

# COOLING STOCHASTIC QUANTIZATION WITH COLORED NOISE

## SCALE-CONTROLLED GRADIENT FLOWS

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Felix Ziegler

with Jan M. Pawłowski and Ion-Olimpiu Stamatescu  
Heidelberg University

Lunch Club Seminar, Giessen University – April 26, 2017

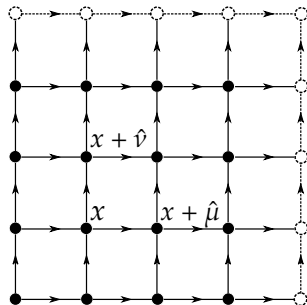
# MOTIVATION

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# Lattice Field Theory

- **Idea:** Regularize path-integral on a space-time lattice

[Wilson, "Confinement of Quarks", 1974]



Two-dimensional lattice with periodic b.c.

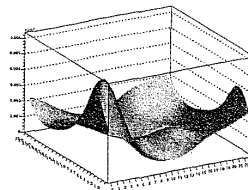
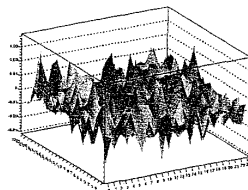
- Powerful non-perturbative framework
- Ab-initio calculations of hadron masses
- **Interest:** quark confinement, chiral symmetry breaking, QCD phase diagram

# UV-fluctuations and the need for smoothing

- **Interest:** QCD phase diagram and finite density  
→ **sign problem**
- **Tackling** the sign problem: Stochastic quantization  
promising candidate
- **Problems:** Convergence, UV-fluctuations  
→ **cooling methods required**  
→ scale separation, improvement of signal-to-noise ratio  
without altering the physics of interest

# Cooling methods

- **Context:** QCD vacuum and topology in Yang-Mills theory (e.g. topological charge density)
- **Problem:** short-distance fluctuations of order of the lattice spacing blur the underlying classical structure
- **Solution:** cooling methods reveal physical properties on the lattice



[Rothe, "Lattice Gauge Theories", 2005; Wantz, 2003]

- **Wilson flow** [Lüscher, 2010]

$$\frac{\partial \varphi(x, t_F)}{\partial t_F} = - \frac{\delta S_E}{\delta \varphi(x, t_F)}$$

- **Procedure:** Smooth field configurations in a damping equation such as the Wilson flow or use action minimization methods [Garcia-Perez, Philipsen, Stamatescu, 1999]
- **Problem:** When does one **stop cooling**?  
→ physical scale of the system

# Idea: Combine Langevin equation with gradient flow

## Langevin

$$\frac{\partial \phi(x, \tau)}{\partial \tau} = -\frac{\delta S_E}{\delta \phi(x, \tau)} + \eta(x, \tau)$$

Generation of field configurations  
(full fluctuation spectrum)

## Wilson flow

$$\frac{\partial \phi(x, t_F)}{\partial t_F} = -\frac{\delta S_E}{\delta \phi(x, t_F)}$$

Cooling of configurations  
 $\phi(x, t_F = 0) = \phi(x, \tau = \infty)$

## Related cooling methods?

- modify noise spectrum in the Langevin equation by introducing momentum cutoff
- sample smooth fields directly
- no stopping criterion needed

# STOCHASTIC QUANTIZATION WITH COLORED NOISE

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# Stochastic quantization

- Analogy between a Euclidean quantum field theory and a classical statistical mechanical system in thermal equilibrium with a heat reservoir. [Parisi, Wu, 1981]
- **Stochastic process** – evolution of fields in fictitious time  $\tau$  described by the **Langevin equation**

$$\frac{\partial \phi(x, \tau)}{\partial \tau} = -\frac{\delta S_E}{\delta \phi(x, \tau)} + \eta(x, \tau)$$

$$\langle \eta(x, \tau) \rangle_\eta = 0, \quad \langle \eta(x, \tau) \eta(y, \tau') \rangle_\eta = 2\delta^{(d)}(x - y)\delta(\tau - \tau')$$

- Quantum fluctuations encoded in **Gaussian white noise**  
⇒ **Aim:** attack UV fluctuations **here!**

# Stochastic quantization: Fokker-Planck equation

$$\frac{\partial P(\phi, \tau)}{\partial \tau} = \int d^d x \frac{\delta}{\delta \phi(x, \tau)} \left( \frac{\delta S_E}{\delta \phi(x, \tau)} + \frac{\delta}{\delta \phi(x, \tau)} \right) P(\phi, \tau).$$

Stationary distribution  $\exp(-S_E[\phi])$  is the Boltzmann weight in the partition function.

# Stochastic regularization in the continuum

- Modify the noise term using a **regulator**  $r_\Lambda(\Delta_x) \rightarrow$  control the UV-fluctuations by the cutoff parameter  $\Lambda$  [Bern et. al., 1987]
- White noise limit:  $r_\Lambda(\Delta_x) \rightarrow 1, \Lambda \rightarrow \infty$
- Regularized Langevin and Fokker-Planck equation

$$\frac{\partial \phi(x, \tau)}{\partial \tau} = -\frac{\delta S_E}{\delta \phi(x, \tau)} + r_\Lambda(\Delta_x) \eta(x, \tau),$$

$$\frac{\partial P[\phi, \tau]}{\partial \tau} = \int d^d x \frac{\delta}{\delta \phi_x} \left( \frac{\delta S_E}{\delta \phi_x} + r_\Lambda^2(\Delta_x) \frac{\delta}{\delta \phi_x} \right) P[\phi, \tau].$$

# LATTICE QFT WITH COLORED NOISE

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# Colored noise using a sharp regulator on the lattice

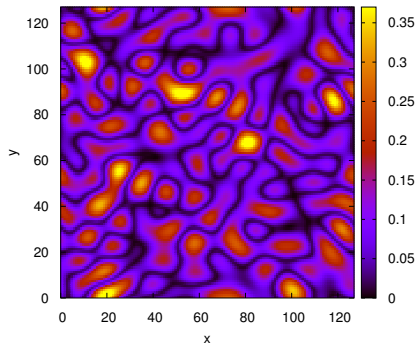
- Consider  $d$ -dimensional lattice of volume  $\Omega = (aN)^d$
- Lattice momenta:  
 $k_\mu = \frac{2\pi}{a} n_\mu$ ,  $\mu = 1, \dots, d$ ,  
where  $n_\mu = -N/2 + 1, \dots, N/2$
- **Momentum cutoff:**

$$p_\Lambda(s_q) := \left( d \left( \frac{2\pi}{aN} \right)^2 s_q^2 \right)^{1/2},$$

$$s_q = 0, 1, \dots, N/2$$

- Sharp regulator:

$$r_{p_\Lambda}(k^2) = \theta(p_\Lambda^2(s_q) - k^2)$$

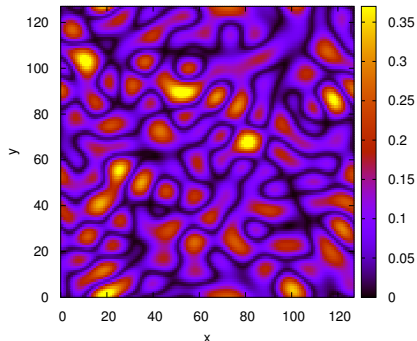


- Regularized noise field

$$\eta_{p_\Lambda}(x) = \frac{1}{\Omega^{1/2}} \sum_k e^{ik \cdot x} r_{p_\Lambda}(k^2) \eta(k)$$

# Colored noise using a sharp regulator on the lattice

- Implementation of  $r_{p_\Lambda}(k^2) = \theta(p_\Lambda^2(s_q) - k^2)$ :  
Retain momentum modes with  $n_\mu n_\mu \leq s_q^2$  and remove larger modes with  $n_\mu n_\mu > s_q^2$ .
- Limits:
  - $s_q = N/2 \leftrightarrow$  white noise
  - $s_q = 0$ : only zero-momentum mode contributes



# Implications of using a sharp cutoff

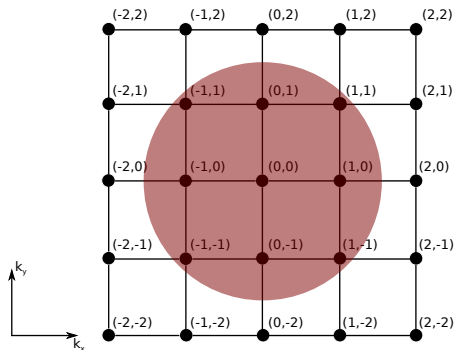
Colored noise Langevin equation

$$\phi(x, \tau_{n+1}) = \phi(x, \tau_n) - \frac{\delta S_E}{\delta \phi(x, \tau_n)} \Delta \tau + \sqrt{2\Delta \tau} \eta_{p_\Lambda}(x, \tau_n)$$

- Split field into classical and quantum contribution

$$\phi(k) = \phi_{\text{cl}}(k) + \delta \phi_{\text{qu}}(k)$$

- $\delta \phi_{\text{qu}}(k)$  non-zero on momentum sublattice where  $k^2 \leq p_\Lambda^2(s_q) \rightarrow$  **quantum lattice**
- Classical field  $\phi_{\text{cl}}$  lives on the full momentum lattice  $\rightarrow$  **classical lattice**



# A simple model to probe colored noise

Real scalar field theory on the lattice

$$S = \sum_x a^d \left\{ \frac{1}{2} \sum_{\mu=1}^d \frac{(\phi_o(x + a \hat{\mu}) - \phi_o(x))^2}{a^2} + \frac{m_o^2}{2} \phi_o(x)^2 + \frac{g_o}{4!} \phi_o(x)^4 \right\}$$

More convenient for numerical simulations:

$$S = \sum_x \left\{ -2\kappa \sum_{\mu=1}^d \phi(x)\phi(x + \hat{\mu}) + \phi(x)^2 + \lambda[\phi(x)^2 - 1]^2 - \lambda \right\}$$

Parameter relations

$$a^{\frac{d-2}{2}} \phi_o = (2\kappa)^{\frac{1}{2}} \phi, \quad (am_o)^2 = \frac{1 - 2\lambda}{\kappa} - 2d, \quad a^{-4\frac{d-2}{2}+d} g_o = \frac{6\lambda}{\kappa^2}$$



# Observables

- Magnetization (order parameter)

$$M := \frac{1}{\Omega} \sum_x \phi(x)$$

- Connected two-point correlation function

$$G_c(x, y) := \langle \phi(x)\phi(y) \rangle - \langle \phi(x) \rangle \langle \phi(y) \rangle$$

- Correlation function of time slices

$$G_c(t) = \frac{1}{V} \sum_{\vec{x}} G_c(x, 0)$$

- **Connected susceptibility**

$$\chi_2 = \sum_x G_c(x, 0) = \Omega (\langle M^2 \rangle - \langle M \rangle^2)$$

- **Fourth order cumulant** [Binder, 1981]

$$U_L = 1 - \frac{1}{3} \frac{\langle M^4 \rangle}{\langle M^2 \rangle^2}$$

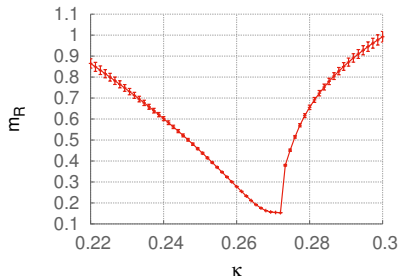
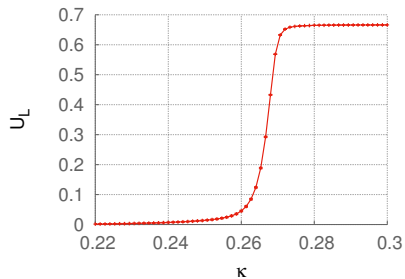
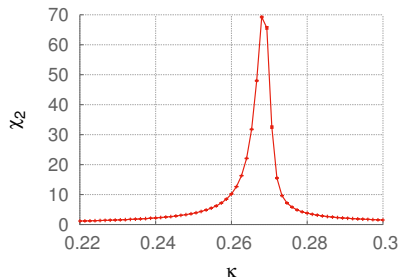
- Second moment of the correlator

$$\mu_2 := 2 d V \sum_t t^2 G(t)_c$$

- **Renormalized mass**

$$m_R^2 = \frac{2 d \chi_2}{\mu_2}$$

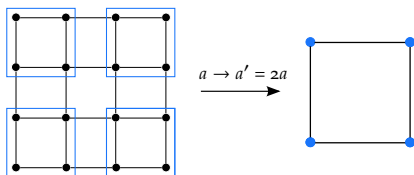
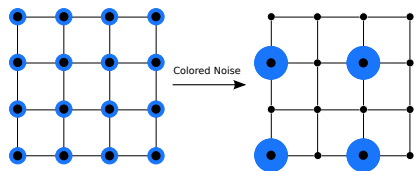
# Phase structure of the theory in $d = 2$



# COLORED NOISE AND THE RENOR- MALIZATION GROUP

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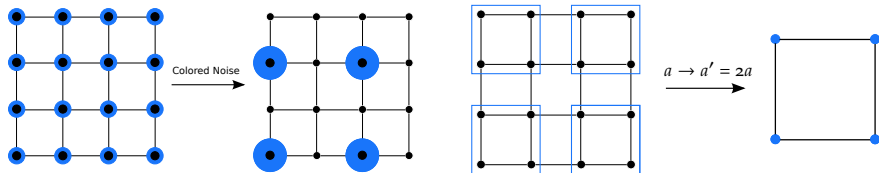
# Classical and quantum lattice



- Infinitesimal change of  $\Lambda$  governed by the RG  $\rightarrow$  changes couplings  $(\kappa, \lambda)$ .
- Colored noise leads to separation into classical and quantum lattice.
- Real space: quantum lattice coarser than the classical lattice.

- CN on the lattice locally smears fields with smoothing scale given by the cutoff  $s_q$ .
- Compensate decrease of cutoff  $s_q$  by "block spinning" step.
- Thereto, define the theory on a finer lattice but with physical volume fixed.

# Block spin transformation



- To define theory on finer lattice introduce scale factors

$$a \rightarrow a' = s^{-1}a, N \rightarrow N' = sN, k \rightarrow k' = sk, s \geq 1$$

- Cutoff transformation:

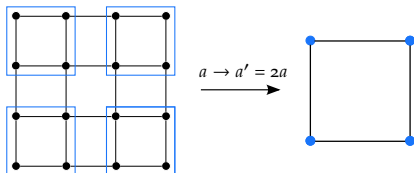
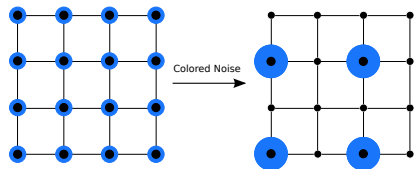
$$p_{\Lambda}(a, N) \rightarrow p'_{\Lambda}(a', N') = s^{-1}p_{\Lambda}(a', N'),$$

- Bare parameters:

$$(am_0)^2 \rightarrow s^{-2}(am_0)^2,$$

$$a^2 g_0 \rightarrow s^{-2}a^2 g_0.$$

# Block spin transformation



- Recall in  $d = 2$ :

$$(am_0)^2 = \frac{1 - 2\lambda}{\kappa} - 4$$

$$a^2 g_0 = \frac{6\lambda}{\kappa^2}$$

- Block-spinning equations:

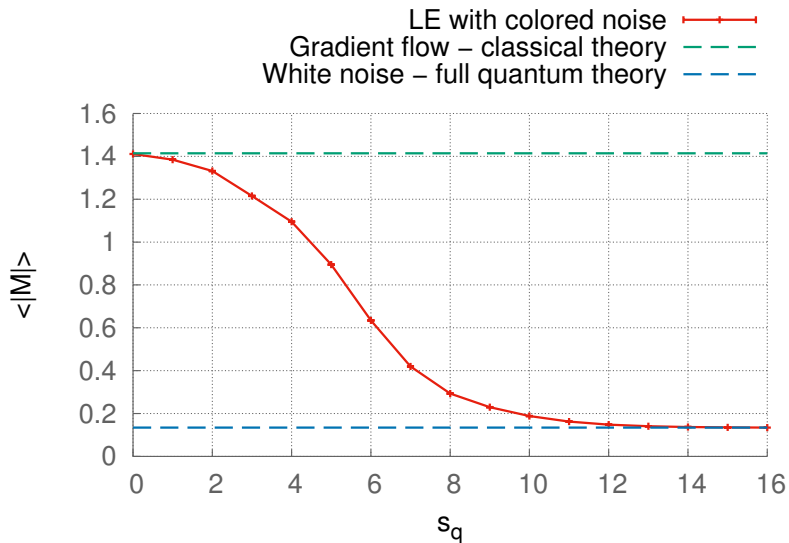
$$\boxed{\begin{aligned} s^{-2} \left[ \frac{1 - 2\lambda}{\kappa} - 4 \right] &= \frac{1 - 2\lambda'}{\kappa'} - 4 \\ s^{-2} \frac{6\lambda}{\kappa^2} &= \frac{6\lambda'}{\kappa'^2} \end{aligned}}$$

- Solve for  $(\kappa', \lambda')$  to be used in the colored noise simulation.

# COLORED NOISE: INCOMPLETE BLOCKING

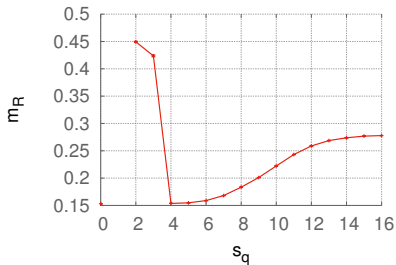
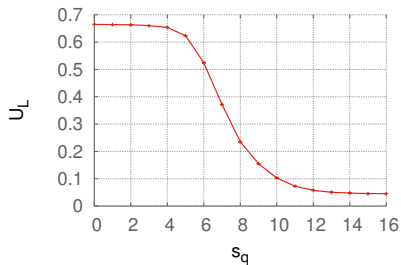
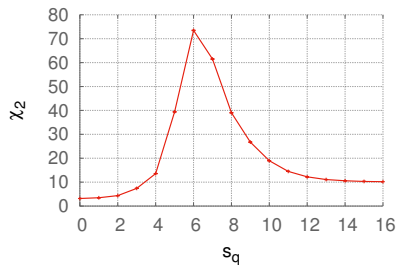
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# Colored noise effects for fixed $(\kappa, \lambda)$

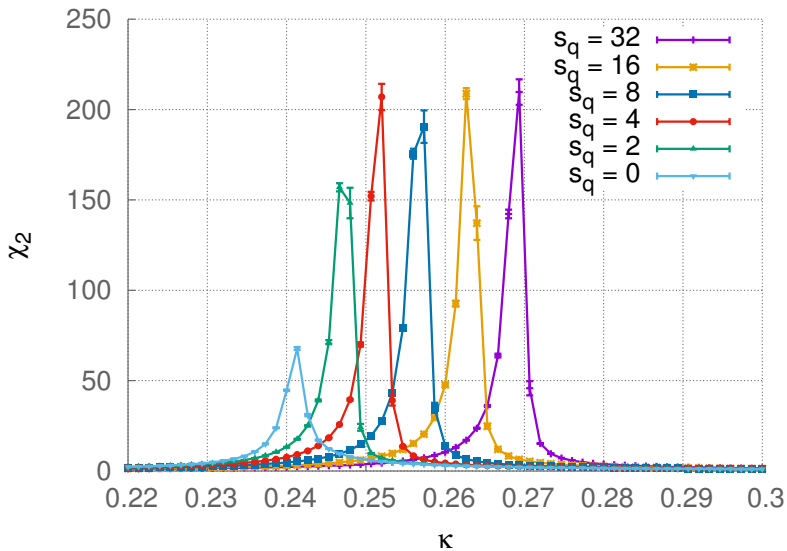




# Colored noise effects for fixed $(\kappa, \lambda)$



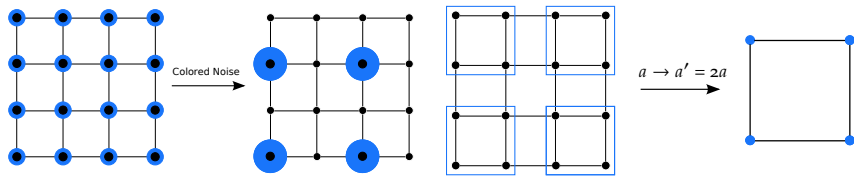
# Parameter scan in $\kappa$ for fixed $\lambda$



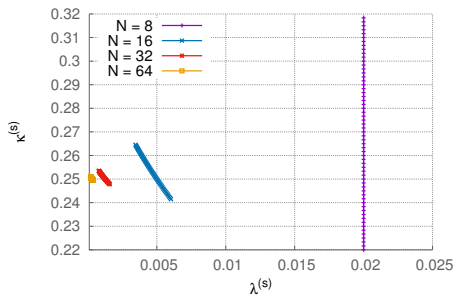
# COLORED NOISE: COMPLETE BLOCKING

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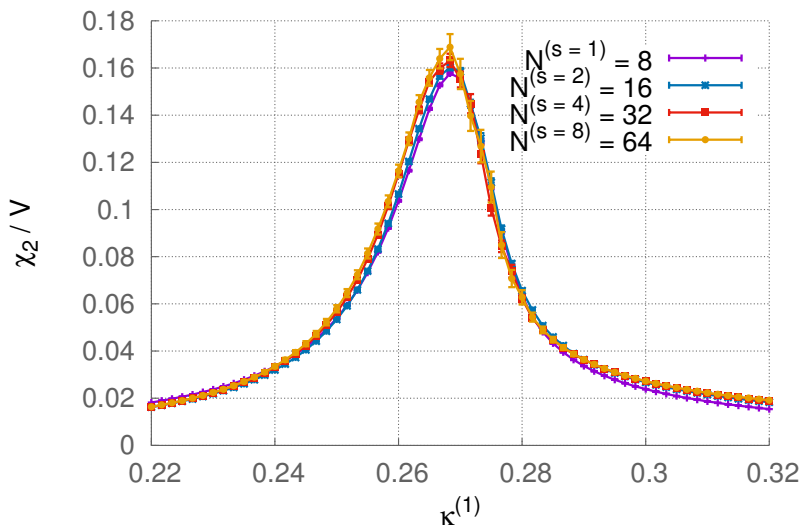
# Colored noise: Complete blocking



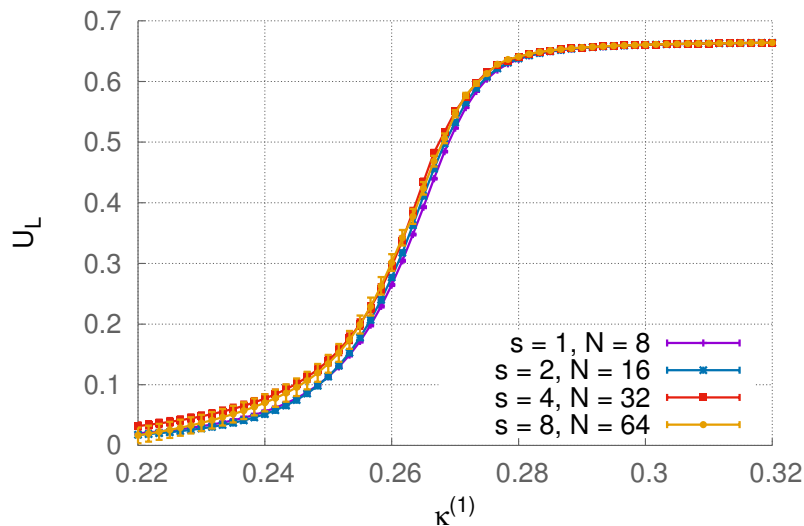
- Reference simulation with white noise ( $s = 1$ ),  $0.22 \leq \kappa^{(s=1)} \leq 0.32$ ,  $\lambda^{(s=1)} = 0.02 = \text{const}$ ,  $p_\Lambda = p_{\Lambda, \max}$ ,  $a = a^{(s=1)}$ ,  $N = N^{(s=1)} = 8$
- Compare with colored noise simulations on finer lattices with  $a^{(s)} = s^{-1} a^{(1)}$ ,  $s = 2, 4, 8$ ,  $N^{(s)} = s N$ ,  $(\kappa^s, \lambda^s)$   
 $\rightarrow$  cutoff  $p_\Lambda = s^{-1} p_{\Lambda, \max}$



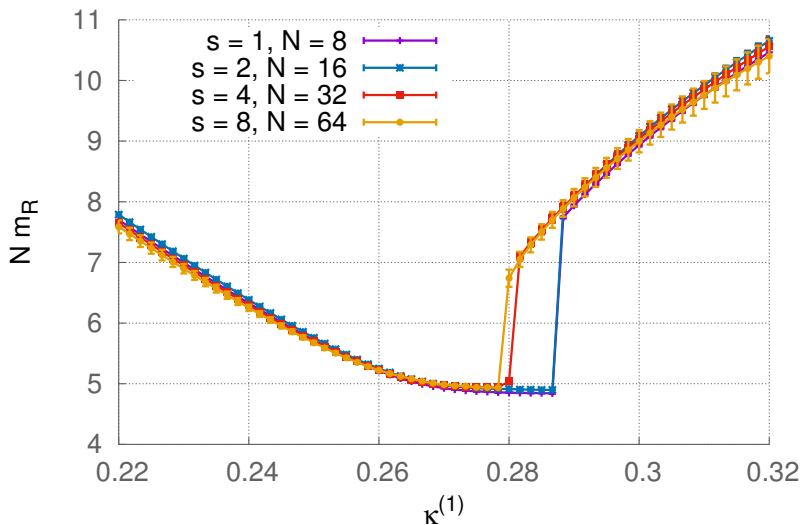
# Colored noise: Complete blocking



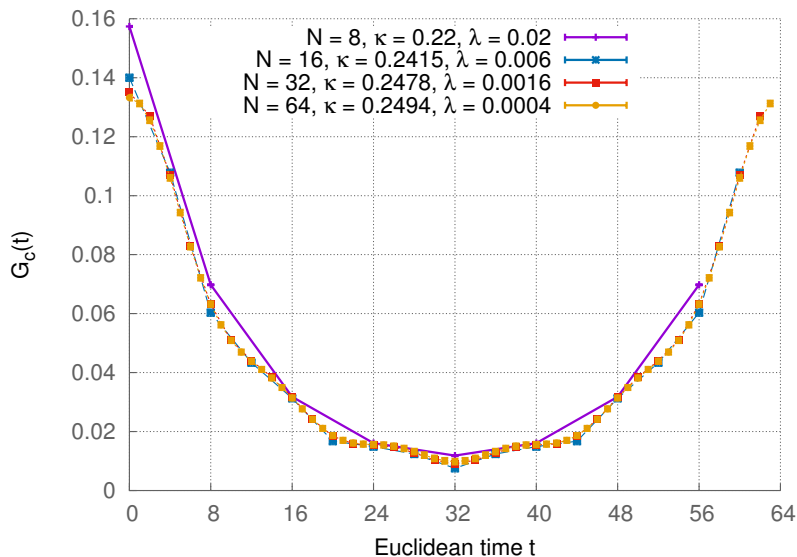
# Colored noise: Complete blocking



# Colored noise: Complete blocking



# Colored noise: Complete blocking





## Summary so far

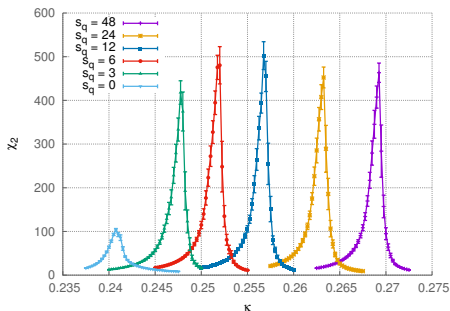
- Same physics in white noise and colored noise simulations by rescaling the lattice spacing  $\rightarrow$  compensate for colored noise effect by adjusting the parameters  $(\kappa, \lambda)$ .
- Equivalent to standard blocking transformation at tree-level.
- Procedure fails if lattice is too small  $\rightarrow$  limited number of blocking steps.
- **Problem:** Costly procedure since decreasing the lattice spacing requires a simultaneous increase of the number of lattice points.

## APPLYING COLORED NOISE COOLING

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# Applicability of colored noise cooling

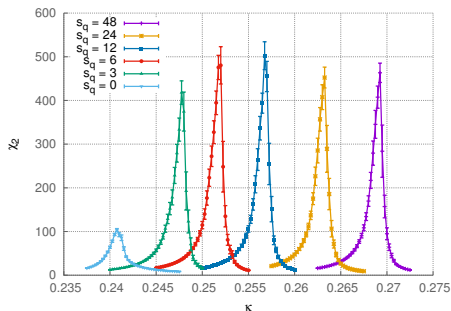
- Colored noise simulation:  
physics content of the theory  
unchanged if  $s_q \gg s_q^{\text{phys}}$ .
- Bare couplings in the classical  
action must be changed due to  
RG running.
- At low cutoffs  $s_q \ll s_q^{\text{phys}}$   
relevant physical fluctuations  
are removed from the theory.



# Applicability of colored noise cooling

- **Goal:** Simulate at fixed lattice size and given lattice spacing  $a$
- Check in which range of  $s_q$  the use of the classical action in the colored noise simulation is valid.  
→ Investigate this in terms of **(lattice) functional renormalization group**

**Approximation:** Use continuum flow equations for lattice parameters!



# Functional renormalization group in the continuum

- Dimensionful one-loop FRG flow equations for the mass  $m$  and the coupling  $g$  in  $d = 2$

$$\begin{aligned}\Lambda \partial_{\Lambda} m^2 &= -\frac{g}{4\pi} \frac{1}{1 + m^2/\Lambda^2} \\ \Lambda \partial_{\Lambda} g &= \frac{3}{4\pi} \frac{g^2}{\Lambda^2} \frac{1}{(1 + m^2/\Lambda^2)^2} .\end{aligned}$$

- Render flow equations dimensionless by rescaling with constant lattice spacing  $a$   
 $\rightarrow m^2 \rightarrow (am)^2, g \rightarrow a^2 g, \Lambda \rightarrow a\Lambda.$

- Dimensionless flow equations

$$\Lambda \partial_{\Lambda} (a m)^2 = -\frac{a^2 g}{4\pi} \frac{1}{1 + (a m)^2 / (a \Lambda)^2}$$

$$\Lambda \partial_{\Lambda} a^2 g = \frac{3}{4\pi} \frac{(a^2 g)^2}{(a \Lambda)^2} \frac{1}{(1 + (a m)^2 / (a \Lambda)^2)^2}.$$

- translate into flow equation for the lattice parameters  $(\kappa, \lambda)$

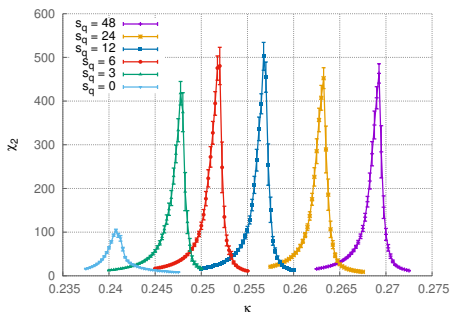
$$\partial_t \kappa(t) = \frac{3}{2} \frac{\lambda(t)}{\pi} \kappa(t) \frac{e^{2t}}{1 + 2\lambda(t)} \frac{\kappa(t)(e^{2t} - 4) - 8\lambda(t) + 1}{[\kappa(t)(e^{2t} - 4) - 2\lambda(t) + 1]^2}$$

$$\partial_t \lambda(t) = \frac{3}{2} \frac{\lambda(t)^2}{\pi} \frac{e^{2t}}{1 + 2\lambda(t)} \frac{2\kappa(t)(e^{2t} - 4) - 10\lambda(t) + 5}{[\kappa(t)(e^{2t} - 4) - 2\lambda(t) + 1]^2}.$$

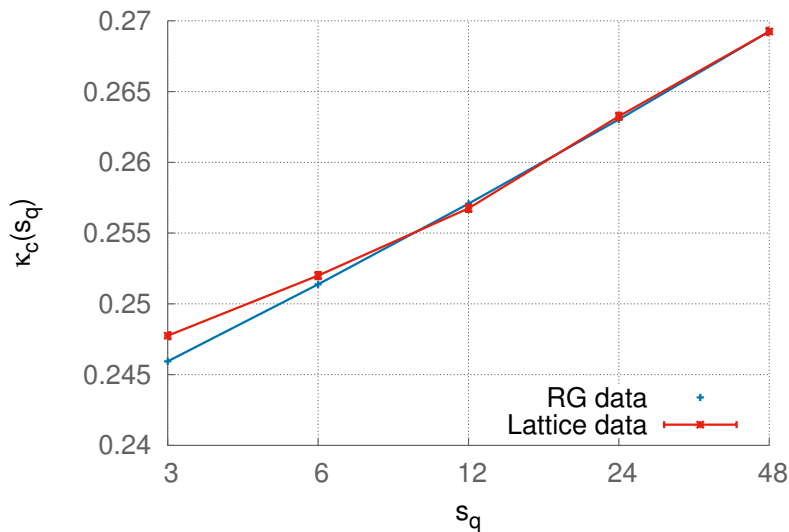
with the flow time  $t = \log(a \Lambda)$ .

# Solutions to the RG equations

- Take **peak position**  $\kappa_c$  of white noise simulation as **input** for flow equation at scale  $t_{\max} = \log(a\Lambda_{\max}) = \log(C\sqrt{2\pi}s_q)$  with a constant parameter  $C$ .
- Decrease cutoff  $t_{\max} \rightarrow t_{\max} - n \log(2)$ ,  $n = 1, 2, 3, 4$  and compare resulting values for  $\kappa$  with the peak positions depending on  $s_q$ .
- Caveat: In the lattice simulations  $\lambda$  is hold fixed.

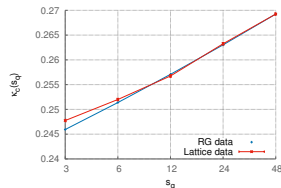
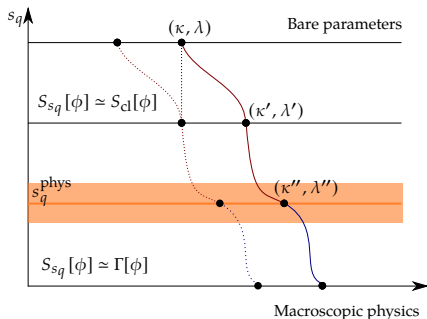


# Comparison of lattice and FRG data





# Applicability of the classical action



- $s_q \gg s_q^{\text{phys}}$ : use classical action with adjusted couplings  $(\kappa, \lambda)$  according to RG.
- Higher couplings generated by the RG flow negligible
- Map out intermediate regime  $s_q \approx s_q^{\text{phys}}$ : Results from flow equation as lattice simulation input.

## CONCLUSIONS AND OUTLOOK

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# Conclusions

- If  $s_q \gg s_q^{\text{phys}}$  no relevant physics is removed.
- At low  $s_q$  RG transformations of bare parameters in the classical action not sufficient to keep physics constant.
- Effective action on the lattice needed  $\rightarrow$  (Symanzik) improved actions
- **Our goal:** Improvement to standard methods by simulating at lowest possible  $s_q \rightarrow$  **sample smooth fields efficiently**
- Error sources:
  - Constant  $\lambda$  in lattice simulations
  - Approximation of continuum FRG equations to calculate lattice couplings
  - Colored noise using the classical action is an approximation, too.

- Further tests of validity range of classical action approximation, parameter scans using the FRG results as lattice input → work in progress
- Application of colored noise cooling to finite density models and complex Langevin → work in progress

**Thank you very much for your attention!**