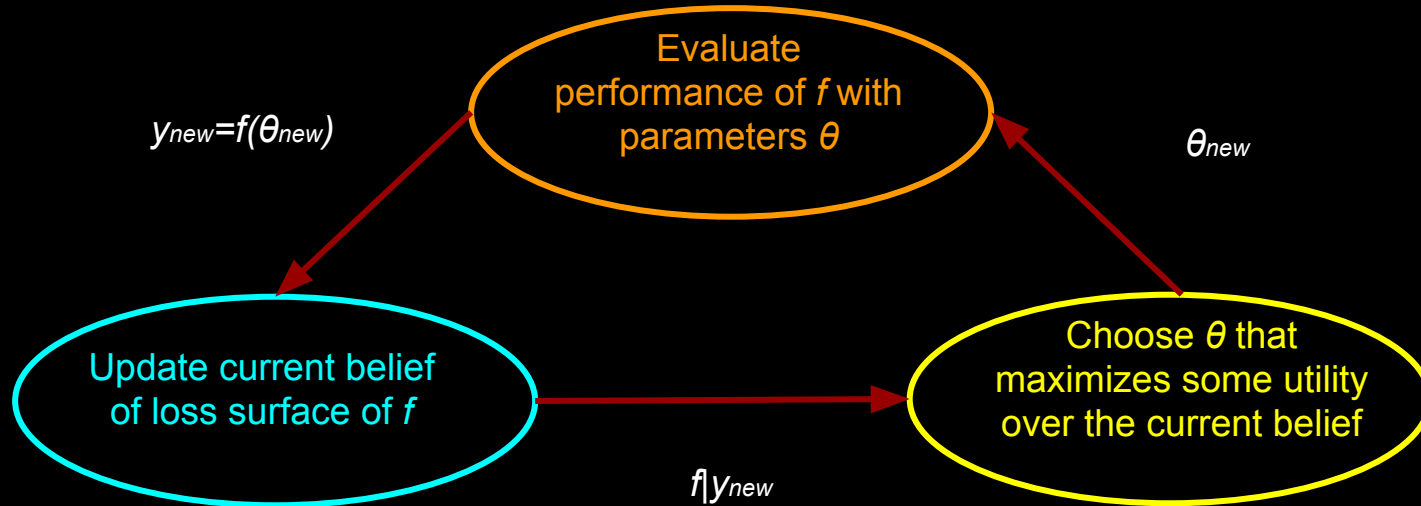
- d-RICH: we demonstrated the design is optimizable. When more realistic constraints will be available they will be implemented in BO. This can be useful in prototyping of dRICH design and any other detector.
- Global optimization techniques can be used for the GlueX DIRC expansion volume calibration with real data.
- Applied deep learning to PID for DIRC. Shown feasibility with a variational autoencoder. Potential for high performance (both in terms of reconstruction and time). Possibility to extend the architecture to fast simulation.



**BACKUP**

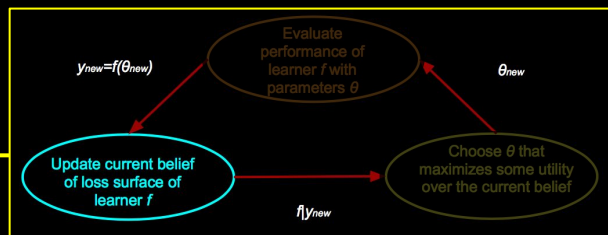
# Bayesian Optimization

It basically consists of three steps



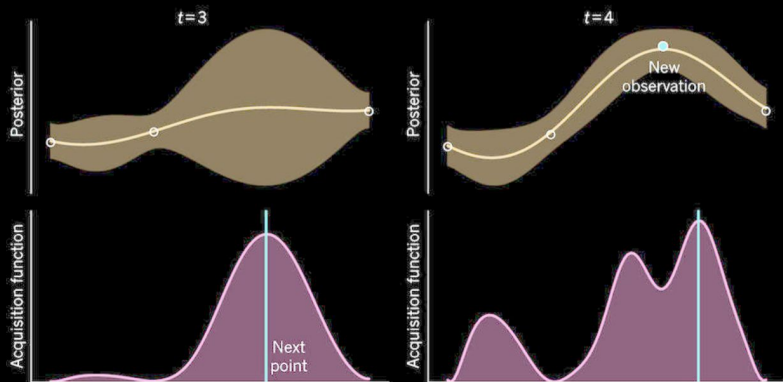


# Update



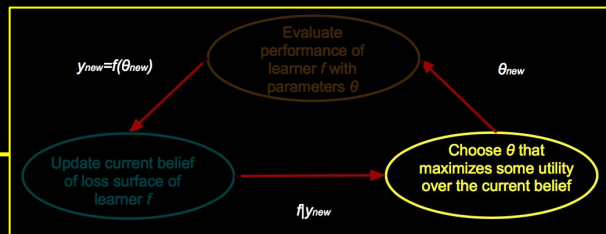
- GPs are the generalization of a Gaussian distribution to a distribution over functions, instead of random variables.
- GP is completely specified by its mean function and covariance function.

- How should I read this?

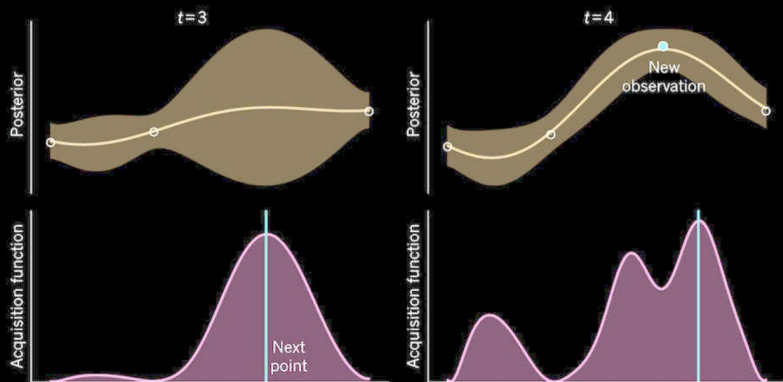


- **Solid line:** function we are trying to min/max
- **Shaded region:** probability model (we know the actual points already evaluated but we are more uncertain in regions where we haven't).
- In every point a normal distribution of the potential performance function is built.

# Next points



- Where am I going to sample next?
- We use utility functions called acquisition functions (formalize what is the best guess )
- Expected improvements is one example: find next point that improves the performance the most.



EXPLOITATION  
Sample a  $\theta$  with higher value than current one

EXPLORE  
Sample a point where uncertainty is high

want to maximize

$$EI(\theta) = \begin{cases} \left( \mu(\theta) - f(\hat{\theta}) \right) \Phi(Z) + \sigma(\theta) \phi(Z), & \sigma(\theta) > 0 \\ 0, & \sigma(\theta) = 0 \end{cases}$$

CDF
PDF
PDF

best value we found so far

$$Z = \frac{\mu(\theta) - f(\hat{\theta})}{\sigma(\theta)}$$

Modelled with a Gaussian Process, the function value at a given point  $x$  can be considered as a normal random variable with mean  $\mu$  and variance  $\sigma^2$ . Given the best (minimum in a minimization setup) function value obtained so far-let's denote it by  $f^*$ :

we are interested in quantifying the improvement over  $f^*$  we will have if we sample a point  $x$ . Mathematically, the improvement at  $x$  can be expressed as follows

$$I(x) = \max(f^* - Y, 0)$$

where  $Y$  is the random variable  $\sim \mathcal{N}(\mu, \sigma^2)$  that corresponds to the function value at  $x$ . Since  $I$  is a random variable, one can consider the average (expected) improvement (EI) to assess  $x$ :

$$EI(x) = E_{Y \sim \mathcal{N}(\mu, \sigma^2)} [I(x)]$$

With the reparameterization trick,  $Y = \mu + \sigma\epsilon$  where  $\epsilon \sim \mathcal{N}(0, 1)$ , we have:

$$EI(x) = E_{\epsilon \sim \mathcal{N}(0,1)} [I(x)]$$

which can be written as (from linearity of integral, and the definition of  $\frac{d}{d\epsilon} e^{-\epsilon^2/2}$  derivative)

$$EI(x) = \int_{-\infty}^{\infty} I(x)\phi(\epsilon)d\epsilon$$

$$EI(x) = \int_{-\infty}^{(f^* - \mu)/\sigma} (f^* - \mu - \sigma\epsilon)\phi(\epsilon)d\epsilon$$

$$EI(x) = (f^* - \mu)\Phi\left(\frac{f^* - \mu}{\sigma}\right) - \sigma \int_{-\infty}^{(f^* - \mu)/\sigma} \epsilon\phi(\epsilon)d\epsilon$$

$$EI(x) = (f^* - \mu)\Phi\left(\frac{f^* - \mu}{\sigma}\right) + \frac{\sigma}{\sqrt{2\pi}} \int_{-\infty}^{(f^* - \mu)/\sigma} (-\epsilon)e^{-\epsilon^2/2} d\epsilon$$

$$EI(x) = (f^* - \mu)\Phi\left(\frac{f^* - \mu}{\sigma}\right) + \frac{\sigma}{\sqrt{2\pi}} e^{-\epsilon^2/2} \Big|_{-\infty}^{(f^* - \mu)/\sigma}$$

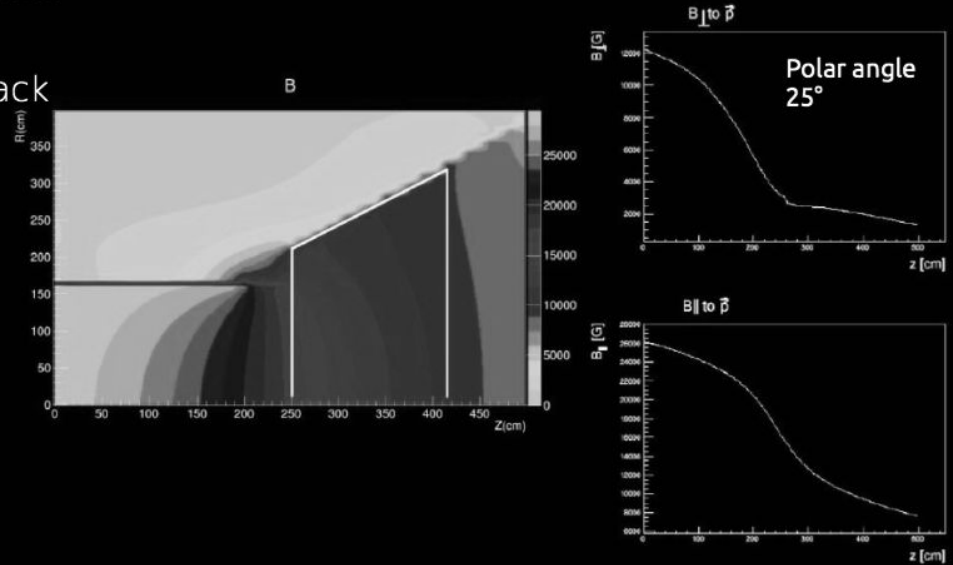
$$EI(x) = (f^* - \mu)\Phi\left(\frac{f^* - \mu}{\sigma}\right) + \sigma\left(\phi\left(\frac{f^* - \mu}{\sigma}\right) - 0\right)$$

$$EI(x) = (f^* - \mu)\Phi\left(\frac{f^* - \mu}{\sigma}\right) + \sigma\phi\left(\frac{f^* - \mu}{\sigma}\right)$$

# Field Effects

Smearing from field perpendicular to the track affects the Cherenkov angle (Ring) resolution

- Can be suppressed by active shaping of the field



Related issues:

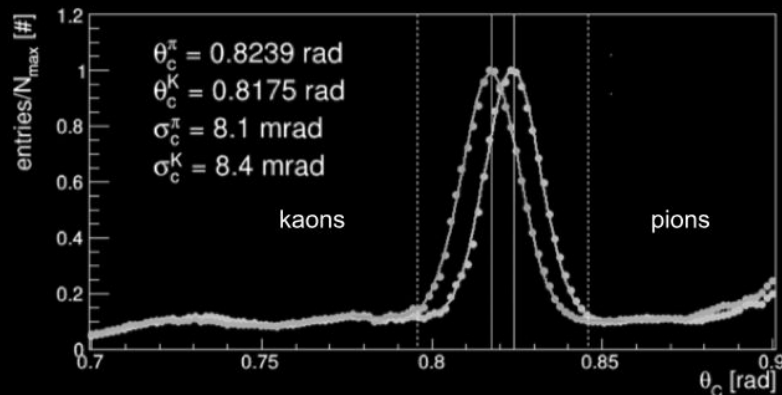
- Cost and space for adapting the magnet
- Effect of the field on the photo-detector

Indeed the choice of the photo-sensors will be driven by magnetic field and cost effectiveness!

# (GlueX) DIRC Reconstruction Algorithms

R. Dzhygadlo et al. Nucl. Instr. And Meth. A, 766:263 (2014)

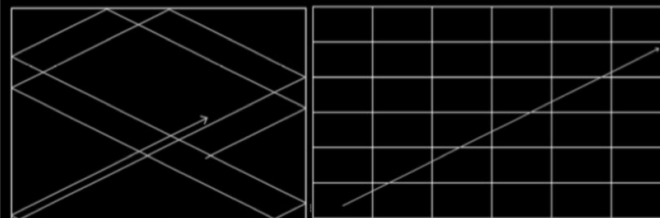
1. Creation of the LUT: store directions at the end of the radiator for each hit pixel
2. Direction from the LUT for the hit pixels are combined with the track directions (from tracking)



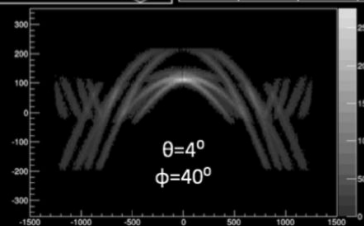
J. Hardin and M. Williams, JINST 11.10 (2016)

Fast tracing mapping straight lines through a tiled plane

1. Generation - 2. Traces through bars - 3. Traces through expansion volume



KDE-based



$$P(x) \approx \sum_i^n K(x - s_i)$$

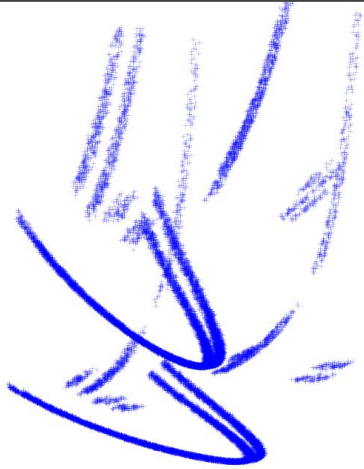
<https://github.com/jmhardin/FasDIRC>

basically a trade-off memory/CPU usage

faster reconstruction/hit pattern

better resolution in regions with high overlap

# Hit Patterns



DIRC rings for  $\pi^+$  plotted with time on the z-axis.

Credits:  
J. Hardin, PhD thesis

- 3D (x,y,t) readout and this allows to separate spatial overlaps.
- Patterns take up significant fractions of the PMT in x,y and are read out over 50-100 ns due to propagation time in bars.
- H12700 PMTs have a time resolution of O(500 ps) and read-out electronics giving time information in 1 ns buckets.