



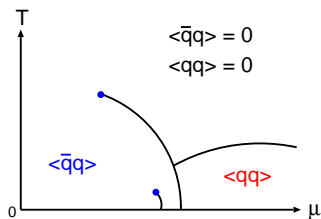
Michael Buballa

Theoriezentrum, Institut für Kernphysik, TU Darmstadt

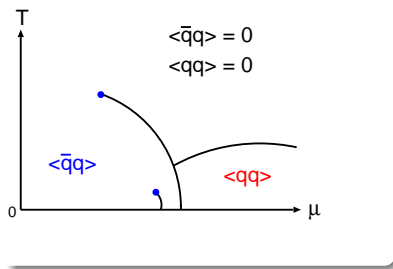
Lunch Club Seminar, JLU Gießen, December 5, 2018



- ▶ QCD phase diagram (standard picture):

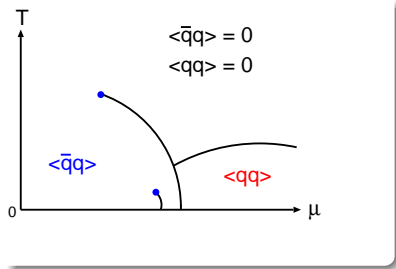


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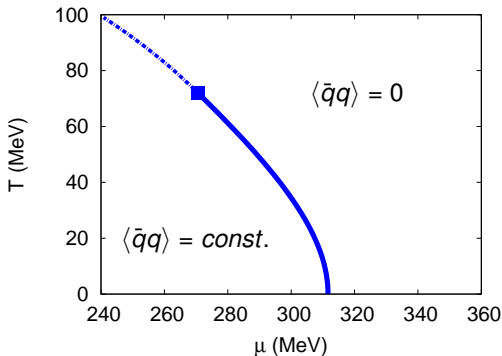
- ▶ assumption: $\langle \bar{q}q \rangle, \langle qq \rangle$ constant in space

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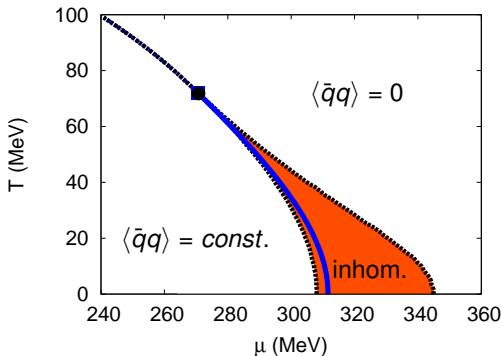
- ▶ assumption: $\langle \bar{q}q \rangle$, $\langle qq \rangle$ constant in space
- ▶ How about **non-uniform** phases ?

homogeneous phases only



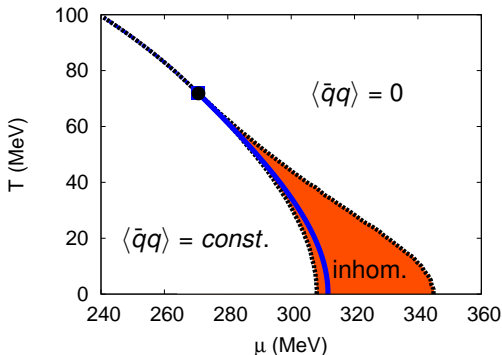
[D. Nickel, PRD (2009)]

including inhomogeneous phase



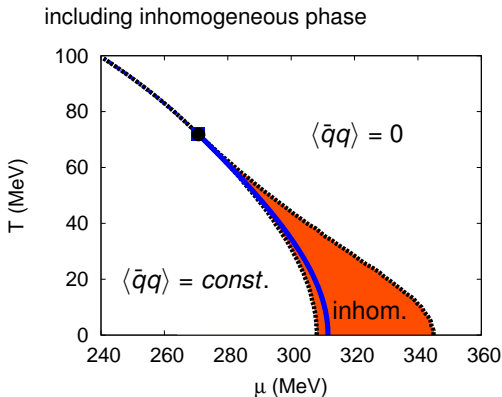
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- ▶ 1st-order phase boundary completely covered by the inhomogeneous phase!
- ▶ Critical point \rightarrow Lifshitz point [D. Nickel, PRL (2009)]



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- ▶ 1st-order phase boundary completely covered by the inhomogeneous phase!
- ▶ Critical point \rightarrow Lifshitz point [D. Nickel, PRL (2009)]
- ▶ Inhomogeneous phase rather robust under model extensions and variations:
 - ▶ vector interactions
 - ▶ Polyakov-loop dynamics
 - ▶ including strange quarks
 - ▶ isospin imbalance
 - ▶ magnetic fields

[MB, S. Carignano, PPNP (2015)]

Questions addressed in this talk:

- ▶ What is the effect of nonzero bare quark masses?
[MB, S. Carignano, arxiv:1809.10066 [hep-ph]]
- ▶ What is the influence of strange quarks?
- ▶ based on:
 - ▶ MB, S. Carignano, arxiv:1809.10066 [hep-ph]
 - ▶ MB, S. Carignano, submitted to PoS (proceedings QCHS 2018)

Nonzero bare quark masses



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Nonzero bare quark masses



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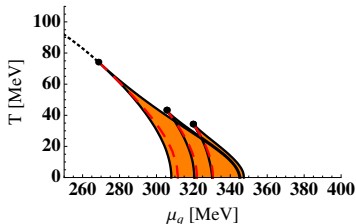
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$m_{u,d} = 0, 5 \text{ MeV}, 10 \text{ MeV}$

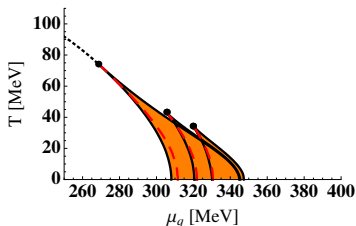


Nonzero bare quark masses

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- ▶ Can we investigate this more systematically?

► Lagrangian:

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$$\Rightarrow \mathcal{L} = \bar{\psi} (i\partial - m + 2G_S(\sigma + i\gamma_5\vec{\tau} \cdot \vec{\pi})) \psi - G (\sigma^2 + \vec{\pi}^2)$$

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- ▶ mean-field approximation:

$$\sigma(\mathbf{x}) \rightarrow \langle \sigma(\mathbf{x}) \rangle \equiv \phi_S(\vec{\mathbf{x}}), \quad \pi_a(\mathbf{x}) \rightarrow \langle \pi_a(\mathbf{x}) \rangle \equiv \phi_P(\vec{\mathbf{x}}) \delta_{a3}$$

- ▶ $\phi_S(\vec{\mathbf{x}}), \phi_P(\vec{\mathbf{x}})$ time independent classical fields
- ▶ retain space dependence !

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► mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(\mathbf{x}) [i\partial - m + 2G (\phi_S(\vec{\mathbf{x}}) + i\gamma_5\tau_3\phi_P(\vec{\mathbf{x}}))] \psi(\mathbf{x}) - G [\phi_S^2(\vec{\mathbf{x}}) + \phi_P^2(\vec{\mathbf{x}})]$$



- ▶ mean-field thermodynamic potential:

$$\Omega_{MF}(T, \mu) = -\frac{T}{V} \ln \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left(\int_{x \in [0, \frac{1}{T}] \times V} (\mathcal{L}_{MF} + \mu \bar{\psi} \gamma^0 \psi) \right)$$

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- ▶ \mathcal{L}_{MF} bilinear in ψ and $\bar{\psi}$ \Rightarrow quark fields can be integrated out:

$$\Omega_{MF}(T, \mu) = -\frac{T}{V} \mathbf{Tr} \log \left(\frac{S^{-1}}{T} \right) + G \frac{1}{V} \int d^3x (\phi_S^2(\vec{x}) + \phi_P^2(\vec{x}))$$

- ▶ inverse dressed propagator: $S^{-1}(x) = i\cancel{\partial} + \mu\gamma^0 - m + 2G_S (\phi_S(\vec{x}) + i\gamma_5\tau_3\phi_P(\vec{x}))$
- ▶ \mathbf{Tr} : functional trace over Euclidean $V_4 = [0, \frac{1}{T}] \times V$, Dirac, color, and flavor

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$\Rightarrow \Omega_{MF} = \Omega_{MF}[\phi_S(\vec{x}), \phi_P(\vec{x})]$ **minimization extremely difficult !**

► Simplifications:

► chiral limit $m = 0$ (will be relaxed later)

► $\phi_P = 0$ (to simplify the notation, can be included straightforwardly)

→ **order parameter** $M(\vec{x}) = -2G\phi_S(\vec{x})$ (“constituent quark mass”)

→ $\Omega_{MF} = \Omega_{MF}[M]$

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► Assumptions: $M, |\nabla M|$ small (holds near the LP)

→ **expansion of the thermodynamic potential.**

$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_V d^3x \left\{ \alpha_2 M^2(\vec{x}) + \alpha_{4,a} M^4(\vec{x}) + \alpha_{4,b} |\vec{\nabla} M(\vec{x})|^2 + \dots \right\}$$

- $\alpha_n = \alpha_n(T, \mu)$: GL coefficients
- chiral symmetry: only even powers allowed
- stability: higher-order coeffs. positive

Tricritical and Lifshitz point



- ▶ GL expansion:
$$\Omega[M] = \Omega[0] + \frac{1}{V} \int_V d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla} M|^2 + \dots \right\}$$

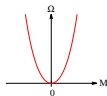
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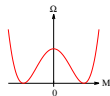
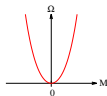
- ▶ $\alpha_2 > 0 \Rightarrow$ restored phase



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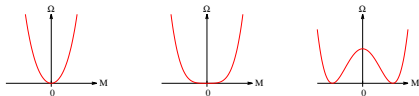
- ▶ $\alpha_2 < 0 \Rightarrow$ hom. broken phase



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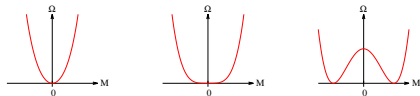
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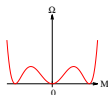
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case 1.2: $\alpha_{4,a} < 0$

- 1st-order phase trans. at $\alpha_2 > 0$

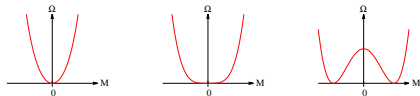


Tricritical and Lifshitz point

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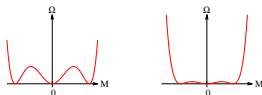
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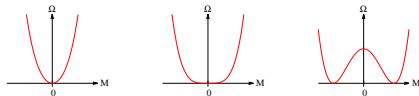


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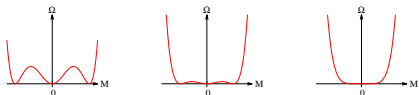
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\Rightarrow **tricritical point (TCP)**: $\alpha_2 = \alpha_{4,a} = 0$

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- \Rightarrow **tricritical point (TCP)**: $\alpha_2 = \alpha_{4,a} = 0$
- ▶ case 2: $\alpha_{4,b} < 0$
 - ▶ inhomogeneous phase possible

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- ▶ case 2: $\alpha_{4,b} < 0$
 - ▶ inhomogeneous phase possible
 - ▶ 2nd-order phase boundary inhom. - restored: $\alpha_{4,b} < 0, \alpha_2 > 0$
finite wavelength, amplitude $\rightarrow 0$

- ▶ GL expansion: $\Omega[M] = \Omega[0] + \frac{1}{V} \int_V d^3x \left\{ \alpha_2 M^2 + \alpha_{4,a} M^4 + \alpha_{4,b} |\vec{\nabla} M|^2 + \dots \right\}$
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 - ▶ inhomogeneous phase possible **Lifshitz point (LP)**: $\alpha_2 = \alpha_{4,b} = 0$
 - ▶ 2nd-order phase boundary inhom. - restored: $\alpha_{4,b} < 0, \alpha_2 > 0$
finite wavelength, amplitude $\rightarrow 0$

- ▶ $m \neq 0$: no chirally restored solution $M = 0$

→ expand about a priori unknown constant mass M_0 :

$$\Omega[M] = \Omega[M_0] + \frac{1}{V} \int d^3x \left(\alpha_1 \delta M + \alpha_2 \delta M^2 + \alpha_3 \delta M^3 + \alpha_{4,a} \delta M^4 + \alpha_{4,b} (\nabla \delta M)^2 + \dots \right)$$

- ▶ small parameters: $\delta M(\vec{x}) \equiv M(\vec{x}) - M_0$, $|\nabla \delta M(\vec{x})|$
- ▶ GL coefficients: $\alpha_j = \alpha_j(T, \mu, M_0)$
- ▶ odd powers allowed
- ▶ require $M_0 =$ extremum of Ω at given T and μ
 $\Rightarrow \alpha_1(T, \mu, M_0) = 0 \rightarrow M_0 = M_0(T, \mu)$ (= homogeneous gap equation)

- ▶ GL expansion:

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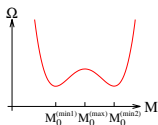
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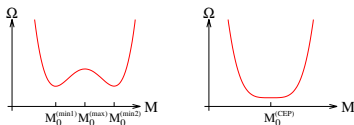


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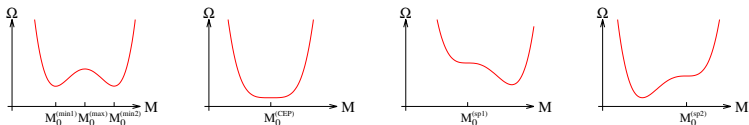
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\Rightarrow **critical endpoint (CEP)**: $\alpha_2 = \alpha_3 = 0$

- ▶ GL expansion:

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- ▶ spinodals: left: $\alpha_2 = 0, \alpha_3 < 0$, right: $\alpha_2 = 0, \alpha_3 > 0$,

- ▶ GL expansion:

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- ▶ case 1: $\alpha_{4,b} > 0 \Rightarrow$ homogeneous CEP: $\alpha_2 = \alpha_3 = 0$
- ▶ case 2: $\alpha_{4,b} < 0 \Rightarrow$ inhomogeneous phases possible

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- ▶ case 2: $\alpha_{4,b} < 0 \Rightarrow$ inhomogeneous phases possible
 - ▶ strictly: only two phases – homogeneous and inhomogeneous \Rightarrow **no LP**

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\rightarrow pseudo Lifshitz point (PLP): $\alpha_2 = \alpha_{4,b} = 0$

Summarizing: GL analysis of critical and Lifshitz points

- ▶ **chiral limit ($m = 0$):**
 - ▶ expansion about $M = 0$
 - ▶ TCP: $\alpha_2 = \alpha_{4,a} = 0$
 - ▶ LP: $\alpha_2 = \alpha_{4,b} = 0$
- ▶ **away from the chiral limit ($m \neq 0$):**
 - ▶ expansion about $M_0(T, \mu)$ solving $\alpha_1(T, \mu, M_0) = 0$
 - ▶ CEP: $\alpha_2 = \alpha_3 = 0$
 - ▶ PLP: $\alpha_2 = \alpha_{4,b} = 0$

Determination of the GL coefficients



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- ▶ NJL mean-field thermodynamic potential:

$$\Omega_{MF}(T, \mu) = -\frac{T}{V} \mathbf{Tr} \log \left(\frac{S^{-1}}{T} \right) + G \frac{1}{V} \int d^3x \left(\phi_S^2(\vec{x}) + \phi_P^2(\vec{x}) \right)$$

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$$\Rightarrow \Omega_{MF} = -\frac{T}{V} \mathbf{Tr} \log(S_0^{-1} - \delta M) + \frac{1}{V} \int d^3x \frac{(M_0 - m + \delta M(\vec{x}))^2}{4G}$$

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- ▶ $S_0^{-1}(x) = i\partial + \mu\gamma^0 - M_0$ inverse propagator of a free fermion with mass M_0
- ▶ expand logarithm:

$$\log(S_0^{-1} - \delta M) = \log(S_0^{-1}) + \log(1 - S_0\delta M) = \log(S_0^{-1}) - \sum_{n=1}^{\infty} \frac{1}{n} (S_0\delta M)^n$$

Determination of the GL coefficients

► Thermodynamic potential: $\Omega_{MF} = \sum_{n=0}^{\infty} \Omega^{(n)}$

$\Omega^{(n)}$: contribution of order $(\delta M)^n$:

$$\Omega^{(0)} = -\frac{T}{V} \mathbf{Tr} \log S_0^{-1} + \frac{1}{V} \int_V d^3x \frac{(M_0 - m)^2}{4G}$$

$$\Omega^{(1)} = \frac{T}{V} \mathbf{Tr} (S_0 \delta M) + \frac{M_0 - m}{2G} \frac{1}{V} \int_V d^3x \delta M(\vec{x}),$$

$$\Omega^{(2)} = \frac{1}{2} \frac{T}{V} \mathbf{Tr} (S_0 \delta M)^2 + \frac{1}{4G} \frac{1}{V} \int_V d^3x \delta M^2(\vec{x}),$$

$$\Omega^{(n)} = \frac{1}{n} \frac{T}{V} \mathbf{Tr} (S_0 \delta M)^n \quad \text{for } n \geq 3.$$

► functional trace:

$$\text{Tr} (S_0 \delta M)^n = 2N_c \int \prod_{i=1}^n d^4 x_i \text{tr}_D [S_0(x_n, x_1) \delta M(\vec{x}_1) S_0(x_1, x_2) \delta M(\vec{x}_2) \dots S_0(x_{n-1}, x_n) \delta M(\vec{x}_n)]$$

Determination of the GL coefficients

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- ▶ gradient expansion: $\delta M(\vec{x}_i) = \delta M(\vec{x}_1) + \nabla M(\vec{x}_1) \cdot (\vec{x}_i - \vec{x}_1) + \dots$

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- ▶ final steps:

- ▶ Insert momentum-space rep. of the free propagators S_0 and turn out all but one $d^4 x_i$ integrals.
- ▶ Compare results with GL expansion of Ω_{MF} to read off the GL coefficients.

► Resulting coefficients:

$$\alpha_1 = \frac{M_0 - m}{2G} + M_0 F_1, \quad \alpha_2 = \frac{1}{4G} + \frac{1}{2} F_1 + M_0^2 F_2, \quad \alpha_3 = M_0 \left(F_2 + \frac{4}{3} M_0^2 F_3 \right),$$
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► $F_n = 8N_c \int \frac{d^3 p}{(2\pi)^3} T \sum_j \frac{1}{[(i\omega_j + \mu)^2 - \bar{p}^2 - M_0^2]^n}, \quad \omega_j = (2j + 1)\pi T$

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► chiral limit:

- $m = 0 \Rightarrow M_0 = 0$ solves gap equation $\alpha_1 = 0$
- $M_0 = 0 \Rightarrow \alpha_3 = 0$ (no odd powers)
- $M_0 = 0 \Rightarrow \alpha_{4,a} = \alpha_{4,b} \Rightarrow \text{TCP} = \text{LP}$ [Nickel, PRL (2009)]

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► towards the chiral limit:

► $M_0 \rightarrow 0 \Rightarrow \alpha_3, \alpha_{4ba}, \alpha_{4,b} \propto F_2 \Rightarrow \text{CEP} \rightarrow \text{TCP} = \text{LP}$

► Resulting coefficients:

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► away from the chiral limit:

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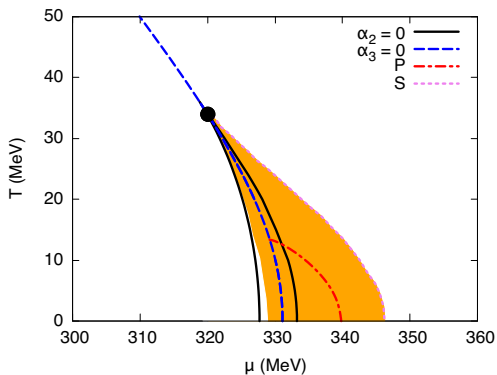
► away from the chiral limit:

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The CEP coincides with the PLP!

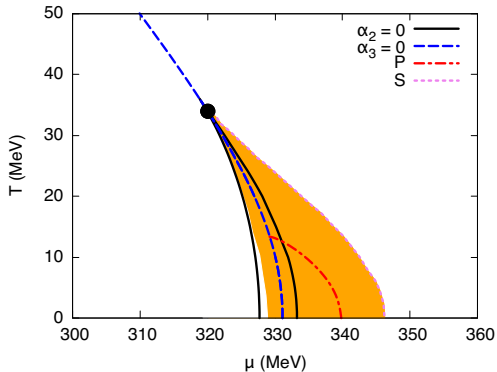
Results:

- ▶ phase diagram for $m = 10$ MeV:



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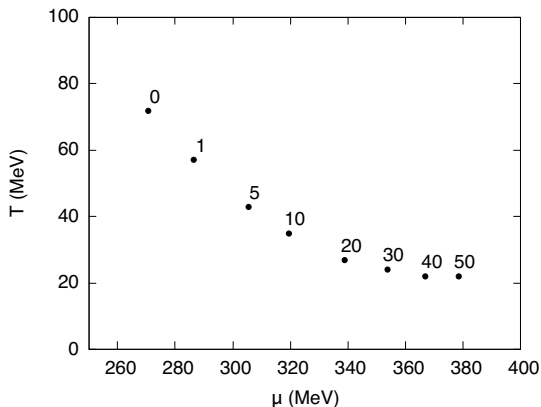
- ▶ phase diagram for $m = 10$ MeV:



- ▶ dominant instability in the scalar channel

Results:

- ▶ position of the CEP=PLP for different m :



m/MeV	m_π/MeV
0.	0.
1.	43.
5.	96.
10.	135.
20.	191.
30.	235.
40.	271.
50.	303.

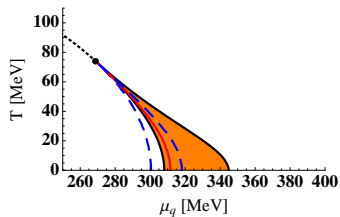
Including strange quarks



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Motivation

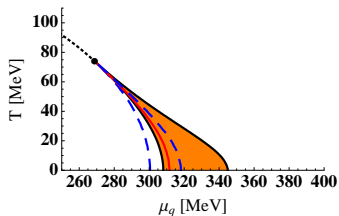
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[D. Nickel, PRD (2009)]

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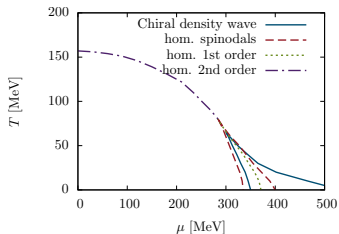
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- ▶ Is this also true in QCD?



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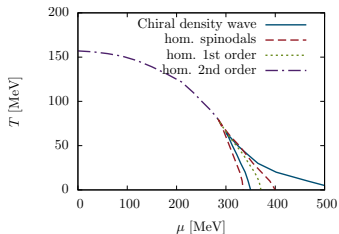
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[D. Müller et al. PLB (2013)]

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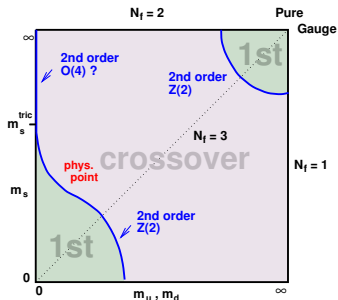
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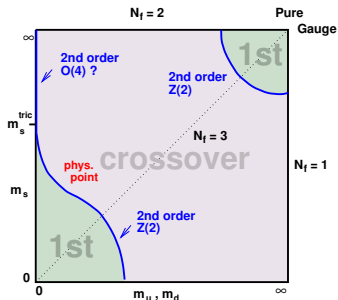
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- ▶ 3-flavor QCD with very small quark masses:
 - ▶ CEP reaches T -axis
 - \Rightarrow PLP reaches T -axis
 - ▶ chance to study the inhomogeneous phase on the lattice!



[from de Forcrand et al., POSLAT 2007]

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 - ▶ \Rightarrow PLP reaches T -axis
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- ▶ Here: [Ginzburg-Landau study for 3-flavor NJL](#)



[from de Forcrand et al., POSLAT 2007]

3-flavor NJL model

► Lagrangian: $\mathcal{L} = \bar{\psi}(i\cancel{\partial} - \hat{m})\psi + \mathcal{L}_4 + \mathcal{L}_6$

► fields and bare masses: $\psi = (u, d, s)^T$, $\hat{m} = \text{diag}_f(0, 0, m_s)$

► 4-point interaction: $\mathcal{L}_4 = G \sum_{a=0}^8 [(\bar{\psi}\tau_a\psi)^2 + (\bar{\psi}i\gamma_5\tau_a\psi)^2]$

► 6-point ('t Hooft) interaction: $\mathcal{L}_6 = -K [\det_f \bar{\psi}(1 + \gamma_5)\psi + \det_f \bar{\psi}(1 - \gamma_5)\psi]$

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► Mean fields:

► light sector: $\langle \bar{u}u \rangle = \langle \bar{d}d \rangle \equiv \frac{S}{2}$, $\langle \bar{u}i\gamma_5 u \rangle = -\langle \bar{d}i\gamma_5 d \rangle \equiv \frac{P}{2}$

($\Rightarrow \langle \bar{\psi}_\ell \psi_\ell \rangle \equiv \langle \bar{u}u \rangle + \langle \bar{d}d \rangle = S$, $\langle \bar{\psi}_\ell i\gamma_5 \tau_3 \psi_\ell \rangle \equiv \langle \bar{u}i\gamma_5 u \rangle - \langle \bar{d}i\gamma_5 d \rangle = P$)

► strange sector: $\langle \bar{s}s \rangle \equiv S_s$, $\langle \bar{s}i\gamma_5 s \rangle = 0$

► no flavor-nondiagonal mean fields

► allow for inhomogeneities: $S = S(\vec{x})$, $P = P(\vec{x})$, $S_s = S_s(\vec{x})$

Mean-field Thermodynamic Potential

- ▶ $\Omega_{MF}(T, \mu) = -\frac{T}{V} \text{Tr} \log (i\hat{\phi} + \mu\gamma^0 - \hat{M}) + \frac{1}{V} \int d^3x \mathcal{V}(\vec{x})$
 - ▶ dressed “masses”:
$$\hat{M}_{u,d}(\vec{x}) = -(2G - KS_s(\vec{x}))(S(\vec{x}) \pm i\gamma_5 P(\vec{x}))$$
$$\hat{M}_s(\vec{x}) = m_s - 4GS_s(\vec{x}) + \frac{1}{2}K(S^2(\vec{x}) + P^2(\vec{x}))$$
 - ▶ “potential field”: $\mathcal{V}(\vec{x}) = G(S^2(\vec{x}) + P^2(\vec{x}) + 2S_s(\vec{x})) - KS_s(\vec{x})(S^2(\vec{x}) + P^2(\vec{x}))$

- ▶ $\Omega_{MF}(T, \mu) = -\frac{T}{V} \text{Tr} \log (i\hat{\phi} + \mu\gamma^0 - \hat{M}) + \frac{1}{V} \int d^3x \mathcal{V}(\vec{x})$
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- ▶ $K = 0$: light and strange sectors decouple!
$$\hat{M}_{u,d} = -2G(S \pm i\gamma_5 P), \quad \hat{M}_s(\vec{x}) = m_s - 4GS_s; \quad \mathcal{V} = G(S^2 + P^2) + 2GS_s$$

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- ▶ Chiral density wave ansatz for the light sector:
 $S(\vec{x}) = \phi_0 \cos(\vec{q} \cdot \vec{x}), \quad P(\vec{x}) = \phi_0 \sin(\vec{q} \cdot \vec{x}), \quad S_s = \phi_s = \text{const.}$
 $\Rightarrow \hat{M}_{u,d} = \Delta e^{\pm i\gamma_5 \vec{q} \cdot \vec{x}}, \quad \Delta \equiv -(2G - K\phi_s)\phi_0,$
 $M_s = \text{const.}, \quad \mathcal{V} = \text{const.}$
consistent with the literature [Moreira et al., PRD (2014)]

- ▶ Difficulty at $m_s \neq 0$: No $SU(3)_L \times SU(3)_R$ restored solution
- ▶ $m_u = m_d = 0$
 - ⇒ Expand about two-flavor restored solution $S = P = 0$:

$$\Omega_{MF}[S, P, S_s] = \Omega_{MF}[0, 0, S_s^{(0)}] + \frac{1}{V} \int d^3x \Omega_{GL}[S(\vec{x}), P(\vec{x}), X(\vec{x})]$$

- ▶ strange condensate: $S_s(\vec{x}) = S_s^{(0)} + X(\vec{x})$
- ▶ $S_s^{(0)}$: homogeneous solution of the gap equation for $S = P = 0$ at given T and μ
- ▶ Expand Ω_{GL} in S, P and X , and their gradients.

Ginzburg-Landau potential

- Define: $\Delta_\ell = -2G(S + iP)$, $\Delta_s = -4GX$
 $[\Delta_i] = (\text{mass}) \rightarrow$ counting scheme: $\mathcal{O}(\vec{\nabla}) = \mathcal{O}(\Delta_i)$

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- ▶ Resulting structure:

$$\begin{aligned}\Omega_{GL} = & a_2 |\Delta_\ell|^2 + a_{4,a} |\Delta_\ell|^4 + a_{4,b} |\vec{\nabla} \Delta_\ell|^2 \\ & + b_1 \Delta_s + b_2 \Delta_s^2 + b_3 \Delta_s^3 + b_{4,a} \Delta_s^4 + b_{4,b} (\vec{\nabla} \Delta_s)^2 \\ & + c_3 |\Delta_\ell|^2 \Delta_s + c_4 |\vec{\nabla} \Delta_\ell|^2 (\vec{\nabla} \Delta_s)^2 + \mathcal{O}(\Delta_i^5)\end{aligned}$$

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$$\Rightarrow M_s^{(0)} = m_s - 16N_c G T \sum_n \int \frac{d^3 p}{(2\pi)^3} \frac{M_s^{(0)}}{(i\omega_n + \mu)^2 - \vec{p}^2 - M_s^{(0)2}}$$

$$(\text{= gap equation for } M_s^{(0)} \equiv \hat{M}_s|_{S=P=X=0} = m_s - 4GS_S^{(0)})$$

Eliminating the strange condensate



► Extremizing Ω_{MF} w.r.t. $\Delta_s(\vec{x})$

$$\rightarrow \text{Euler-Lagrange equation } \frac{\partial \Omega_{GL}}{\partial \Delta_s} - \partial_i \frac{\partial \Omega_{GL}}{\partial \partial_i \Delta_s} = 0$$

$$\Leftrightarrow \Delta_s = -\frac{c_3}{2b_2} |\Delta_\ell|^2 + \mathcal{O}(|\Delta_\ell|^4)$$

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CP and LP don't coincide anymore!



- ▶ Relevant GL coefficients (no guarantee yet!):

$$a_2 = \frac{1}{4G}(1 + 2\delta) + (1 + \delta)^2 4N_c \frac{1}{V_4} \sum \frac{1}{p^2} + \frac{K}{2G^2} N_c \frac{1}{V_4} \sum \frac{M_s^{(0)}}{p^2 - M_s^{(0)2}}$$

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- ▶ Numerical survey of the general case still to be done.

- ▶ Ginzburg-Landau analysis of the effect of bare quark masses and strange quarks the inhomogeneous chiral phase in the NJL model
- ▶ nonzero $m_{u,d}$:
 - ▶ PLP coincides with CEP
 - ▶ dominant instability towards inhomogeneities in the scalar channel
 - ▶ numerical result: inhomogeneous phase survives large (higher than physical) quark masses
- ▶ strange quarks:
 - ▶ CP and LP no longer agree as a consequence of the axial anomaly
 - ▶ detailed numerical study to be done
- ▶ studies in the QM model underway
- ▶ QCD?