



# The Variational Approach to Gauge Field Theory

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# Overview

1. The uses of functional methods
2. Two flavours of variational approaches
3. Results for Yang-Mills theory
4. Beyond the Gaussian ansatz
5. Results for fermions
6. Summary and outlook



$$\rho) + \langle \ln \mathcal{J} \rangle$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y)$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \}$$

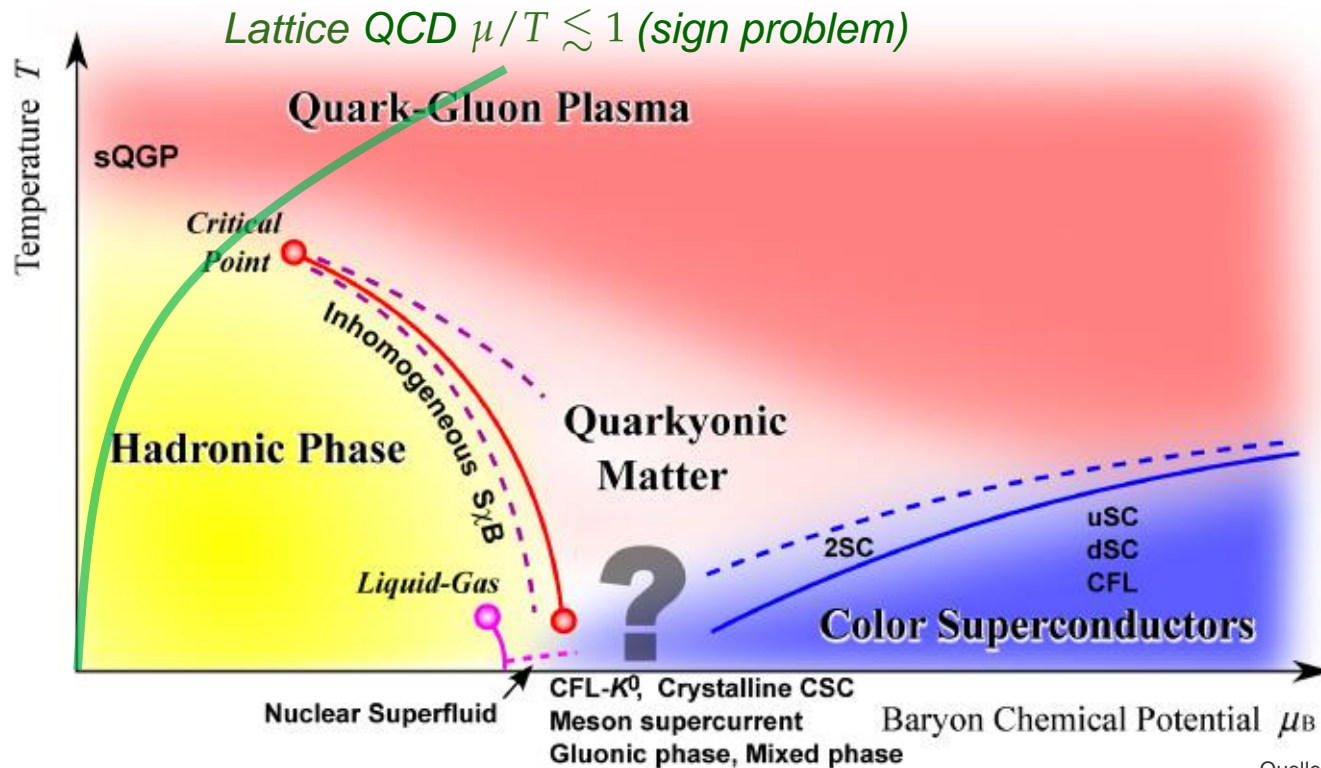
$$\text{et } \left( \frac{2\pi}{\bar{\omega}} \right)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \}$$

$$\ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} d\mathbf{k} \bar{\omega}_{\mu\nu}^{-1}(k) \{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \}$$

# The Uses of Functional Methods



# QCD Phase Diagram





## Functional methods

- Dyson-Schwinger equations (**DSE**)
- Functional renormalization group flow equations (**FRG**)
- Variational methods (**VAR**)

## Comparison

- **DSE**  $\leftrightarrow$  **VAR**: can use DSE technique in VAR ( $\Rightarrow$  auto-tuned DSE)
- **FRG**  $\leftrightarrow$  **VAR**: many small steps vs. one giant leap



## Features of functional methods

- Ab initio calculation of QCD @  $\mu > 0$
- Numerical effort modest
- Unquenching penalty (relatively) small
- Traditional field theory , partially analytic
- Yields Green's functions, but also Polykov loop, thermodynamics...



$$\rho) + \langle \ln \mathcal{J} \rangle$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y)$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \}$$

$$\text{et } \left( \frac{2\pi}{\bar{\omega}} \right)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \}$$

$$\ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} d\mathbf{k} \bar{\omega}_{\mu\nu}^{-1}(k) \{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \}$$

# Two flavours of variational approaches



Hamiltonian Approach	Covariant Variational Approach
non-covariant (Coulomb gauge)	fully covariant (Landau gauge)
intuitive	intuitive
complicated Hamiltonian	simple dynamics
renormalization unclear	standard renormalization
Gauss' law resolved exactly	BRST symmetry broken
extension to $T > 0$ complicated (but $S^1 \times \mathbb{R}^2$ )	extension to $T > 0$ very simple & natural





## Hamiltonian approach

- Weyl gauge  $A_0 = 0$  and Coulomb gauge  $\nabla \vec{A} = 0$
- Resolve Gauß' law by elimination of  $\vec{\Pi}$

$$H = \frac{1}{2} \int \left[ \mathcal{J}_A^{-1} \vec{\Pi} \cdot \mathcal{J}_A \vec{\Pi} + \vec{B}^2 \right] + \frac{g^2}{2} \int \mathcal{J}_A^{-1} \rho \mathcal{J}_A \cdot F_A \cdot \rho$$

- Electric field  $\vec{\Pi}(\vec{x}) = \frac{1}{i} \frac{\delta}{\delta \vec{A}(\vec{x})}$
- Magnetic field  $\vec{B} = \nabla \times \vec{A} + g [\vec{A}, \vec{A}]$
- FP determinant  $\mathcal{J}_A = \det(-\nabla \cdot \vec{D})$
- Coulomb kernel  $F_A = (-\nabla \cdot \vec{D})^{-1} \cdot (-\nabla^2) \cdot (-\nabla \cdot \vec{D})^{-1}$



## Hamiltonian approach

- Schrödinger picture + static **ground state wave functional**  $\Psi_0[\vec{A}(\vec{x})] = \langle \vec{A} | \Psi_0 \rangle$

$$\frac{\langle \Psi_0 | H | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle} = \min$$

D. Schütte 1984  
A. Szczepaniak, E. Swanson 2002  
C. Feuchter, H. Reinhardt 2004

- Observables

$$\langle \Omega \rangle = \int \mathcal{D}\vec{A} \mathcal{J}_A \cdot \Psi_0[\vec{A}]^* \Omega[\vec{A}] \Psi_0[\vec{A}]$$

variational kernel =  
static gluon energy =  
static gluon propagator  
 $\langle A(\vec{x}) A(\vec{y}) \rangle = [2\omega(\vec{x}, \vec{y})]^{-1}$

- Trial wave functional

$$\Psi_0[\vec{A}] \sim \frac{1}{\sqrt{\mathcal{J}_A}} \cdot \exp \left[ -\frac{1}{2} \int d(\vec{x}, \vec{y}) \vec{A}(\vec{x}) \omega(\vec{x}, \vec{y}) \vec{A}(\vec{y}) + \dots \right]$$

C. Feuchter, H. Reinhardt 2004

Greensite, Matevosyan, Olejnik, M.Q., Reinhardt, Szczepaniak Phys. Rev. D **83**, 114509 (2011)



## Covariant variation principle

- Variation principle for functional **probability measure**  $d\mu = dA \cdot \rho(A)$

$$\langle \hat{A}(x_1) \cdots \hat{A}(x_n) \rangle = \int d\mu(A) A(x_1) \cdots A(x_n)$$

- Free action**  $F(\mu) = \langle S(A) \rangle_\mu - \hbar \mathcal{W}(\mu)$   
euclidean action
entropy  $\mathcal{W} = -\langle \ln \rho \rangle_\mu$

- Variation principle I

$$F(\mu) \stackrel{!}{=} \min \implies d\mu_0(A) = Z^{-1} \exp \left[ \hbar^{-1} S(A) \right] dA \quad \text{Gibbs measure}$$

$$\langle A(x_1) \cdots A(x_n) \rangle_{\mu_0} \quad \text{Schwinger functions}$$



- Variation principle II (Quantum effective action)

$$F(\mu, \omega) = \min_{\mu} \left\{ F(\mu) \mid \langle \Omega \rangle_{\mu} = \omega \right\} \xrightarrow{\min} d\mu = d\mu_{\omega}$$

$$\Gamma(\omega) = F(\mu_{\omega}, \omega) \stackrel{!}{=} \min$$

**Note:** Usually  $\Omega = A$  ( $\omega = \mathcal{A}$ ) and proper functions  $\frac{\delta\Gamma(\mathcal{A})}{\delta\mathcal{A}(x_1) \cdots \delta\mathcal{A}(x_n)}$

- Variation principle III (Yang-Mills theory)

$$d\mu_0(A) = dA \mathcal{J}(A) \exp \left[ -\hbar^{-1} S_{\text{gf}}(A) \right]$$

FP determinant

➔  $\bar{\mathcal{W}}(\mu) = \mathcal{W}(\mu) + \langle \ln \mathcal{J} \rangle = -\langle \ln(\rho / \mathcal{J}) \rangle$  relative entropy



• trial measure:  $d\mu(A) = dA \cdot \mathcal{N} \exp \left[ - R(A) \right]$

• Variation principle: Effective action for operator  $\Omega$  with classical value  $\omega$

$$F(\mu, \omega) \equiv \left\{ \langle S_{\text{gf}} \rangle_{\mu} - \hbar \left[ \langle R \rangle_{\mu} + \langle \mathcal{J} \rangle_{\mu} + \ln \mathcal{N} \right] \mid \langle \Omega \rangle_{\mu} = \omega \right\} \stackrel{!}{=} \min$$



1. Determines kernels in  $R(A) = \frac{1}{2} A \omega A + \frac{1}{3!} \gamma A^3 + \dots$

2. Minimal value  $\Gamma(\omega) = F(\mu_{\text{min}}, \omega)$  is effective action (1PI)



## A word of caution

Minimal free action  $\stackrel{?}{=}$  generating functional of 1PI functions for solution  $d\mu$

➔ only for exact solution

Example:  $\phi^4$  theory with Gaussian trial measure

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{m^2}{2} \phi^2 + \frac{\lambda}{4!} \phi^4$$

$$d\mu(\phi) = \det \omega^{\frac{1}{2}} \cdot \exp \left[ -\frac{1}{2} \int \phi \omega \phi \right]$$

➔ • 1PI generating functional

$$\Gamma = \int dx \left[ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{M^2}{2} \phi^2 \right]$$

• minimal free action

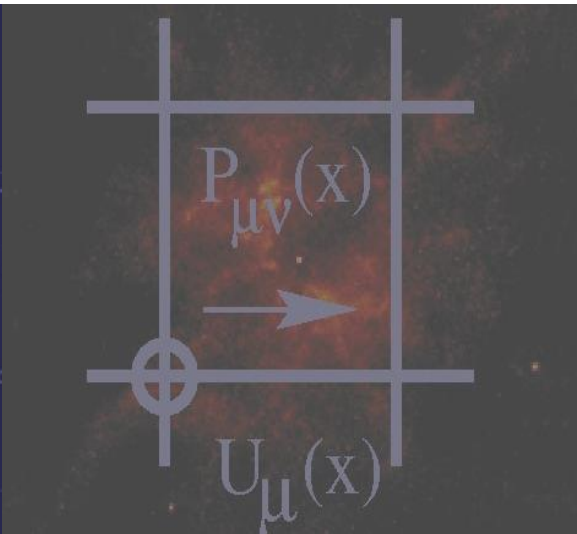
$$\Gamma = \int dx \left[ \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{M^2}{2} \phi^2 + \frac{\lambda}{4!} [1 + \dots] \phi^4 + \mathcal{O}(\phi^6) \right]$$

dynamical mass (in both cases)

$$M^2 = m^2 + \frac{\hbar \lambda}{2} \int \frac{d^d k}{(2\pi)^d} \frac{1}{k^2 + M^2}$$



$$\begin{aligned}
 & \rho) + \langle \ln \mathcal{J} \rangle \\
 & + \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y) \\
 & + \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \left\{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \right\} \\
 & \text{et } \left( \frac{2\pi}{\bar{\omega}} \right)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \left\{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \right\} \\
 & \ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} dk \bar{\omega}_{\mu\nu}^{-1}(k) \left\{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \right\}
 \end{aligned}$$



# Yang-Mills Theory at T=0



## Gaussian ansatz

- UV : gluons weakly interacting
- IR : ghost dominance near Gribov horizon, self-interaction subdominant

$$d\mu(A) = \mathcal{N}(\omega) \cdot \mathcal{J}(A)^{-1} \cdot \exp \left[ -\frac{1}{2} \int d(x, y) A_\mu^c(x) \delta^{ab} \omega_{\mu\nu}(x, y) A_\nu^b(y) \right]$$

## Curvature Approximation

$$\ln \mathcal{J}(A) = -\frac{1}{2} \int d(x, y) A_\mu^a(x) \chi_{\mu\nu}^{ab}(x, y) A_\nu^b(y) + \dots$$

$$\chi_{\mu\nu}^{ab}(x, y) = - \left\langle \frac{\delta^2 \ln \mathcal{J}}{\delta A_\mu^a(x) \delta A_\nu^b(y)} \right\rangle \longrightarrow \delta^{ab} t_{\mu\nu}(k) \chi(k) \quad \text{curvature}$$





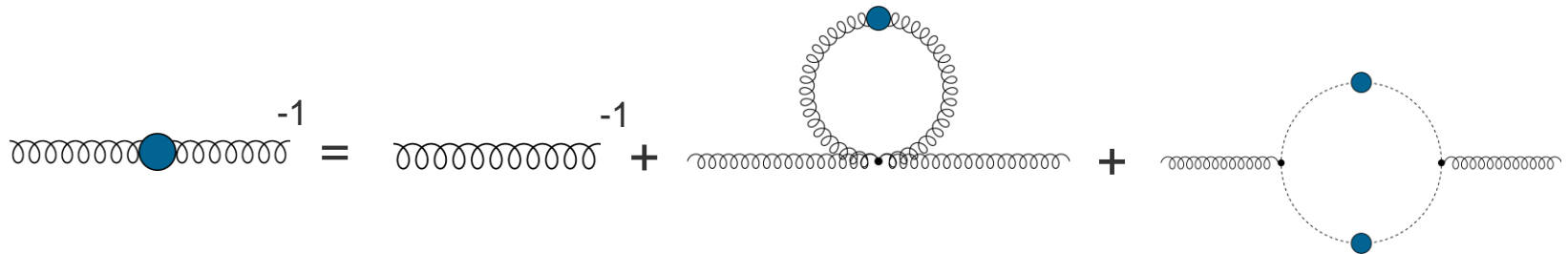
## Free action

- evaluation of  $F(\omega) = \langle S_{\text{gf}} \rangle_{\omega} - \bar{\mathcal{W}}(\omega)$  : only Wick's theorem

## Gap Equation

$$\frac{\delta}{\delta\omega(k)} F(\omega) = 0$$

$$\omega(k) = k^2 + M^2 + \chi(k)$$





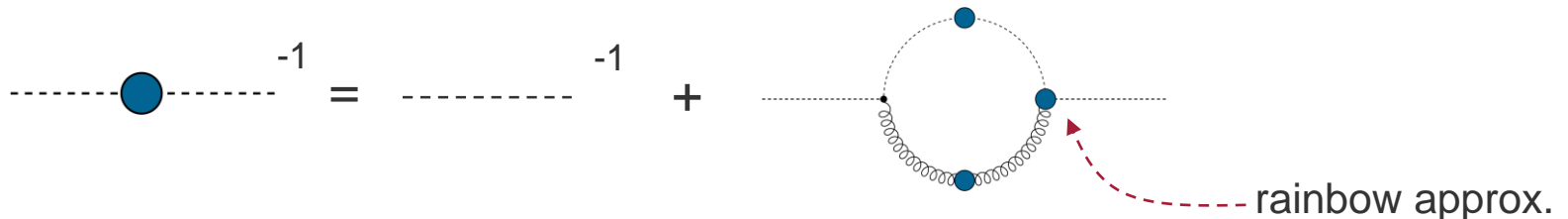
## Ghost sector

Use resolvent identity on FP operator  $G^{-1} = \partial_\mu \hat{D}^\mu = G_0^{-1} + h$

$$G_0 \langle G^{-1} \rangle = 1 + G_0 \langle hG \rangle \langle G^{-1} \rangle$$

in terms of ghost **form factor**  $G(k) = \frac{\eta(k)}{k^2}$

$$\eta(k)^{-1} = 1 - Ng^2 \int \frac{d^4q}{(2\pi)^4} \frac{\eta(k-q)}{(k-q)^2} \frac{1 - (\hat{k} \cdot \hat{q})}{\bar{\omega}(q)}$$

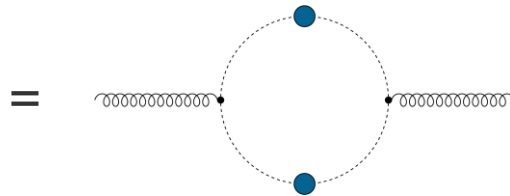




## Curvature Equation

To given loop order

$$\chi(k) = \text{Tr} \left\langle G \frac{\delta(-\partial D)}{\delta A(2)} G \frac{\delta(-\partial D)}{\delta A(1)} \right\rangle \approx \text{Tr} \langle G \rangle \Gamma_0 \langle G \rangle \Gamma_0$$



$$\chi(k) = \frac{1}{3} N g^2 \int \frac{d^4 q}{(2\pi)^4} \frac{\eta(k-q) \eta(q)}{(k-q)^2} \left[ 1 - (\hat{k} \cdot \hat{q}) \right]$$



## Counterterms

$$\mathcal{L}_{\text{ct}} = \delta Z_A \cdot \frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a)^2 + \delta M^2 \cdot \frac{1}{2} (A_\mu^a)^2 + \delta Z_c \cdot \partial_\mu \bar{c} \partial^\mu c$$

gluon field
gluon mass
ghost field

Renormalization conditions (3 scales  $0 \leq \mu_c \leq \mu_0 \ll \mu$ )

- fix  $\eta(\mu_c) = Z_c$
- fix  $\omega(\mu) = Z \mu^2$
- fix  $\omega(\mu_0) = Z M_A^2$

scaling/decoupling

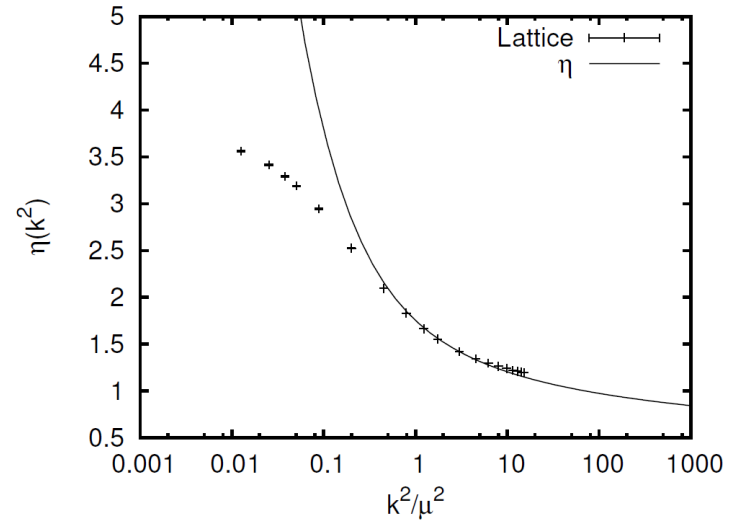
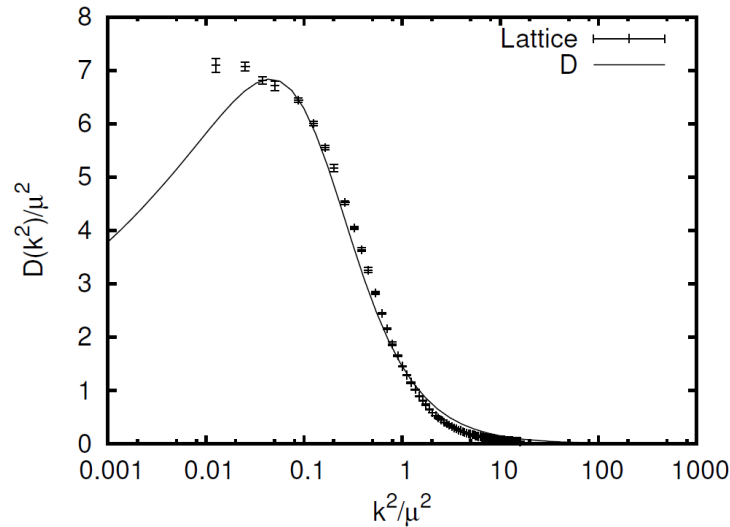
constituent *mass parameter*



# Scaling Solution (G=SU(2))

MQ, H. Reinhardt, J. Heffner, Phys. Rev. **D89** 035037 (2014)

Lattice data from Bogolubsky et al., Phys. Lett. **B676** 69 (2009)



IR exponents:  $\omega(k) \sim (k^2)^\alpha$

$$\alpha = \frac{1}{49} (44 - \sqrt{1201}) \approx 0.1907$$

$\eta(k) \sim (k^2)^{-\beta}$

$$\beta = \frac{1}{98} (93 - \sqrt{1201}) \approx 0.5953$$

Lerche, v. Smekal PRD **65**

numerical:  $\alpha = 0.191(1)$      $\beta = 0.595(3)$

$$\alpha - 2\beta + \left(\frac{d}{2} - 1\right) < 10^{-3}$$

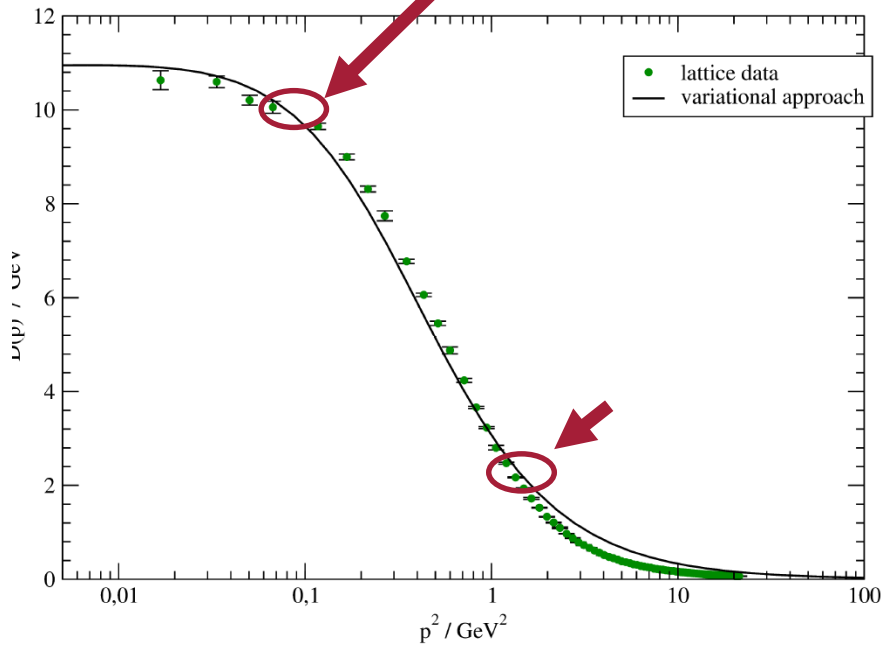


# Decoupling Solution (G=SU(2))

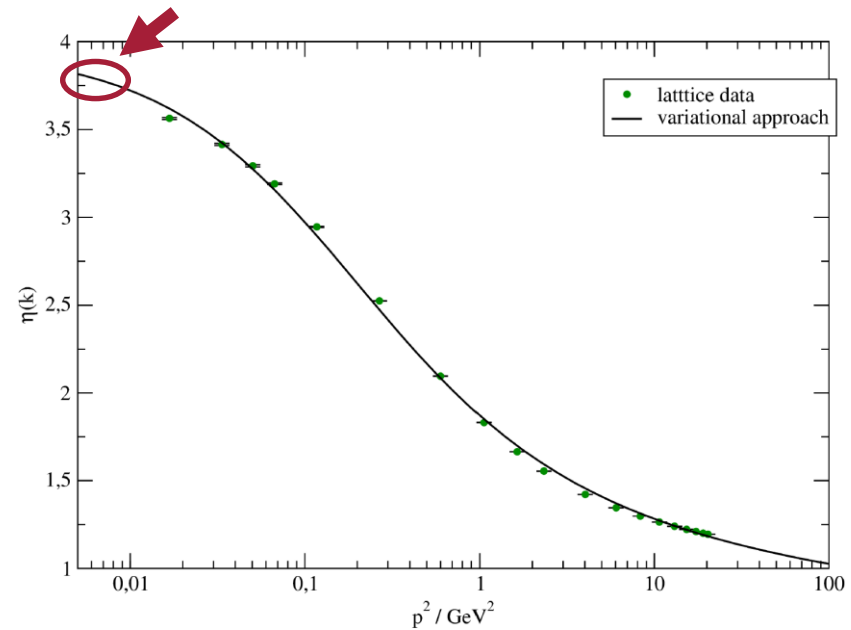
MQ, H. Reinhardt, J. Heffner, Phys. Rev. **D89** 035037 (2014)

Lattice data from Bogolubsky et al., Phys. Lett. **B676** 69 (2009)

Determines overall scale (not very precise !)



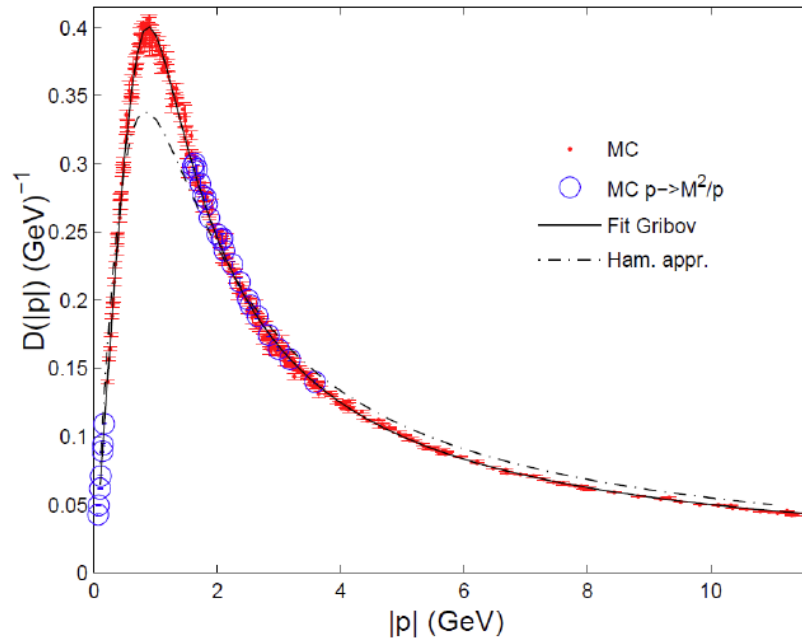
gluon propagator



ghost form factor



## Hamiltonian Approach: Static gluon propagator



G. Burgio, M.Q., H.Reinhardt PRL **102** 032002 (2009)

Gribov's formula

$$D(k) = \sqrt{k^2 + \frac{M^4}{k^2}}$$

Gribov mass  $M \approx 0.88 \text{ GeV}$

Determines overall scale

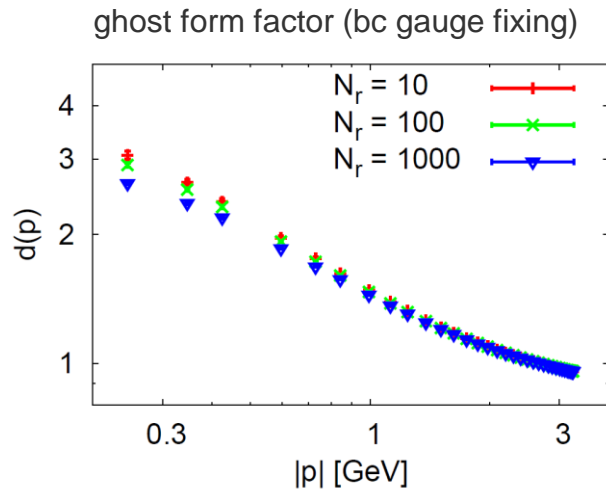
Lattice data: **scaling violations**



Removed by carefully isolating  
discretization artifacts in the time direction



# Hamiltonian Approach: the ghost puzzle



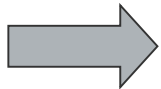
G. Burgio, M.Q., H. Reinhardt, H. Vogt PRD 95 14503 (2017)

## IR behaviour

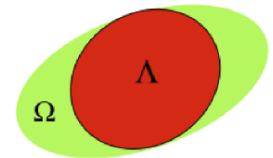
- lattice:  $d(k) \sim k^{-\frac{1}{2}}$
- Hamilton:  $d(k) \sim k^{-\beta}$   $\beta \approx 1$
- gluon:  $\omega(k) \sim k^{-\alpha}$   $\alpha = 1$

Sum rule  $\alpha = 2\beta + 2 - d$   
apparently *violated* on the lattice

Schleifenbaum, Leder,  
Reinhardt PRD 73



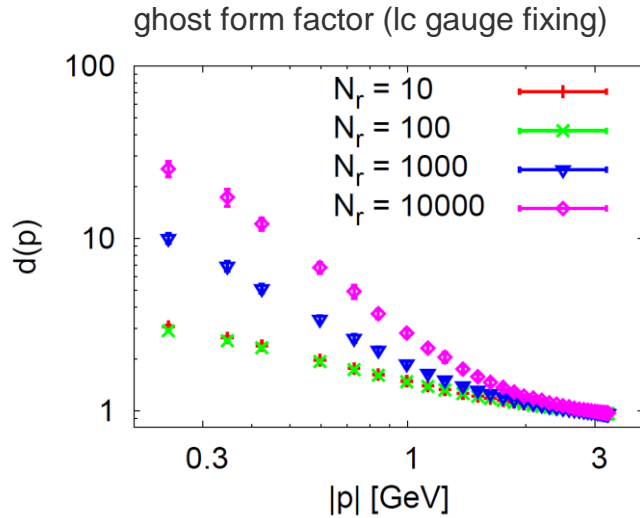
- *Sternbeck, Müller-Preusker, Maas* (Landau gauge) :  
gauge fix to configuration with lowest eigenvalue of FP operator (*lc*)
- *Zwanziger & Cooper* (Coulomb gauge):  
should be closer to continuum



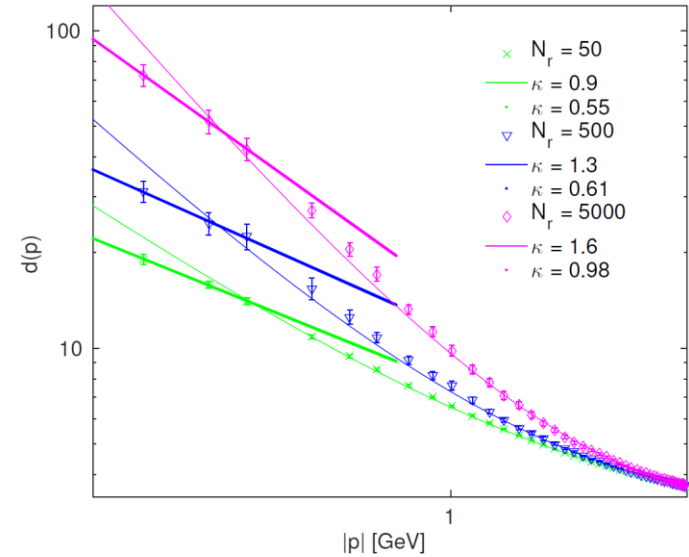




# Hamiltonian Approach: the ghost puzzle

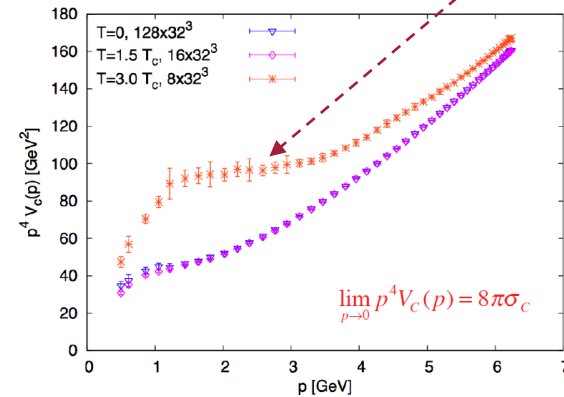


G. Burgio, M.Q., H. Reinhardt, H. Vogt PRD 95 14503 (2017)



Toki et. al (2006)

- Ghost IR enhanced in  $lc$
- might be compatible with  $\beta \approx 1$  (saturation unclear)
- Gluon propagator unchanged between  $bc$  and  $lc$





$$\rho) + \langle \ln \mathcal{J} \rangle$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y)$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \}$$

$$\text{et } (2\pi)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}^{-1}(x, y) \}$$

$$\ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} dk \bar{\omega}_{\mu\nu}^{-1}(k) \{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}^{-1}(k) \}$$

P<sub>μν</sub>(x)

U<sub>μ</sub>(x)

# Yang-Mills Theory at Finite Temperature



## Extension to Finite Temperature

- imaginary time formalism

compactify euclidean time  $t \in [0, \beta]$

periodic b.c. for gluons (up to center twists)

periodic b.c. for ghosts (even though fermions)



$$A(t, \mathbf{x}) = \beta^{-1} \sum_{n \in \mathbb{Z}} \int \frac{d^3 k}{(2\pi)^3} e^{i(v_n t + \mathbf{kx})} A_n(\mathbf{k})$$

$$v_n = \frac{2\pi}{\beta} n \quad (n \in \mathbb{Z})$$



Extension to  $T > 0$  straightforward

$$\int \frac{d^4 k}{(2\pi)^4} \longrightarrow \int_{\beta} \mathop{d}\!q \equiv \beta^{-1} \sum_{n \in \mathbb{Z}} \int \frac{d^3 k}{(2\pi)^3}$$



- Lorentz structure of propagator

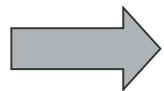
heat bath singles out restframe  $(1,0,0,0)$   $\Rightarrow$  breaks Lorentz invariance

two different 4-transversal projectors

!

$$\mathcal{P}_{\mu\nu}^T(k) = (1 - \delta_{\mu 0})(1 - \delta_{\nu 0}) \left( \delta_{\mu\nu} - \frac{k_\mu k_\nu}{\mathbf{k}^2} \right) \leftarrow \text{3-transversal}$$

$$\mathcal{P}_{\mu\nu}^L(k) = \left( \delta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) - \mathcal{P}_{\mu\nu}^T(k) \leftarrow \text{3-longitudinal}$$



Two Lorentz structures for **kernel** and **curvature**

$$\omega_{\mu\nu}(k) = \omega_\perp(k) \cdot \mathcal{P}_{\mu\nu}^T(k) + \omega_\parallel(k) \cdot \mathcal{P}_{\mu\nu}^L(k) + \text{long.}$$

$$\chi_{\mu\nu}(k) = \chi_\perp(k) \cdot \mathcal{P}_{\mu\nu}^T(k) + \chi_\parallel(k) \cdot \mathcal{P}_{\mu\nu}^L(k) + \text{long.}$$



## Gap Equations

$$\omega_{\perp}(k) = k_0^2 + \mathbf{k}^2 + \chi_{\perp}(k) + M^2(\beta)$$

$$\omega_{\parallel}(k) = k_0^2 + \mathbf{k}^2 + \chi_{\parallel}(k) + M^2(\beta) + \frac{\mathbf{k}^2}{k_0^2 + \mathbf{k}^2} \tilde{M}^2(\beta)$$

induced gluon masses now **temperature-dependent**

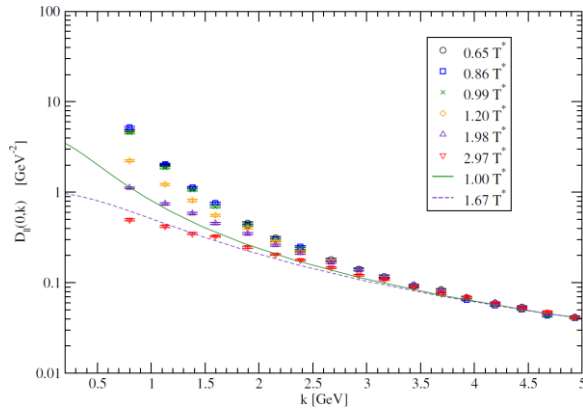
$$M^2(\beta) = \frac{1}{2} N g^2 \int_{\beta} \mathrm{d}\mathbf{q} \left[ \frac{A}{\omega_{\perp}(q)} + \frac{B(q)}{\omega_{\parallel}(q)} \right]$$

$$\tilde{M}^2(\beta) = \frac{1}{3} N g^2 \int_{\beta} \mathrm{d}\mathbf{q} \left[ \frac{2}{\omega_{\perp}(q)} + \left( \frac{q_0^2 - 3\mathbf{q}^2}{q_0^2 + \mathbf{q}^2} \right) \frac{1}{\omega_{\parallel}(q)} \right]$$

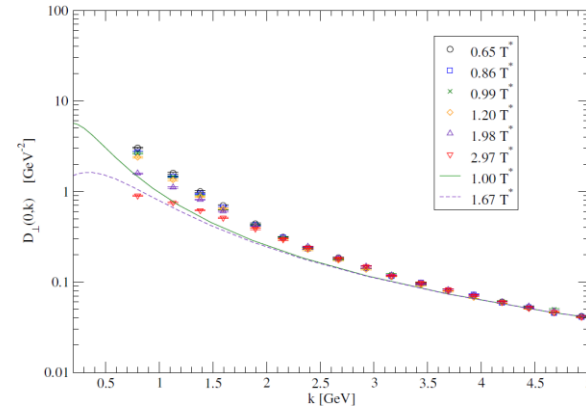
renormalization by T=0 counter terms (in principle ...)



MQ, H. Reinhardt, Phys. Rev. **D92** 025051 (2015)



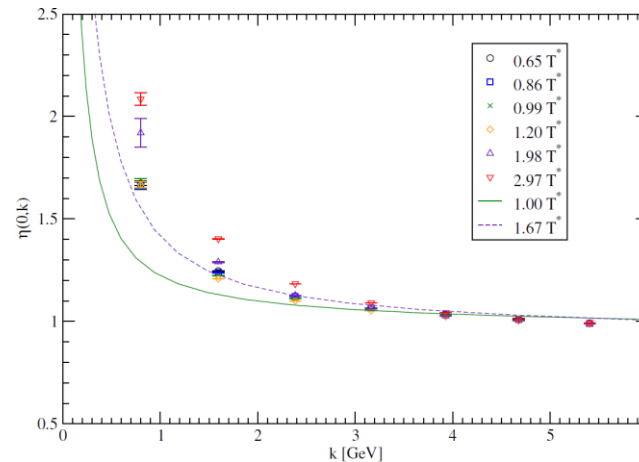
longitudinal gluon  $D_{\parallel}(0, p)$



transversal gluon  $D_{\perp}(0, p)$

ghost formfactor

$$\eta(0, p)$$



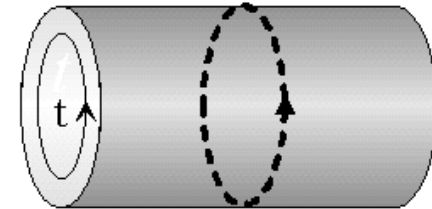
With increasing T

- Slight ghost enhancement
- Mild gluon suppression
- Temperature sensitivity larger in direction longitudinal to the heat bath



## Polyakov loop

$$L(\mathbf{x}) = P \exp \left[ - \int_0^\beta dt A_0(t, \mathbf{x}) \right]$$



Interpretation: free static quark energy

$$\langle \text{tr } L(\mathbf{x}) \rangle = \exp \left[ - \beta F_q(\mathbf{x}) \right]$$

$$\langle \text{tr } L(\mathbf{x}) L(\mathbf{y})^\dagger \rangle = \exp \left[ - \beta F_{q\bar{q}}(\mathbf{x} - \mathbf{y}) \right]$$

## Center symmetry

- maps  $L \rightarrow z \cdot L$
- If unbroken  $\langle L \rangle = 0$  [confinement]
- If broken  $\langle L \rangle \neq 0$  [deconfinement]



## Alternative order parameter

$$x \equiv \frac{\beta \langle A_0^3 \rangle}{2\pi} = \frac{\beta \mathbf{a}}{2\pi} \in [0, 1]$$

$G = \text{SU}(2)$

Polyakov gauge [  $\partial_0 A_0 = A_0^{\text{ch}} = 0$  ]

Background gauge [  $\partial_0 \mathbf{a} = 0$  ]

## Background gauge

$$A_\mu = \mathbf{A}_\mu + Q_\mu = \mathbf{a} \delta_{\mu 0} + Q_\mu$$

$$[D_\mu(\mathbf{a}), Q_\mu] = [d_\mu, Q_\mu] = 0$$

## Transfer Landau -- Background

- replace  $\partial_\mu \delta^{ab} \mapsto \hat{d}_\mu^{ab}$
- replace  $p_\mu \mapsto p_\mu - \sigma \mathbf{a} \delta_{\mu 0}$
- replace  $N^2 - 1 = 3 \mapsto \sum_{\sigma=0, \pm 1}$

in basis where rhs is diagonal

where  $\sigma$  are the simple roots

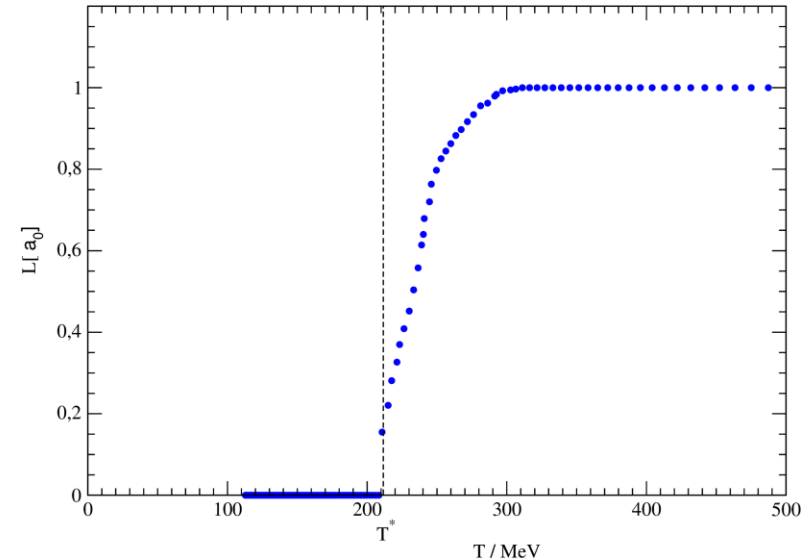
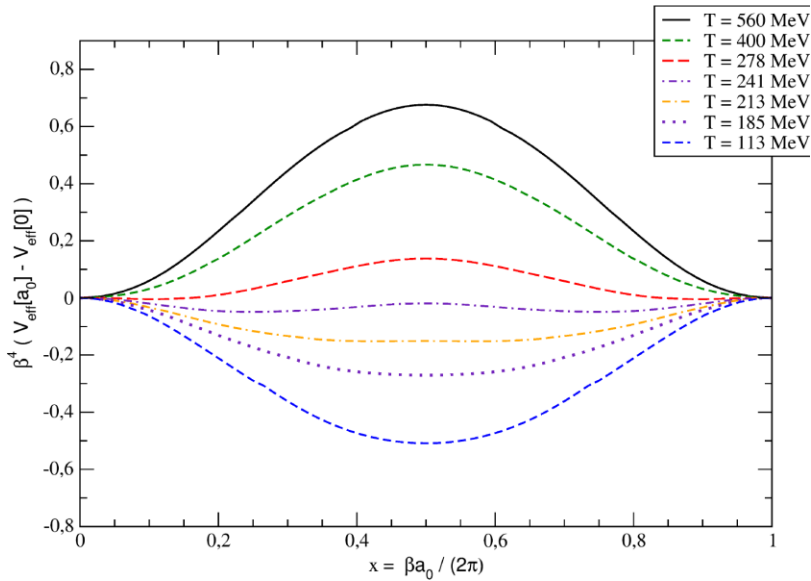
sum over simple roots





# Phase transition for $G=SU(2)$

MQ, H. Reinhardt, Phys. Rev. D, in press (2016)



Eff. Potential for Polyakov loop

*ghost dominance*

- **2nd order** transition
- critical temperature  $T^* = 216 \text{ MeV}$

Lattice

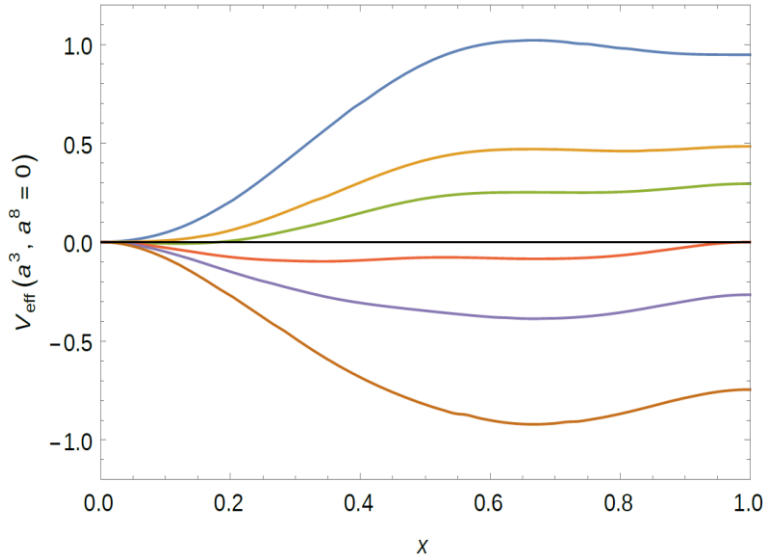
$T^* = 306 \text{ MeV}$

Lucini, Teper, Wenger, JHEP **01** (2004) 061

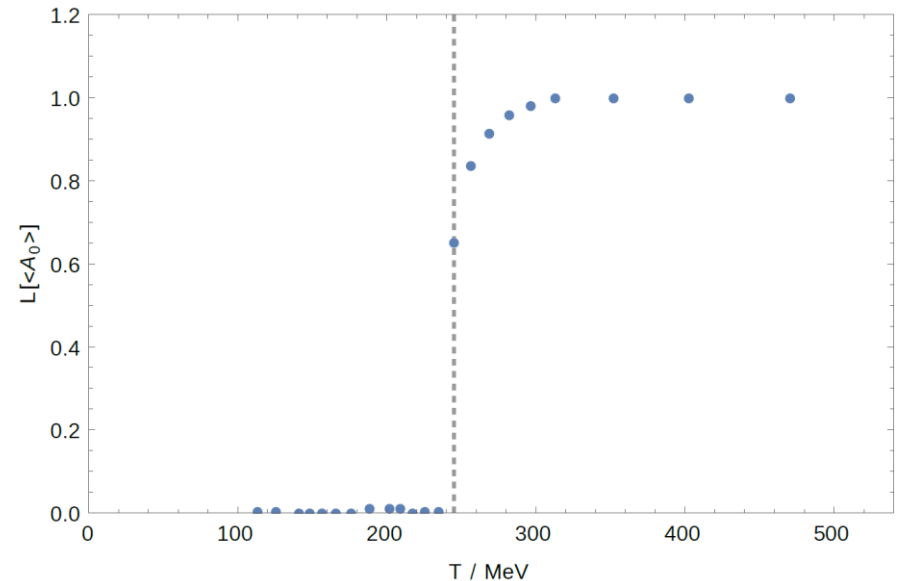


# Phase transition for $G=SU(3)$

MQ, H. Reinhardt, Phys. Rev. D, in press (2016)



slice of eff. Potential for  
Polyakov loop



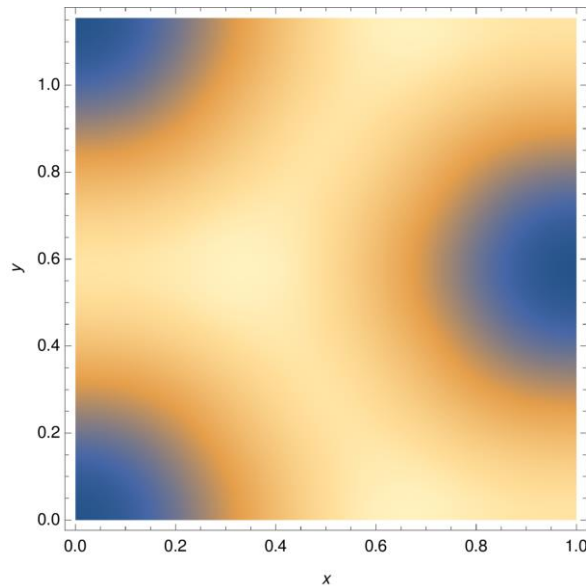
- 1st order transition
  - critical temperature  $T^* = 245 \text{ MeV}$
- Lattice  $T^* = 284 \text{ MeV}$

Lucini, Teper, Wenger, JHEP **01** (2004) 061



## Effective potential for Polyakov loop in $G=SU(3)$

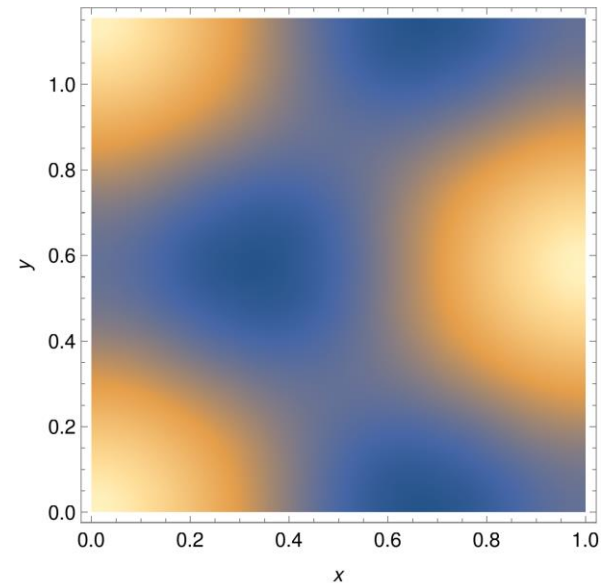
MQ, H. Reinhardt, Phys. Rev. D, in press (2016)



Deconfined phase

$V(x,y)$  maximal at center symmetric points

$$T = 400 \text{ MeV}$$



Confined phase

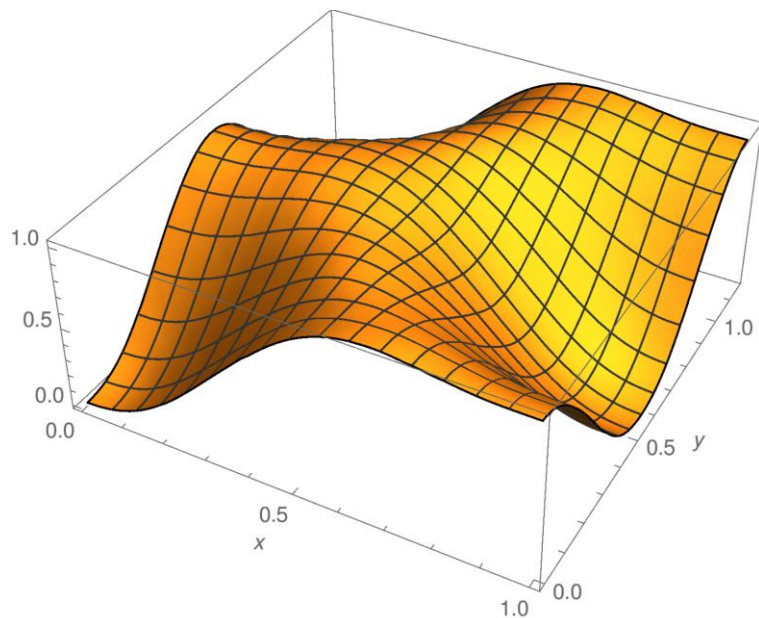
$V(x,y)$  minimal at center symmetric points

$$T = 141 \text{ MeV}$$



## Effective potential for Polyakov loop in $G=SU(3)$

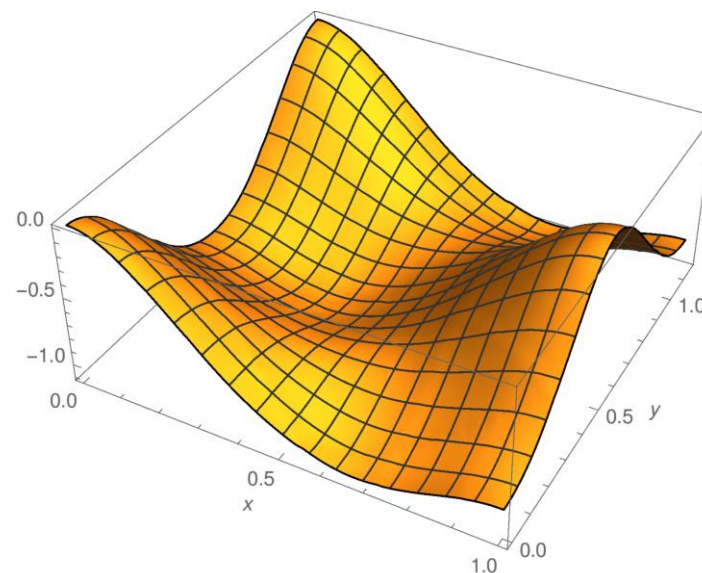
MQ, H. Reinhardt, Phys. Rev. **D**, in press (2016)



Deconfined phase

$V(x,y)$  maximal at center symmetric points

$$T = 400 \text{ MeV}$$



Confined phase

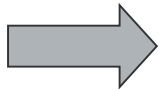
$V(x,y)$  minimal at center symmetric points

$$T = 141 \text{ MeV}$$



## Hamiltonian Approach: finite temperature

- **grand canonical ensemble:** Quasi-particle ansatz for density
- Cannot compactify time direction and Polyakov loops unavailable  $A_0 = 0$



- Compactify a spatial axis to a circle of length  $L$  and interpret  $1/L$  as temperature
- Hamiltonian approach on space manifold  $\mathbb{R}^2 \times S^1(L)$

H. Reinhardt, Phys. Rev. D**94** 045016 (2016)

$$T^{-1} = L$$



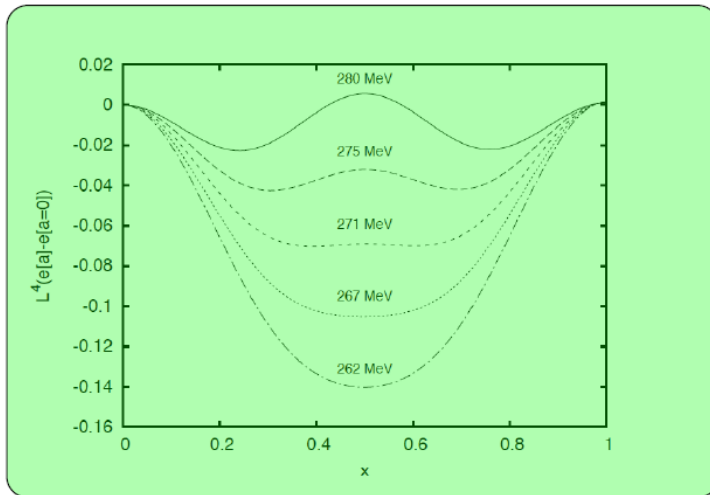
- **Casimir pressure** as free energy ( $O(4)$  invariance)

Works for free bose and fermion gas with and without chemical potential



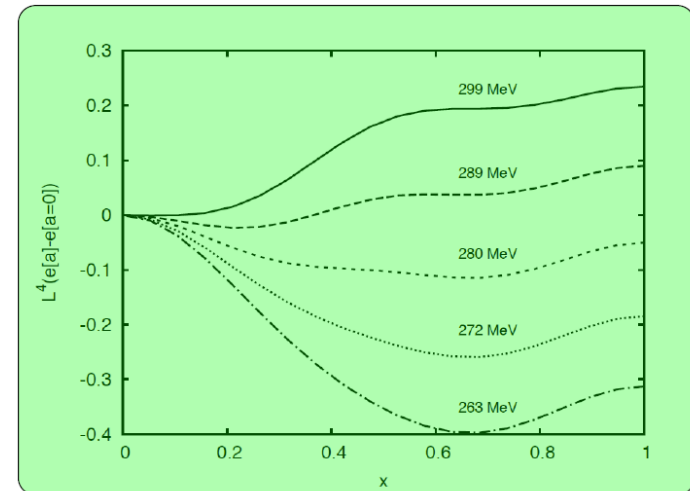
## Hamilton Approach: Polyakov Loop

$G = \text{SU}(2)$



- Input:  $M = 880 \text{ MeV}$
- Second order
- criticality  $T^* = 269 \text{ MeV}$

$G = \text{SU}(3)$



- Input:  $M = 880 \text{ MeV}$
- first order
- criticality  $T^* = 283 \text{ MeV}$

H. Reinhardt, J. Heffner, PRD **88** (2013)



# Thermodynamics of the YM plasma

M.Q., H. Reinhardt (in preparation))

- Free energy density:

$$F(\beta) = \min_{\mu} F_{\beta}(\mu) = \min_a \Gamma_{\beta}[a] = -\ln Z(\beta) = V_3 \cdot \beta f(\beta)$$

- pressure:  $p(\beta) = -f(\beta)$
- energy density:  $\epsilon(\beta) = f(\beta) + \beta \partial f / \partial \beta$
- Interaction strength:  $\Delta(\beta) = \beta^{-3} \partial(p\beta^4) / \partial \beta$

$$\text{Free relativistic gas: } p \sim T^4 \implies \Delta = 0$$



From variational solution of gluon and curvature

$$\beta^4 \bar{f}_\beta(x) = 12\pi \int_0^\infty dq q^2 \sum_{n \in \mathbb{Z}} \left[ \ln \omega(k_n(x)) - \frac{\chi_R(k_n(x))}{\omega(k_n(x))} - \frac{1}{3} \ln k_n(x)^2 \right]$$

$$k_n(x) \equiv \frac{2\pi}{\beta} \sqrt{(n+x)^2 + q^2} \quad x \equiv \frac{\beta a_0}{2\pi} \in [0, 1]$$

Divergent  Need to subtract the vacuum energy ( $\beta = 0$ )

$$f_\beta(x) = \bar{f}_\beta(x) - \bar{f}_\infty(x)$$

Gives wrong pressure at T=0







## Poisson resummation

$$u(x, \beta) \equiv \beta^4 \bar{f}_\beta(x) - [\beta^4 \bar{f}_\beta(x)]_\infty = -\frac{2}{\pi^2} \sum_{\nu=1}^{\infty} \frac{\cos(2\pi\nu x)}{\nu^4} h(\beta\nu)$$

$$h(\lambda) = -\frac{1}{4} \int_0^\infty d\tau \tau^2 J_1(\tau) \phi(\tau/\lambda)$$

$$\phi(k) = 3 \ln \omega(k) - 3 \frac{\chi(k)}{\omega(k)} - \ln k^2$$

T=0 subtracted

- Massless modes due to gauge fixing and **ghost dominance** ruin IR limit (only in continuum approach)
- Free (massive) boson  $\phi_M(k) = \ln(k^2 + M^2)$

$$\Rightarrow h_M(\lambda) = \frac{1}{2} (\lambda M)^2 K_2(\lambda M)$$

Bessel function

$$\lim_{\lambda \rightarrow \infty} h_M(\lambda) = \begin{cases} 0 & : M > 0 \\ 1 & : M = 0 \end{cases}$$



- Massless modes: must set  $M \rightarrow 0$  **before**  $\beta \rightarrow \infty$

$$\beta^4 f_\beta(x) = \beta^4 \bar{f}_\beta(x) - \lim_{\beta \rightarrow \infty} \beta^4 \bar{f}_\beta(x) = u(x, \beta) - \lim_{\beta \rightarrow \infty} u(x, \beta)$$

does not vanish!  $\lim_{\beta \rightarrow \infty} \beta^4 \bar{f}_\beta(x) - [\beta^4 \bar{f}_\beta]_{\beta=\infty} \neq 0$

- Limiting values

$$u(x, \beta) = \begin{cases} W(x) - \frac{2\pi^2}{45} & : \beta \rightarrow 0 \\ \frac{\pi^2}{45} - \frac{1}{2}W(x) & : \beta \rightarrow \infty \end{cases}$$

4 massless gluons + 2 massless ghosts

Transversal gluons mass-like, ghosts enhanced  
1 massless gluon + 2 massless ghosts

(ghost dominance)

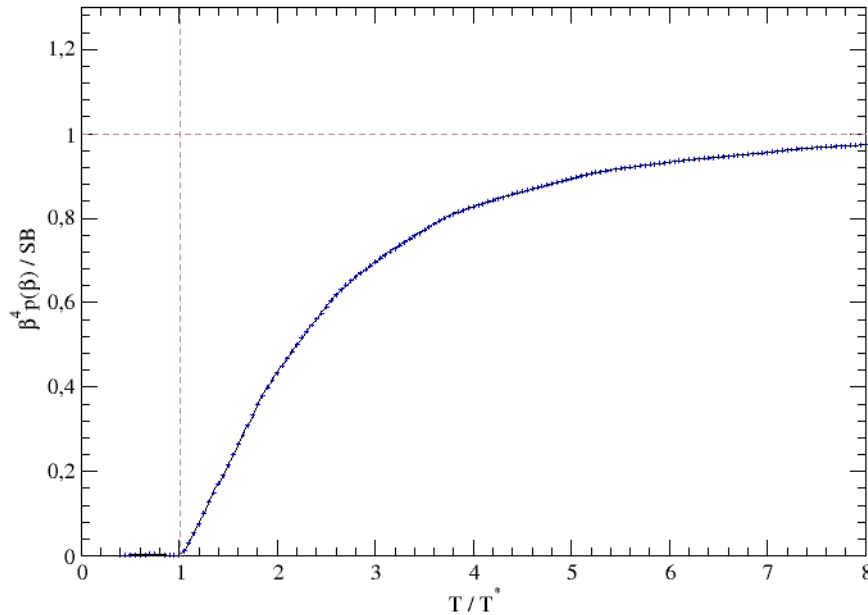
$$W(x) = \frac{4}{3} \pi^2 x^2 (1-x)^2 \quad \text{-- Weiss potential}$$

See Polyakov loop earlier

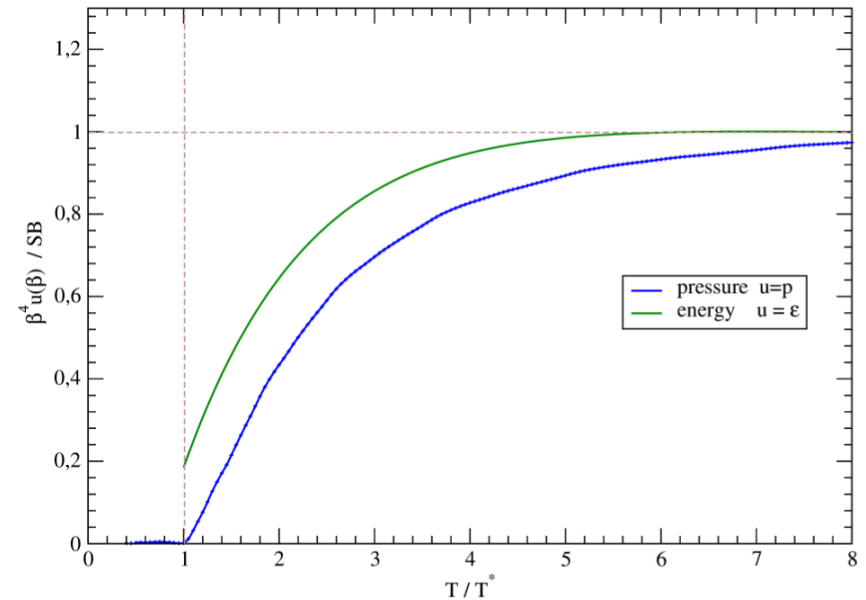


## Preliminary results

pressure



energy density



- Correct SB limit
- Energy density  $\beta^4 \epsilon$  „steeper“ than pressure  $\beta^4 p \implies \Delta > 0$
- Lattice data slightly steeper than variational results



$$\rho) + \langle \ln \mathcal{J} \rangle$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y)$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \}$$

$$\text{et } \left( \frac{2\pi}{\bar{\omega}} \right)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \}$$

$$\ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} dk \bar{\omega}_{\mu\nu}^{-1}(k) \{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \}$$

# Beyond the Gaussian ansatz



## Using DSE in the variational approach

- general trial measure  $d\mu \sim \mathcal{J}_A \cdot \exp(-R[A, \bar{q}, q])$

$$R = \frac{1}{2} \gamma_2 A^2 + \frac{1}{3!} \gamma_3 A^3 + \frac{1}{4!} \gamma_4 A^4 + \bar{q}(\Gamma_0 + \Gamma_1 A)q + \dots$$

1. Use DSE on  $R$  to evaluate  $\langle \dots \rangle$  in free energy  $F$
2. Minimize  $F$  to find optimal kernels

- Similar to Hamiltonian approach

D. Campagnari, H. Reinhardt PRD **82** (2010), **92** (2015)

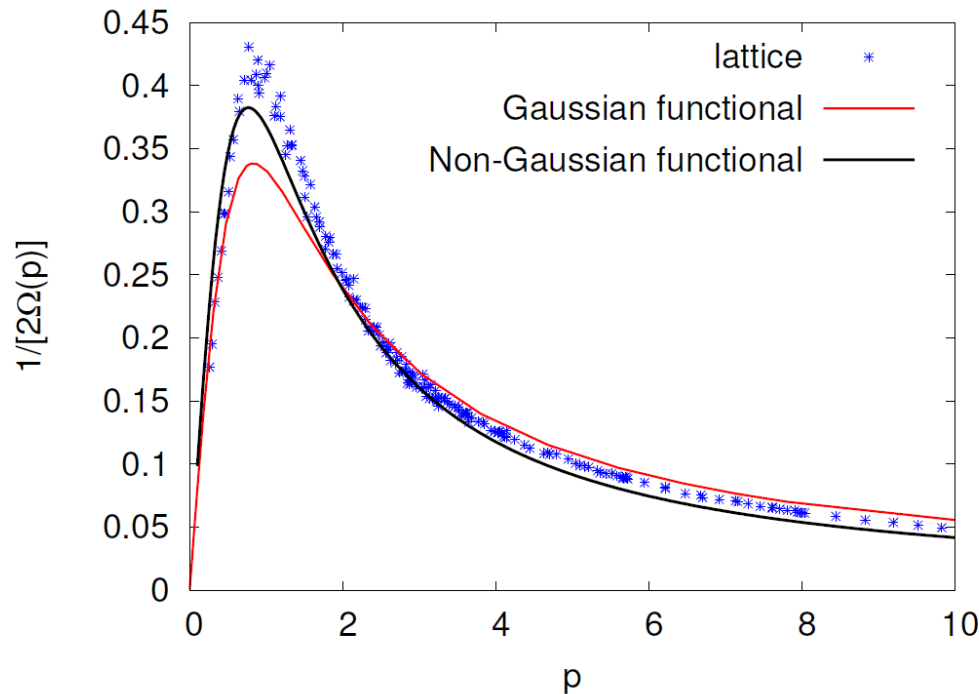
DSE exact and  $S \subseteq R$   $\longrightarrow$  all kernels bare

DSE truncated ( $R_{\text{trunc}} \neq R$ )  $\longrightarrow$  gap equation tries to „make up“ for truncation  
(Auto-tuned DSE)

Does not apply to Hamiltonian approach, since exact vacuum wave functional unknown

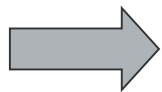


## Example: static gluon propagator in Hamiltonian approach



D. Campagnari, H. Reinhardt PRD **82** (2010)

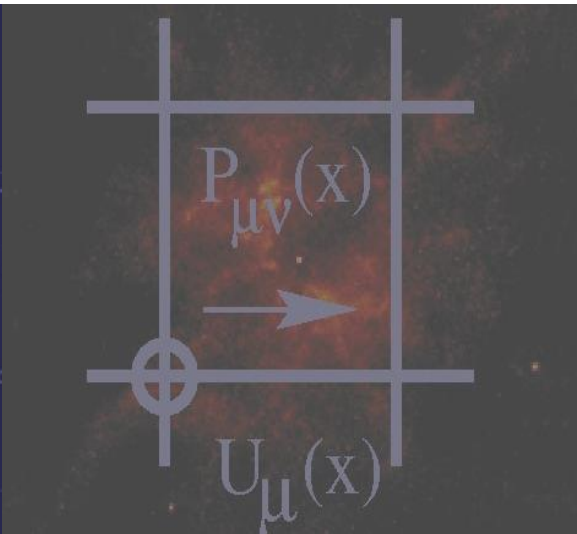
- Enlarging the search space can only improve the results!



Hard work always pays off (for once) !



$$\begin{aligned}
 & \rho) + \langle \ln \mathcal{J} \rangle \\
 & + \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y) \\
 & + \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \left\{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \right\} \\
 & \text{et } \left( \frac{2\pi}{\bar{\omega}} \right)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \left\{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \right\} \\
 & \ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} d k \bar{\omega}_{\mu\nu}^{-1}(k) \left\{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \right\}
 \end{aligned}$$



# Results for QCD



## Hamiltonian Approach

Quark part of vacuum wave functional

$$\Psi_0 \sim \exp \left[ \int \bar{\zeta}^+ (\beta s + \vec{\alpha} \cdot \vec{A} v + \beta \vec{\alpha} \cdot \vec{A} w) \right]$$

- **Scalar coupling:**  $v = w = 0$

Adler & Davis, Alkofer & Amundsen, Finger & Mandula  
chiral symmetry breaking, condensate too small  
(has no effect in covariant variational approach)

- **Vector coupling:**  $v = w = 0$

Pak & Reinhardt  
Chiral condensate & constituent mass too small  
linear divergences (*unrenormalizable*)

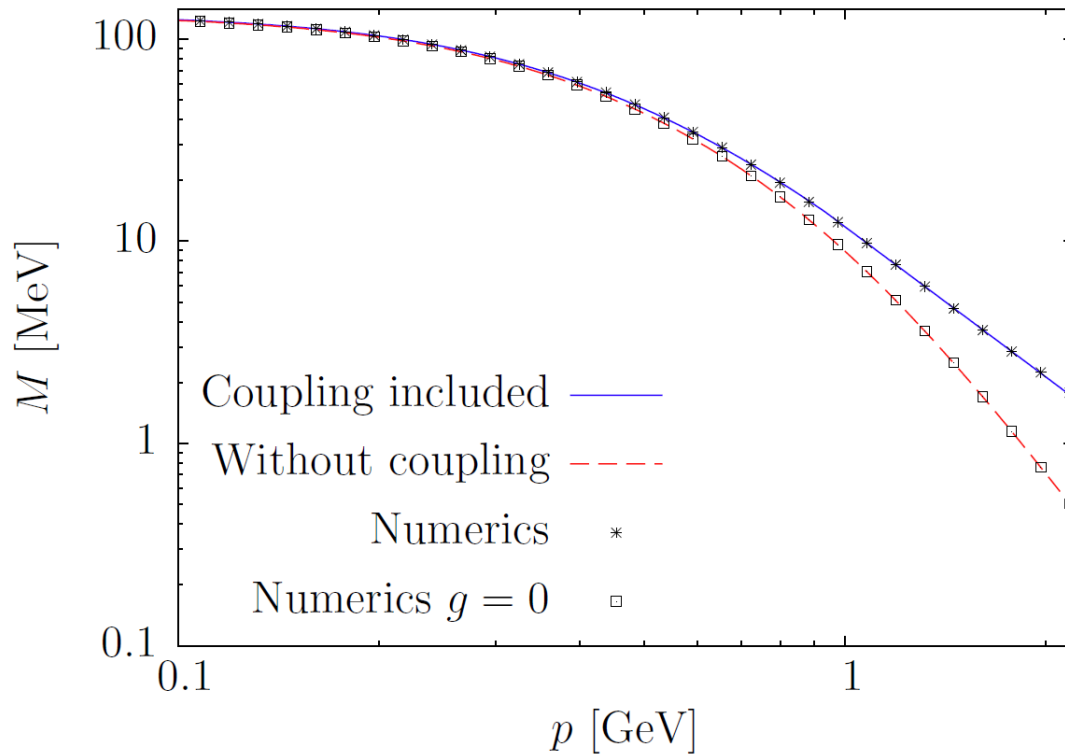
- **Ext. vector coupling**  $v = w = 0$

Campagniari, Ebadati, Reinhardt, Vastag  
All linear divergences cancel (non-trivial !)





D.Campagniari, E.Ebadati, H. Reinhardt, P.Vastag, PRD **94** 074027 (2016)



Quark condensate

$$\langle \bar{q}q \rangle = (-236 \text{ MeV})^3$$

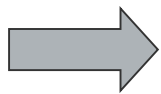
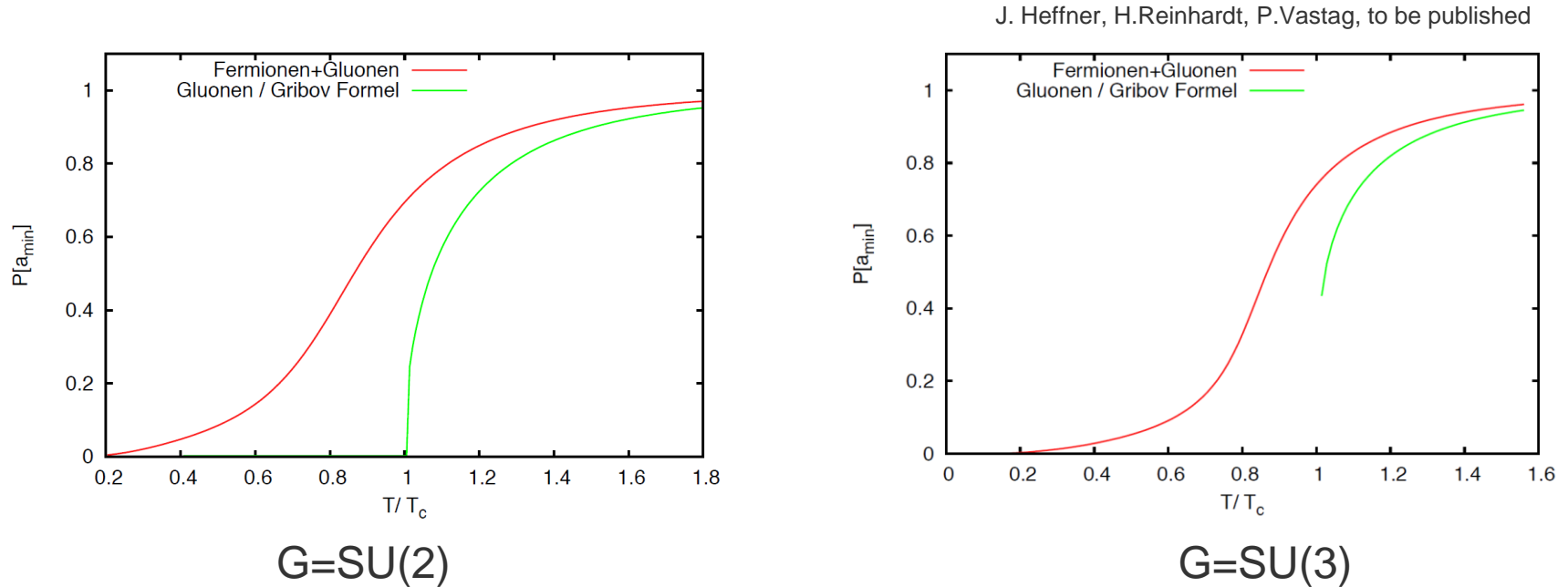
$$g = 2.1$$

Adler-Davis:

$$\langle \bar{q}q \rangle = (-185 \text{ MeV})^3$$



# Polyakov loop with dynamical fermions



Deconfinement phase transition becomes **cross-over** at smaller  $T$

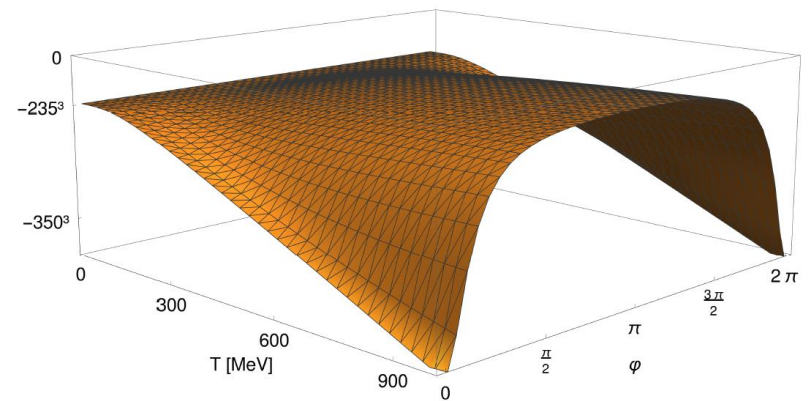
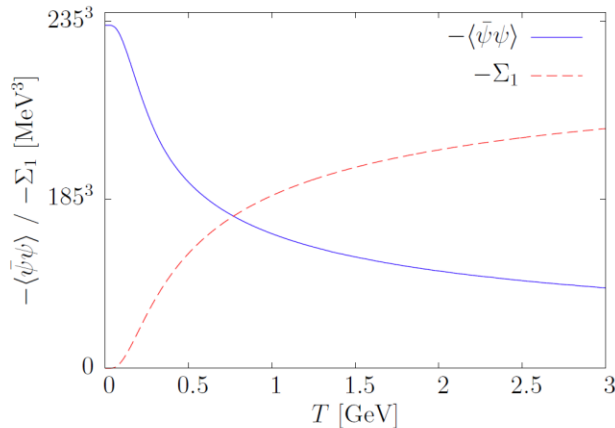


# Chiral and dual condensate

Gattringer, PRL97 (2006)

$$\Sigma_n \equiv \int_0^{2\pi} \frac{d\varphi}{2\pi} e^{in\varphi} \langle (\bar{q}q)_\varphi \rangle \quad q(\beta) = e^{i\varphi} q(0)$$

- Loops winding n-times around the compactified time
- $\Sigma_1$  dressed Polyakov loop
- Imaginary chemical potential  $\mu = i \frac{\pi - \varphi}{\beta}$



D.Campagniarì, E.Ebadati, H. Reinhardt, P.Vastag, PRD **94** 074027 (2016)



$$\rho) + \langle \ln \mathcal{J} \rangle$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{ab}(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle - \frac{1}{2} \int_{\beta} d(x, y)$$

$$+ \frac{1}{2} \int_{\beta} d(x, y) \langle A_{\mu}^a(x) A_{\nu}^b(y) \rangle \{ \bar{\omega}_{\mu\nu}^{ab}(x, y) - \chi_{\mu\nu}^{ab}(x, y) \}$$

$$\text{et } (2\pi)^{-\frac{1}{2}} + \frac{1}{2} (N^2 - 1) \int_{\beta} d(x, y) \bar{\omega}_{\mu\nu}^{-1}(x, y) \{ \bar{\omega}_{\mu\nu}(x, y) - \chi_{\mu\nu}(x, y) \}$$

$$\ln \left( \frac{\bar{\omega}_{\mu\nu}^{ab}}{2\pi} \right) + \frac{1}{2} (N^2 - 1) \beta V \int_{\beta} dk \bar{\omega}_{\mu\nu}^{-1}(k) \{ \bar{\omega}_{\mu\nu}(k) - \chi_{\mu\nu}(k) \}$$

# Summary and Outlook



## Summary

- Variational Principles
  - Valuable tools to describe YM theory at  $T=0$  and  $T>0$
  - Propagators, deconfinement and thermodynamics ([ghost dominance](#))
  - Deconfinement phase transition and thermodynamics from [ghost dominance](#)
- Extension to non-Gaussian measure  $\Rightarrow$  *DSE* (tuned kernels)
- Inclusion of *fermions*
  - Realistic chiral condensate and constituent mass
  - Realistic deconfinement transition (cross-over)
  - Dual condensate (imaginary chemical potential)

## Outlook

- Fermions with *real* chemical potentials