

Teaching Assistantship Workshop

Introduction into the World of Corporate Compliance

Organizational details

Instructor:	Gianfranco Barchiesi (graduate in law)
Date:	November 30, 2022, 9.00 am – 5.00 pm
Location:	Room AUB 5, Old University Library, Bismarckstr. 37, 35390 Giessen
ECTS:	1
Max. Participants:	30

Objectives

- To understand what compliance and the entangled interest dynamics that drive it are.
- What to expect from a compliance department in a company.
- How to collaborate with and to protect oneself from them.
- Basic compliance risks and compliance topics.

Content & Methods

Content

Through an open dialogical methodology, the workshop familiarises participants with key concepts of compliance, including definitions, objectives and its relation with sustainability and corporate governance. It also touches upon adjacent concepts of risk and of risk-based approaches, as well as on the principles and structure of “enforced/regulated self-regulation”. In doing so, the course aims to provide a short history of compliance.

One of the central goals of the course is to help participants understand the entangled network of compliance actors and interests. To this end, the course presents the topic from the point of view of each different stakeholder, exploring the priorities, objectives, fears and interests of shareholders, the government, the justice, 3rd parties like suppliers, the board of directors and employees.

Participants will also learn about the main compliance risks and areas (AML, anti-corruption, forensic, regulatory, tax, data, IT, finance, M&A, anti-trust, 3rd parties, collective actions, human rights, environmental, etc.). The main elements of a compliance programme and its relation with corporate culture will be presented and cases will serve to contextualise accompanying the entire workshop.

Methods

The workshop will be highly dialogical. Questions will be asked to the participants in order to surface their presuppositions and thoughts about the topic, which will be guided to the discovery of the core compliance foundations. Therefore, the participation of the attendees is essential for the successful structuring of this knowledge. Students will be encouraged to “put in the shoes” of the different actors that play a role in the compliance dynamic in order to experience and properly comprehend the entangled network of interests and relations that rule over compliance. A wide variety of risks in different activities and economic sectors will be presented and a code of ethics will be shown and superficially analysed. Compliance Cases will be introduced to illustrate the topic.

Target group & Course language

Target group: While the course primarily deals with subjects from the fields of economics and law, it might also be of interest to the general public and specially to forensic investigators, anticorruption specialists, cyber security specialists, human rights specialists, job-safety specialists, and environmental specialists.

No participation requirements.

Course language: English

About the instructor

Gianfranco Barchiesi is a lawyer and a compliance specialist with a business orientation, Mr Barchiesi is currently pursuing his doctoral studies in compliance at JLU Giessen.

He is also engaged in collaborative work with the LabTIUM of the Universidad de Mendoza (Argentina).

Registration

Please register by e-mail at info@ggs.uni-giessen.de and provide a brief introduction of your previous experience or knowledge of the subject, your degree, the field of study, and optional, special course expectations. Registration is due to **November 24, 2022**.