

## Doctorate Regulations of the Humanities Faculties of Justus Liebig University Giessen of 27 January 2010

## Versioninformations

Current 1. Version: decided by GKG on 4 May 2011; consented by Senate on 8. June 2011; approved at President's Office on 5 July 2011.

Tabular presentation of the Versioninformations

|  | Resolution | Endorsement | Approval |
| :---: | :---: | :---: | :---: |
| Doctorate Regulations | GKG $^{1}: 27$ January 2010 | Senate: | President's Office: |
|  |  |  |  |
| First amendment resolution | GKG: 16 February/4 May | Senate: | President's Office: |
|  | 2011 | 8 June 2011 | 5 July 2011 |


| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 2 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Contents
Versioninformations .....  .1
Tabular presentation of the Versioninformations .....  1
1 Academic title .....  3
2 Official bodies and competence .....  3
3 Joint Doctoral Committee .....  3
4 Chair of the Joint Doctoral Committee .....  3
5 Requirements for admission to doctoral studies .....  4
6 Admision as a doctoral candidate and supervision of the doctorate .....  5
7 Admission to doctoral studies .....  7
8 The doctoral thesis .....  7
9 Assessment Committee .....  8
10 The doctorate procedure and evaluating the doctoral performance .....  8
11 Assessment of the doctoral thesis ..... 9
12 Displaying the doctoral thesis and preparing for the disputation .....  9
13 The disputation ..... 10
14 Concluding the procedure ..... 10
15 Doctoral fees ..... 11
16 Gender equality ..... 11
17 Award of the Dr. mult. ..... 11
18 Honorary doctorates ..... 11
19 Disallowance and withdrawal of the doctorate ..... 11
20 Entry into force, transitional provisions ..... 12

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## 1 Academic title

(1) The Faculties 04 History and Cultural Studies, 05 Language, Literature, Culture, 06 Psychology and Sports Science and the Centre for Philosophy and the Fundamentals of Science shall confer the degree of Doctor of Philosophy (Doctor philosophiae - Dr. phil.) on doctoral candidates who, on completion of the doctorate procedure, have provided evidence, on the basis of a doctoral thesis and a disputation, of their academic skills.
(2) The Doctorate shall be awarded in recognition not only of the completion of a course of university studies but also of evidence of particular academic skills.

## 2 Official bodies and competence

(1) The official bodies involved in the implementation of the Doctorate shall be: the Joint Doctoral Committee (Article 3), the assessors (Article 11) and the Assessment Committee (Article 9).
(2) The Joint Doctoral Committee shall decide in all matters, unless these Doctorate Regulations provide otherwise.
(3) The Assessment Committee shall decide on amendments proposed by the assessors, shall conduct the disputation and shall evaluate the doctoral performance; it shall decide whether the doctoral candidate shall be awarded a doctorate.
(4) The provisions of these Doctorate Regulations shall apply to international doctorate procedures. Special rules of procedure based on international agreements, the European Doctorate or special doctoral programmes may come into effect. The currently applicable version of the Bylaws of JLU regarding binational doctorate procedures shall apply to binational doctorate procedures.

## 3 Joint Doctoral Committee

(1) The Faculties referred to in Article 1 and the Centre for Philosophy and the Fundamentals of Science shall establish a Joint Doctoral Committee.
(2) The Joint Doctoral Committee shall comprise the following members and their alternates:

1. Seven professors, as follows;
a) two members of Faculty 04 History and Cultural Studies;
b) three members of Faculty 05 Language, Literature, Culture;
c) two members of Faculty 06 Psychology and Sports Science;
2. One research assistant who holds a doctorate from each of the Faculties referred to in paragraph 1 above;
3. In an advisory capacity, two students from the Faculties referred to in paragraph 1 above, who, pursuant to Article 6, should have been accepted as doctoral candidates.
(3) The members and their alternates pursuant to paragraph 2 above, indents 1 and 2 , shall be elected for three years by their group representation in the relevant Faculty Council.
(4) The members of the student group in the Faculty Councils of Faculties 04,05 and 06 shall elect by rotation one committee member for one year.

## 4 Chair of the Joint Doctoral Committee

(1) The Joint Doctoral Committee shall elect a Chairperson from among the professors who constitute its members as well as an alternate, who shall be elected for the Chairperson's term of office.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(2) The Chairperson shall be responsible for conducting the day-to-day business of the Joint Doctoral Committee. The Doctoral Committee the Chairperson may entrust with the conduct of other tasks falling within its remit.
(3) The person concerned and the members of the Doctoral Committee may overturn the decision of the Chairperson in favour of the decision of the Doctoral Committee.

## 5 Requirements for admission to doctoral studies

(1) Applicants who wish to be admitted to doctoral studies must have completed an academic study programme at a university within the area of application of the Framework Act for Higher Education.
(2) The degree must have been obtained in an academic study programme corresponding to the subject chosen for the doctorate.

1. with a standard duration of at least 8 semesters; or
2. in a Master's study programme.
(3) Graduates who have passed
3. a state examination for primary school teachers; or
4. a state examination for teachers at Hauptschulen and Realschulen (middle/secondary modern schools); or
5. a state examination for teachers at special schools
may, if the elective subject is taken as subject for the doctorate, be admitted to doctoral studies following an additional course of study, provided that the preceding studies and the additional course of study are together equivalent to a course of study qualifying the student to teach at high schools (Gymnasium) and the additional course of study is concluded by a one-hour viva with at least two university professors, at least one of whom is responsible for the doctorate subject.
(4) If they completed their studies with the ECTS grade of 1.5 or above or were in the top $10 \%$ of the graduation year in question, graduates with a university degree in a study programme with a standard duration of fewer than 8 semesters may, following successful completion of the first year of a Master's study programme in the corresponding doctorate subject with an average ECTS grade of at least 1.5, apply to the Doctoral Committee to take a test to ascertain their aptitude for doctoral studies even if they do not have a Master's degree. The application must be accompanied by a description of a proposed doctoral thesis and a recommendation from the supervisor. The assessment of aptitude may only be accepted by a research or graduate furtherance facility which admits graduates to a doctoral or furtherance programme on the basis of competitive and evaluated selection. The research or graduate furtherance facility shall decide only on a candidate's suitability for early admission to doctoral studies. A positive assessment is not linked to direct admission to a doctoral or furtherance programme in the facility. If the assessment is negative, no doctoral studies may be started as a result. The Master's study programme may, however, be continued. Graduates who do not hold a Master's degree must be advised that, even with a doctorate, they will not be admitted to the higher level of the civil service. The Doctoral Committee shall be responsible for communicating this information.
(5) Graduates of study programmes in subject areas other than the chosen doctorate subject may be admitted to doctoral studies if the subject of their degree is related in terms of subject matter and methodology to the doctorate subject and the envisaged doctorate.
6. Evidence of this connection must be provided by a scholarly study of the length of a main seminar study in the field of the doctorate topic and a one-hour viva with at least two university professors, at least one of whom is responsible for the doctorate subject.
7. The equivalence of the completed qualification must be ensured. It will, if appropriate, be determined by the Doctoral Committee on the recommendation of the Faculty Council concerned.
(6) Graduates who have passed a state examination or a Diplom (first degree) examination pursuant to paragraph 1 in a subject other than that of the doctorate may be admitted to doctoral studies if they can provide evidence of having completed additional studies that are at least equivalent in extent to a Master's subsidiary subject and an oral examination in accordance with the applicable version of the "Regulations for the Master's examination of Justus Liebig University Giessen" of 7 December 1979.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 5 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(7) Academic university graduates who have passed a state examination or a Diplom (first degree) examination which is not subject to the provision of the first sentence may be admitted to doctoral studies leading to a Doctorate in Philosophy if they can provide evidence of having completed additional studies that are at least equivalent in extent to a Master's subsidiary subject and an oral examination in accordance with the applicable version of the Regulations for the Master's examination of Justus Liebig University Giessen of 7 December 1979.
(8) Only holders of a Diplom (first degree) in Psychology may be admitted to studies leading to a doctorate in Psychology. In particularly well-founded exceptional cases, graduates of other study programmes may be admitted to doctoral studies if they can provide evidence that they have completed university studies in Psychology that are equivalent in extent and quality to the study programme in Psychology at JLU Giessen; at the request of the Faculty, the relevant Doctoral Committee shall decide on this matter.
(9) The following provisions shall apply to modular degrees in Psychology: Admission to doctoral studies in Psychology and Sports Science shall be conditional on the provisions in paragraph 1, i.e. that the applicant holds a Master's degree (MSc or MA) or has obtained admission to the PreProPsych pre-doctorate programme. Further details are governed by the rules of the PreProPsych programme.
(10) The Joint Doctoral Committee shall decide on the recognition of the equivalence of German or nonGerman qualifications in one of the permitted subjects in consultation with the Faculty Council of the relevant Faculty.
(11) Degrees from universities outside the area of application of the Framework Act for Higher Education shall be recognised by the Doctoral Committee if they can be found to have an equivalent value. International equivalence agreements and exchange and mobility programmes must be taken into account. Should there be any doubt about equivalence, the Central Office for Foreign Education may be consulted or evidence of equivalence provided by means of an academic study of the length of a seminar paper in the field of the doctorate subject and a one-hour viva. The Doctoral Committee shall also decide whether an additional study must be completed to provide evidence of that the requirements for the doctorate are fulfilled. The additional study is concluded by a one-hour viva conducted by two university tutors representing the subject, at least one of whom may not be a potential assessor of the doctoral thesis, and passed with the ECTS grade of "excellent" (1.0-1.5).
(12) Doctoral theses under the doctoral study programme of the Giessen Graduate School for the Humanities (GGK) may be supervised with the minimum requirement of participation in the basic and advanced courses in doctoral studies. Additional obligations under the doctoral study programme of the International Graduate Centre for the Study of Culture (GCSC) shall be provided for in the GCSC supervision agreement. The GCSC Selection Committee shall decide on the admission of foreign candidates to the GCSC doctoral programme after the applicant has been accepted by the Joint Doctoral Committee.
(13) The permitted subjects in which the doctoral thesis may be written and their allocation to the appropriate Faculty are listed in Annex 1, which also stipulates which Diplom (first degree) examination or state examination subjects correspond to the doctorate subjects.
(14) The language skills that are a prerequisite for admission to doctoral studies are listed in Annex 2.
(15) As a rule, applicants should have been enrolled as doctoral students for two semesters at Justus Liebig University Giessen before completion of the doctorate procedure. In particularly well-founded cases, the Doctoral Committee shall decide on exceptions.
(16) Holders of the academic title of Doctor of Philosophy may not be admitted to doctoral studies. The Joint Doctoral Committee shall decide on exceptions.

## 6 Admision as a doctoral candidate and supervision of the doctorate

(1) If the criteria in Article 5 have been fulfilled, applicants may, on presentation of the documents pursuant to Article 7 (2), indents 1 to 9 , apply to the Chair of the Doctoral Committee for admission as a doctoral candidate. The application for admission as a doctoral candidate must be accompanied by a statement of the opinion of the envisaged supervisor regarding the proposed doctorate.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(2) If in exceptional cases the applicant is unable to propose a supervisor, the Doctoral Committee shall endeavour to propose one from the Faculty in question.
(3) In consultation with the subject representatives concerned and/or, if appropriate, with the Institute in question, the Joint Doctoral Committee may also appoint, with their agreement, professors whose subject specialisation does not include the doctorate subject as supervisors.
(4) The following may be appointed as supervisors:

1) professors;
2) university lecturers;
3) professors who have been relieved of their duties and retired professors;
4) senior research assistants;
5) honorary professors;
6) adjunct professors;
7) private tutors; and
8) doctorate holders in accordance with paragraph 5.
(5) In the case of paragraph 4, indent 8 , such a person may only be appointed as a supervisor if:
9) the doctorate holder, whose own doctorate was graded with at least "magna cum laude" and who, as part of a furtherance programme which provides for supervision of a doctoral candidate by someone who has not been habilitated, will be employed at JLU for at least five years;
10) the second assessor is in any case a member of JLU pursuant to paragraph 4, indents 1-7.

The Chair of the Joint Doctoral Committee shall determine whether the requirements are met.
(6) If a supervisor leaves JLU, he or she may continue to supervise the doctorate for up to four semesters if he/she undertakes vis-à-vis the Joint Doctoral Committee in writing to do so and to take part in the doctorate procedure; in that case, the doctoral candidate shall be given a further supervisor, who shall bear sole responsibility for the supervision on expiry of the stated period.
(7) Those taking part in the PreProPsych programme in the Master of Science study programme in Psychology may also be accepted as doctoral candidates without having completed the MSc.
(8) With the decision regarding admission pursuant to Article 5 (4), the Joint Doctoral Committee shall inform the relevant Graduate Centre of the name of the doctoral candidate and the topic of the envisaged doctorate and shall at the same time inform the doctoral candidate of the offer of the relevant Graduate Centre. Admission to the Graduate Centre shall be based on the Centre's rules.
(9) When applying for admission as a doctoral candidate, the candidate may apply for permission to use a language other than German for the doctoral thesis and/or disputation. The Doctoral Committee shall only consent to this request if an Assessment Committee can be formed in which every member agrees to the choice of language.

If approval is given for a language other than German to be used for both the doctoral thesis and the disputation, for admission to the doctorate procedure it shall be sufficient for the candidate to provide evidence of level B1 German language skills. This evidence must be presented at the latest when the doctoral thesis is submitted.

If no application for permission to use another language is made when applying for admission as a doctoral candidate or if the application is withdrawn or rejected, and the doctoral thesis or the disputation or both are completed in German, evidence must be provided, at the latest on submission of the doctoral thesis, of the German language skills needed to take part in a scholarly debate. These skills are to be evidenced by presenting an attestation of a pass in the Test of German-Language Ability for University Admission (DSH) or TestDaF4 or an equivalent attestation.

No evidence of German language skills is required for doctoral studies in Faculty 06.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

All language certificates for German that have not been issued by the International Office at JLU must be submitted to the latter for recognition.

## 7 Admission to doctoral studies

(1) Applications to be admitted to doctoral studies must be presented to the Chair of the Joint Doctoral Committee. The Joint Doctoral Committee shall decide on the application.
(2) The following must be appended to the application for admission:

1) Curriculum vitae;
2) Transcripts of Records pursuant to Article 5;
3) Commentaries and reports on other academic, state or church examinations;
4) Evidence of language skills pursuant to Annex 2. Otherwise Article 6 (9) shall apply;
5) Commentaries as to whether application was previously made for admission to a doctorate procedure and the outcome;
6) Scholarly publications by the applicant;
7) Six copies of the printed and bound (adhesive or ring binding) doctoral thesis that the candidate considers ready for printing and a pdf file of the thesis on a CD-ROM, DVD or other suitable medium so as to enable a plagiarism check to be carried out, should this prove necessary;
8) An assurance bound in the doctoral thesis which is worded as follows: "I hereby declare that I completed the submitted doctoral thesis independently and with only the help referred to in the thesis. All texts that have been quoted verbatim or by analogy from published and non-published writings and all details based on verbal information have been identified as such."
9) Evidence of payment of the doctoral fees.

## 8 The doctoral thesis

(1) A doctoral thesis must be a study in one of the permitted subject areas listed in Annex 1. The doctoral thesis must comply with the following criteria:

1) It must advance scientific knowledge as a result of independent research;
2) It must comply with the methodological principles of the subject area concerned;
3) It must include documentation compliant with the principles of academic research regarding the material assessed and the specialist literature consulted.
4) It must present its subject clearly and its structure must be flawless.
(2) If a study has been written by more than one researcher, parts of it may be recognised as a doctoral thesis if they have been written by the doctoral candidate, present related fields of study and can be delimited and evaluated as individual work by the doctoral candidate within the meaning of a piece of self-contained research, and comply with the criteria in paragraph 1 . A separate report must be prepared on the cooperation by the writers and the individual shares in the work. A separate doctorate procedure must be carried out for each doctoral candidate.
(3) Doctoral theses must be written in German.

The provisions pursuant to Article 6 (9) must be complied with for permission to use any other academic language. The Doctoral Committee shall decide on this matter. Doctoral theses that are written in a foreign language must be accompanied by a summary version in German (except in Faculty 06) whose length shall be approximately $5-10 \%$ of the number of pages in the doctoral thesis itself.

Doctoral theses that are written in German may be accompanied by an abstract in English based on the international dissertation abstract. For the doctorate subject of Psychology, the following rule shall apply: doctoral theses must be written in German or in English. Each doctoral thesis must be accompanied by an abstract in German and in English, in accordance with the international dissertation abstract.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## 9 Assessment Committee

(1) The Assessment Committee shall comprise the following members:

1) The Dean of the Faculty responsible for the subject of the doctoral thesis, as the Chair. For individual Assessment Committees, the Dean may entrust the Chair to a member of the Faculty from the group of professors;
2) The assessors pursuant to Article 11 (1) and (2);
3) Two other professors.

The Joint Doctoral Committee shall appoint the members under paragraph 1, second and third indents. In the event of a tied vote, the Chair shall have the casting vote.
(2) Two members of the Assessment Committee must represent research and teaching in a subject area other than that of the doctoral thesis.

## 10 The doctorate procedure and evaluating the doctoral performance

(1) The doctorate shall be awarded on the basis of the presentation of a doctoral thesis written in accordance with the provisions of Article 8 and an oral disputation pursuant to Article 13.
(2) The two parts of the doctorate referred to in paragraph 1 shall be awarded the following grades:

> Excellent - summa cum laude

Very good - magna cum laude
Good - cum laude

Sufficient - rite
Insufficient - insufficienter
(3) The Assessment Committee shall decide on the final individual grades, in each case with a three-quarters majority of the votes.
(4) Before the disputation, the Assessment Committee shall determine the final grade for the thesis on the basis of the assessments pursuant to Article 11 and the doctoral thesis submitted.
(5) The disputation shall only be conducted if the doctoral thesis has been accepted pursuant to the rules of Article 11 (3) to (6).
(6) Following the disputation, the Assessment Committee shall decide, taking the assessment of the doctoral thesis and the result of the disputation into consideration, whether the doctoral candidate shall be awarded the doctorate. The doctorate shall only be awarded if the doctoral thesis and the disputation have each been graded at least as "sufficient".
(7) Should the disputation be insufficient, the doctoral candidate may repeat it once.
(8) The Assessment Committee shall form an overall grade from the equally weighted grades for the doctoral thesis and for the disputation. If the overall grade so derived is between two grades, the grade for the thesis shall have a stronger weighting for the purposes of establishing the overall grade.
(9) The overall grade "excellent - summa cum laude" may only be awarded if the thesis and the disputation have been given the grade of "summa cum laude".
(10) The Assessment Committee may instruct the doctoral candidate to make changes before the doctoral thesis is printed; the instructions must be made in writing.
(11) Following discussion, the Chair of the Assessment Committee shall notify the doctoral candidate of the result of the assessment. The doctoral candidate must swear on oath and shake the Dean's hand in affirmation that he or she will endeavour at all times to prove worthy of the doctoral title and to consistently seek and uphold the truth. The doctoral candidate must sign a written copy of the oath.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(12) If a doctorate is not awarded to the doctoral candidate, he or she my repeat the assessment process once by presenting a new doctoral thesis.

## 11 Assessment of the doctoral thesis

(1) After consulting the Dean of the Faculty concerned, the Joint Doctoral Committee shall commission at least two people to assess the doctoral thesis. Anyone who is entitled to be a supervisor (Article 6 (4)) may be appointed as an assessor. One of the assessors must be a professor of the Faculty concerned. The supervisor shall be instructed to conduct the assessment; this shall also apply to supervision pursuant to Article 6 (6).
(2) The Doctoral Committee may appoint other persons, if necessary from other universities, within the meaning of Article 6 (4) as assessors.
(3) Each assessment shall include a recommendation as to whether the doctoral thesis should be accepted of rejected or whether the procedure should be suspended until the doctoral thesis has been amended. A recommendation for acceptance must be accompanied by a suggested grade for the doctoral thesis and may be linked to suggestions for improvements or expansions. Once the thesis has been submitted to the assessor, the assessment should be ready within three months or at the most five months.
(4) If changes are suggested in an assessment, the Assessment Committee shall decide

1) whether the doctoral candidate's thesis must be returned to be amended by a specific deadline; or
2) whether another assessor needs to be appointed.

Following the revision of the thesis, the assessors shall be given an opportunity to express their opinion of it within an appropriate time, generally within one month.
(5) If the assessors make different recommendations with regard to the evaluation or alteration of the thesis, the Assessment Committee may involve an additional assessor, on whom the assessors appointed pursuant to paragraphs 1 and 2 shall agree. If no agreement can be reached, the Joint Doctoral Committee shall decide.
(6) If the acceptance of the thesis is rejected in all assessments, the Assessment Committee shall decide following expiry of the period of display period, taking account of any additional assessments received, whether the doctorate has been failed or whether the procedure is to be continued.

## 12 Displaying the doctoral thesis and preparing for the disputation

(1) If the criteria for the continuation of the doctorate procedure pursuant to Article 11 have been fulfilled, the Chair of the Joint Doctoral Committee shall inform the members of the Assessment Committee of the name of the doctoral candidate, the title of the doctoral thesis and the recommendation of the assessors and shall display the doctoral thesis for perusal in the rooms of the Academic Examinations Office and in the Office of the Dean of the Faculty concerned for two weeks during the lecture period or for one month in the lecture-free period.
(2) All those referred to in paragraph 1 may append an additional assessment to the doctoral thesis. The Chair of the Doctoral Committee shall also inform the other assessor appointed pursuant to Article 11 (5).

Pursuant to Article 1, the Chair of the Joint Doctoral Committee shall inform the following members of the Faculties referred to in Article 1 (1) and the Centre for Philosophy and the Fundamentals of Science:

1) the professors;
2) the university lecturers;
3) the adjunct professors; and
4) the private tutors.
(3) Following the expiry of the period, the Chair of the Joint Doctoral Committee shall inform the doctoral candidate of the receipt of the assessments, which he or she may view in the Academic Examinations Office. This shall not apply, however, to the proposed grades.
(4) At the request of the doctoral candidate, the Chair of the Joint Doctoral Committee shall set the date for the disputation.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 10 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(5) If within six months following the information pursuant to paragraph 2 above the doctoral candidate fails to present a request pursuant to paragraph 5 or if he/she declares in writing that he/she does not wish to proceed to a disputation, the doctorate shall have been failed.
(6) In well-founded exceptional cases, the Chair of the Joint Doctoral Committee may extend the deadline if so requested by the doctoral candidate.

## 13 The disputation

(1) The Chair of the Joint Doctoral Committee shall invite

1) the doctoral candidate;
2) the members of the Assessment Committee;
3) those who, pursuant to Article 11 (5), have submitted an additional assessment; and
4) the professors, university lecturers, the adjunct professors and the private tutors who are members of the Faculty concerned
to the disputation and shall publicise the date within the university.
(2) The Chair of the Assessment Committee shall chair the disputation and ensure that it proceeds appropriately. The disputation shall generally last one and a half hours, and at most two hours. Minutes of the disputation shall be prepared.
(3) The disputation shall be conducted in German. On written, substantiated application, it may be conducted in any academic language provided that all members of the Assessment Committee give their consent to the application.
(4) The doctoral thesis shall be defended in public in the disputation. The doctoral candidate shall open the disputation with a presentation lasting no more than 15 minutes, in which he or she outlines the contents of the thesis in the form of theses. The views of the assessors should be included in the disputation. The disputation shall also cover selected problems associated with the topic and other related subject areas as well as the current state of research in those areas.
(5) Members and associates of the University shall be admitted to the disputation as observers. If the disputation is disrupted, the Assessment Committee may exclude the public.
(6) A separate disputation shall be conducted for each doctoral candidate.

## 14 Concluding the procedure

(1) After the assessment has been completed, the doctoral candidate must publish the final version of the doctoral thesis, as approved by the Assessment Committee and with a note to that effect by the Chair of the Assessment Committee. The note may only be issued after any instructions have been followed. The accepted version of the doctoral thesis may be changed for printing only with the consent of the Chair of the Assessment Committee and the primary assessor.
(2) The doctoral candidate must present further copies free of charge in addition to the copy required for the assessment records. Further details are dealt with in Annex 3.
(3) The thesis must be published as described in Annex 3 within two years. In exceptional cases, the Chair of the Joint Doctoral Committee may extend the publication deadline if doctoral candidate presents a well-founded request in good time; as a rule, this extension shall not be for more than one year.
(4) If the doctoral candidate culpably misses the deadline set, the rights acquired through the doctoral performance shall lapse.
(5) After the doctoral thesis has been published as described in Annex 3, the doctorate certificate shall be presented to the doctoral candidate. The doctorate certificate shall state that the doctoral candidate is awarded the degree of Doctor of Philosophy by the relevant Faculty; it shall contain the date of the disputation, which is considered to be the date on which the doctorate was conferred, the title and the reviser of the doctoral the thesis, the grade awarded to the thesis and the overall evaluation of the doctoral performance. It

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 11 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

shall be signed by the Dean of the Faculty concerned and by the Chair of the Joint Assessment Committee and shall bear the seal of the University and, if appropriate, the seal of the Faculty concerned.
(6) In exceptional cases, the doctorate certificate may also be presented if assurance is given through a contract with a publishing company that the work will be published within an appropriate period determined by the Dean.
(7) The doctoral title may not be used until the doctorate certificate has been presented.

## 15 Doctoral fees

The doctoral fees are EUR 100. Evidence of payment must be presented when the application for admission to doctoral studies is made. In cases of hardship, the fees may be reduced or waived following application by the Chair of the Joint Doctoral Committee.

## 16 Gender equality

Women may choose to be awarded the German feminine form of Doctor of Philosophy ("Doktorin der Philosophie"), which is abbreviated as "Dr. phil.".

## 17 Award of the Dr. mult.

The Doctoral Committee shall decide on the possibility of a second doctorate in Philosophy being awarded.

## 18 Honorary doctorates

(1) In recognition of outstanding academic achievements or other services to scholarship, the Humanities Faculties may award the academic title of Honorary Doctor of Philosophy (Doctor philosophiae honoris causa Dr. phil. h.c.).
(2) The procedure for honorary doctorates shall be opened by a written proposal submitted to the Dean, which must have the support of one-third of the members of the Faculty Council who are eligible to vote. The proposal must be submitted to all members of the Faculty Council before the meeting in which it will be given a first reading. It must then be read aloud at that meeting.
(3) The Dean shall instruct two people pursuant to Article 6 (1) and (2) to write a report; this shall, as an expert opinion, pay tribute to the achievements and merits of the proposed person.
(4) A final decision may not be taken until a meeting is held; the meeting may take place no earlier than four weeks after the proposal has been submitted.
(5) If the basic rules of the University so provide, the application must be supported by two-thirds of the members of the Faculty Council with voting rights.
(6) The decision of the Faculty Council requires the approval of a majority of the members of the Joint Doctoral Committee with voting rights. Reasons for a decision to reject the proposal must be given in writing.
(7) The Dean of the Faculty concerned shall award the honorary doctorate by presenting the honorary doctorate certificate. The certificate signed by the Dean shall pay tribute to the merits of the holder of the honorary doctorate.

## 19 Disallowance and withdrawal of the doctorate

(1) The Joint Doctoral Committee must disallow the award of the doctorate if, before the procedure is concluded, it is found that

1) the doctoral candidate has cheated in the procedure; or
2) key criteria for the doctorate were not fulfilled.

| Doctorate <br> Faculties | Regulations of the Humanities | 24 February 2010 | 7.40 .04 No 1 | Page 12 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

(2) The Doctoral Committee may withdraw the doctorate. The withdrawal of the doctorate is governed by the statutory provisions.
(3) The person concerned must be given a hearing before the decision of the Doctoral Committee regarding the disallowance or the withdrawal of the doctorate is taken.

## 20 Entry into force, transitional provisions

(1) These regulations shall enter into force on the day following their publication in the Announcements of Giessen University; notwithstanding paragraph 2, the Doctorate Regulations of the Humanities Faculties of Justus Liebig University Giessen dated 22 June 1983 in the new wording of 1 July 1998 in the version of the sixth amendment resolution of 20 June 2007 shall expire on that date.
(2) Applicants who, before these Regulations enter into force, have been accepted as doctoral candidates pursuant to Article 6 may decide wither they wish to conclude their doctoral studies pursuant to the doctorate regulations of Justus Liebig University Giessen dated 22 June 1983 in the new wording of 1 July 1998 in the version of the sixth amendment resolution of 20 July 2007 or pursuant to these Regulations. The option shall expire one year after these Regulations have entered into force and must be presented in writing to the Academic Examinations Office for the Humanities.

Giessen, 27 January 2010
Signed Prof. Dr. Cora Dietl
Chair of the Joint Humanities Committee

